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# *ANCILLARY STUDIES IN THE UPPER GIT NEOPLASMS*

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*University of South Florida*

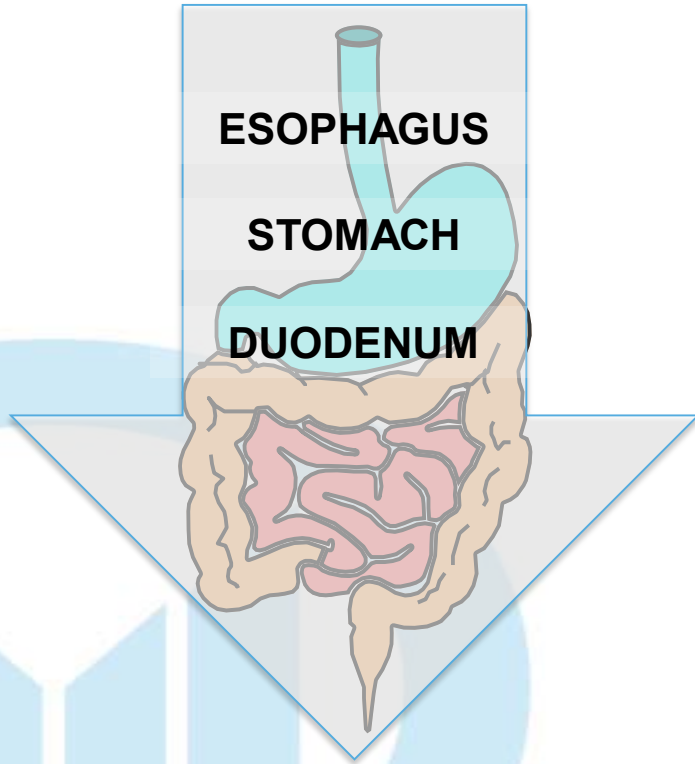
*Tampa, FL*

Gregory.Lauwers@Moffitt.org



# ANCILLARY STUDIES IN THE UPPER GIT NEOPLASMS

## ***OUTLINE***

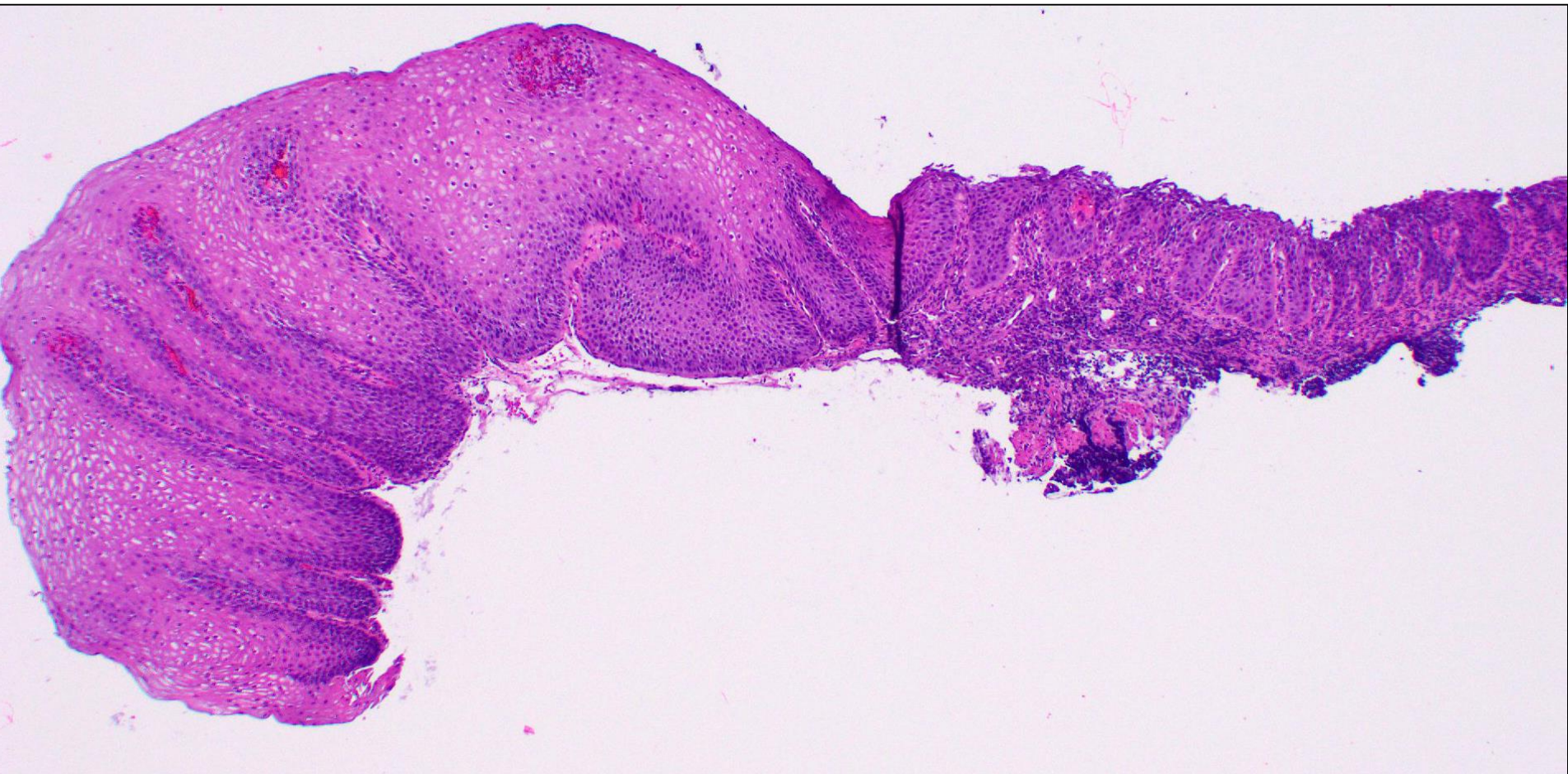


- DIAGNOSIS
- CLASSIFICATION
- PROGNOSIS
- GUIDE TO THERAPY

DIAGNOSIS	CLASSIFICATION	PROGNOSIS	GUIDE TO THERAPY
P53	MUC stains	EBV	HER2-NEU
CK19	CDX2	MMR	PDL-1
KI-67	KI-67		EBV
[IMP-3]	CDH1		MMR
[MUC2]	MMR		
[CDX2]			

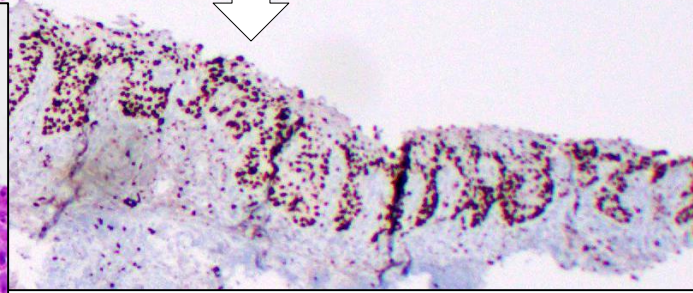
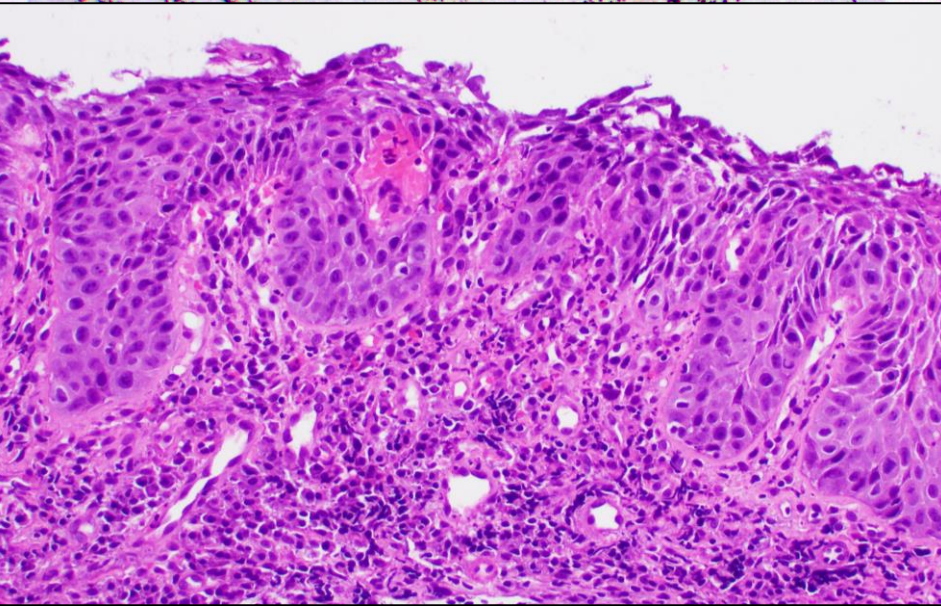
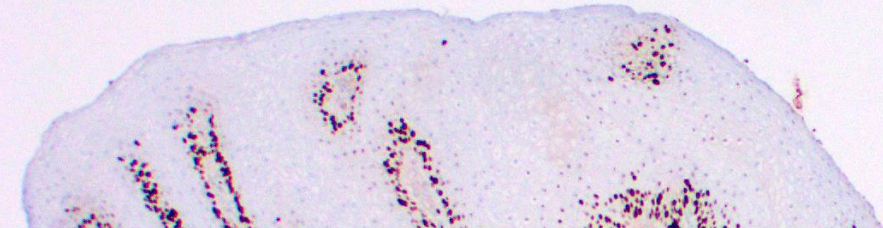
# ESOPHAGUS - SCC/DYSPLASIA

- DIAGNOSIS
  - P53
  - Ki-67
  - CK19



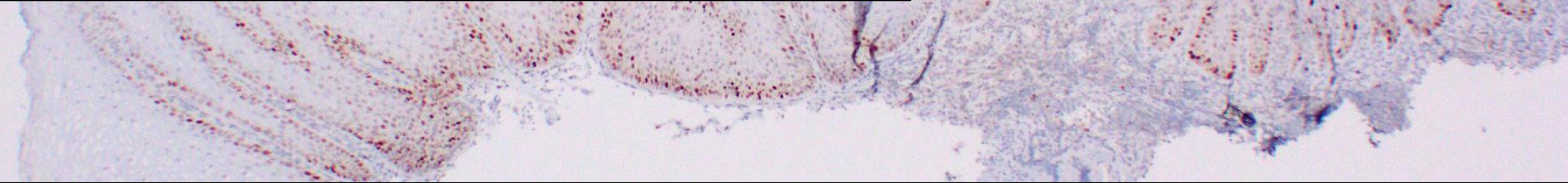
Ki-67

Surface is negative



P53

Surface is negative

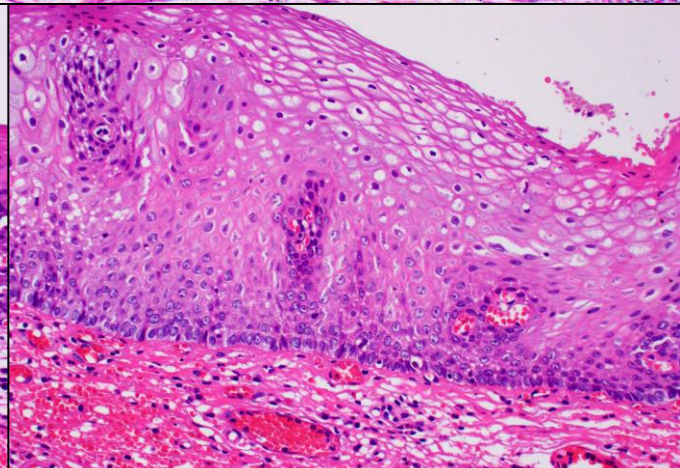
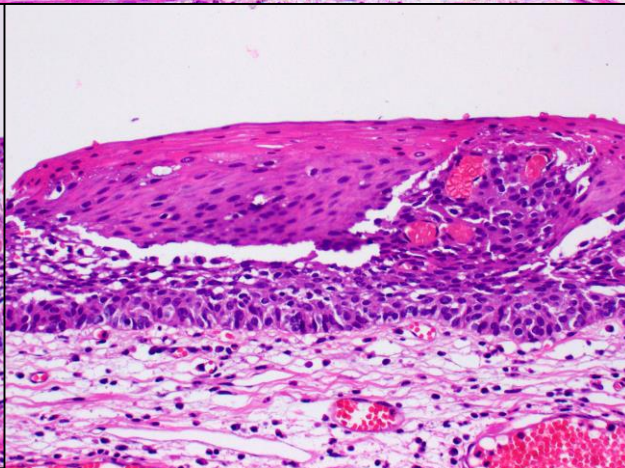
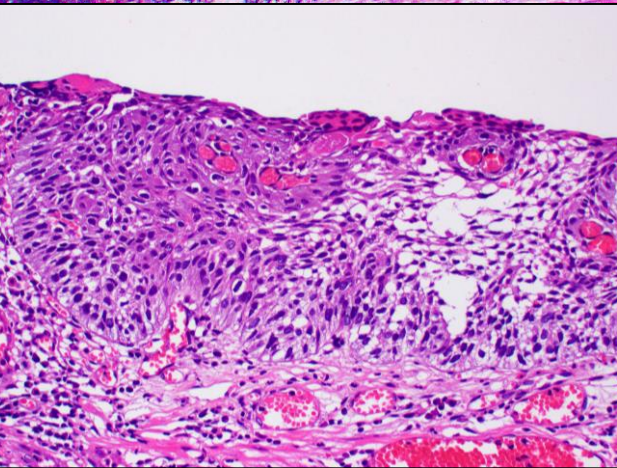
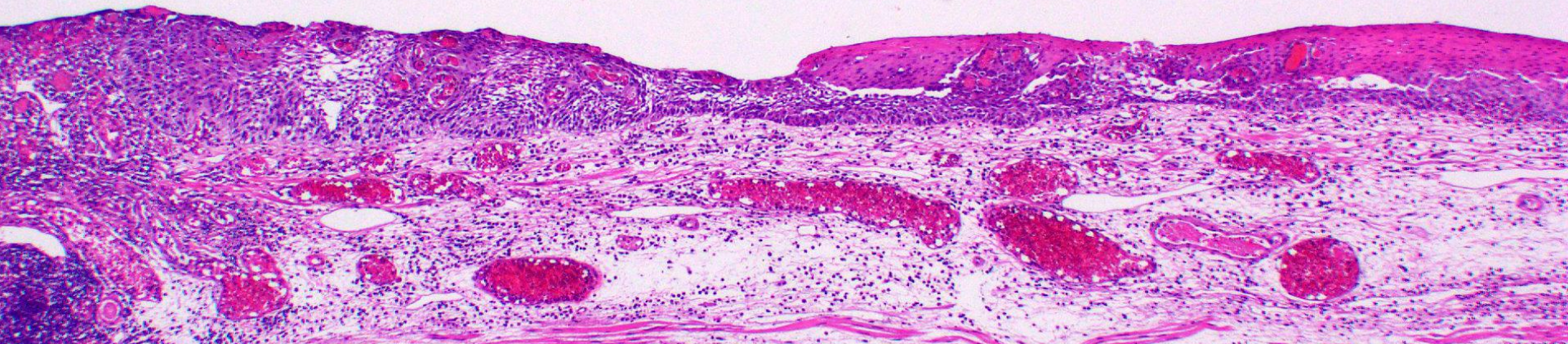


# CK19

Basal cells & decrescendo toward the surface

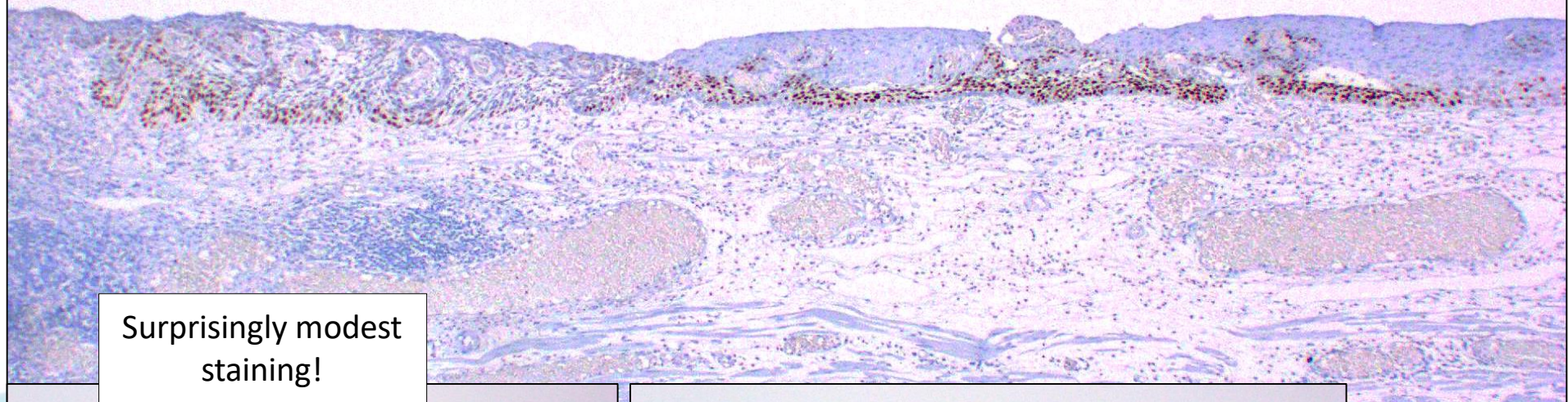


# Squamous cell carcinoma / dysplasia

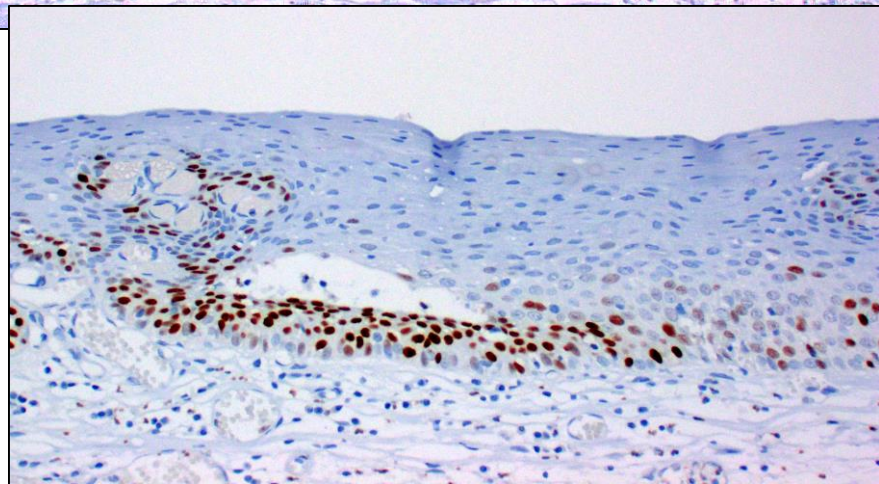
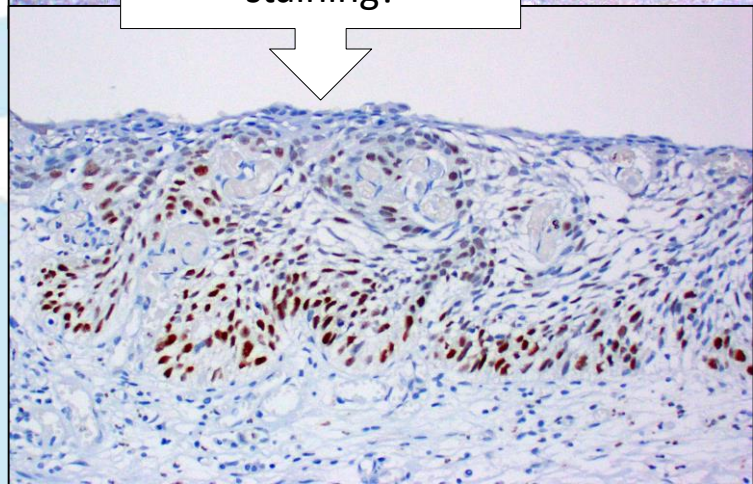




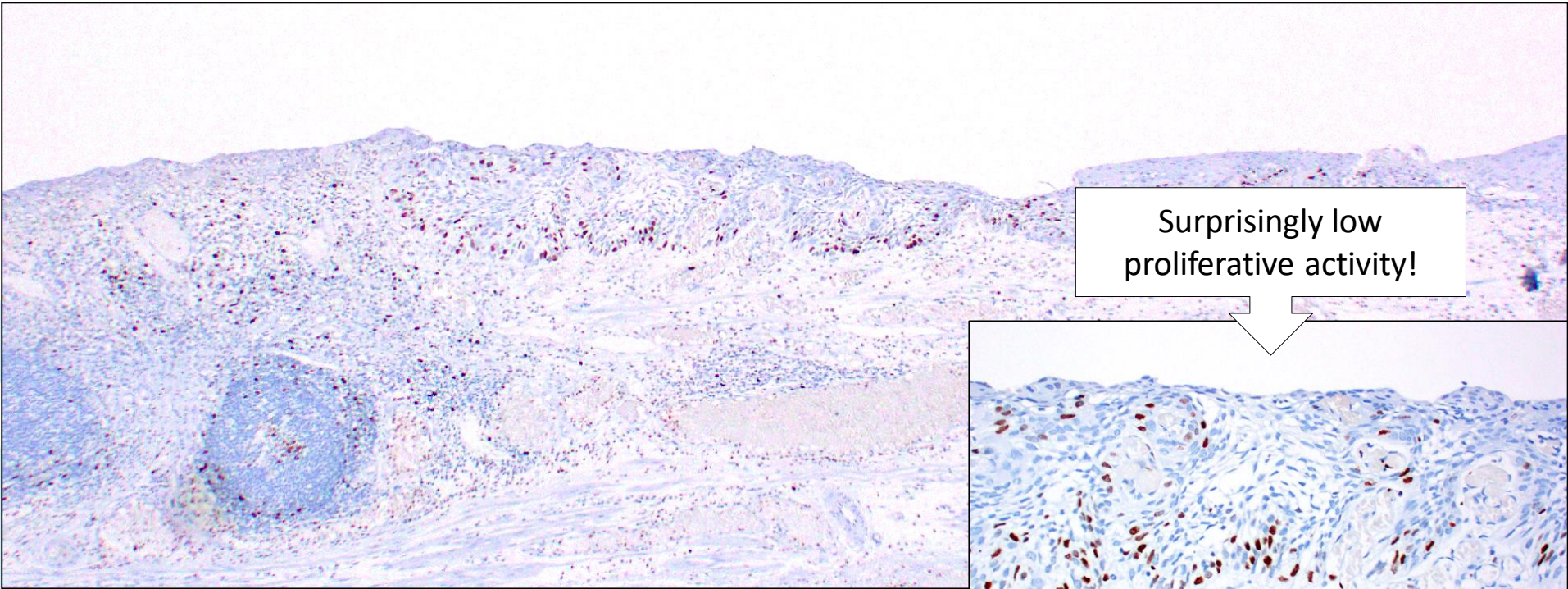
P53



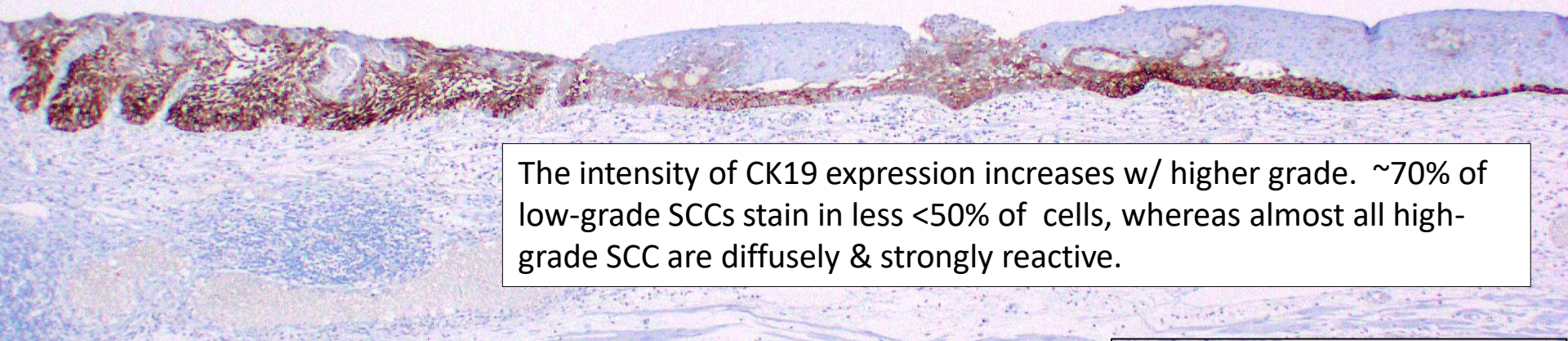
Surprisingly modest staining!



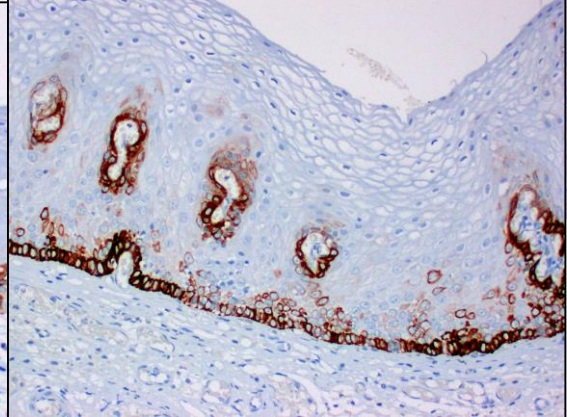
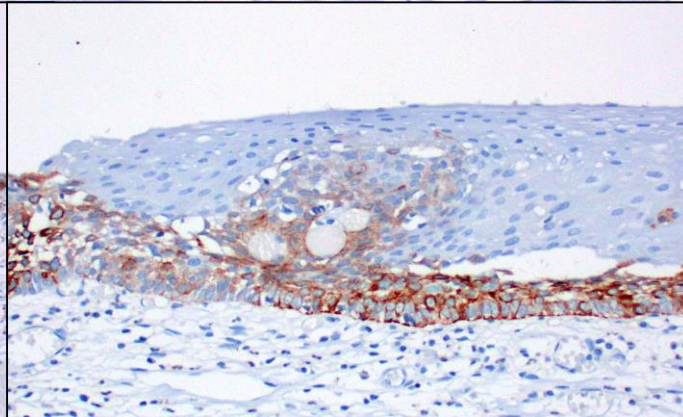
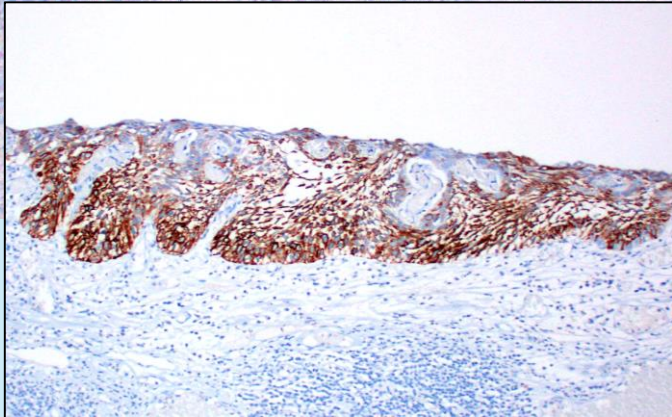
# Ki-67



# CK19

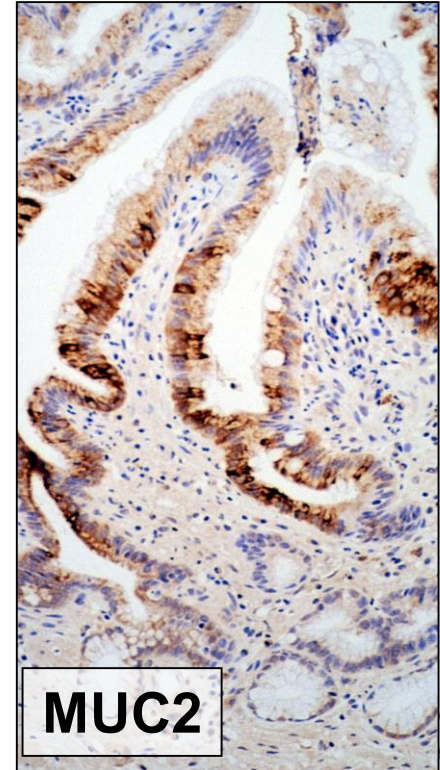


The intensity of CK19 expression increases w/ higher grade. ~70% of low-grade SCCs stain in less <50% of cells, whereas almost all high-grade SCC are diffusely & strongly reactive.



# BARRETT ESOPHAGUS + DYSPLASIA

- DIAGNOSIS of BE
  - Alcian blue / MUC2 / CDX2
- DIAGNOSIS of DYSPLASIA
  - P53 / [IMP3]
- IMMUNOPHENOTYPE
  - MUCs
- PROGNOSIS
  - P53
- GUIDE TO THERAPY
  - Her2 neu



# P53 Immunohistochemistry

- Use in the diagnosis of dysplasia
- Use in predicting disease progression

## The Use of Ancillary Stains in the Diagnosis of Barrett Esophagus and Barrett Esophagus–associated Dysplasia

*Recommendations From the Rodger C. Haggitt  
Gastrointestinal Pathology Society*

*Amitabh Srivastava, MD,\* Henry Appelman, MD,† Jeffrey D. Goldsmith, MD,‡  
Jon M. Davison, MD,§ John Hart, MD,|| and Alyssa M. Krasinskas, MD¶*

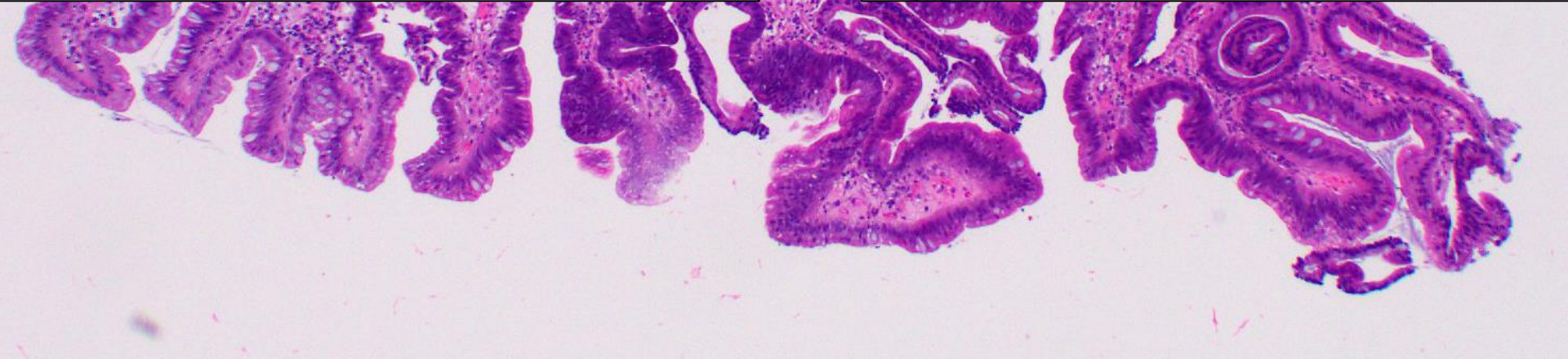
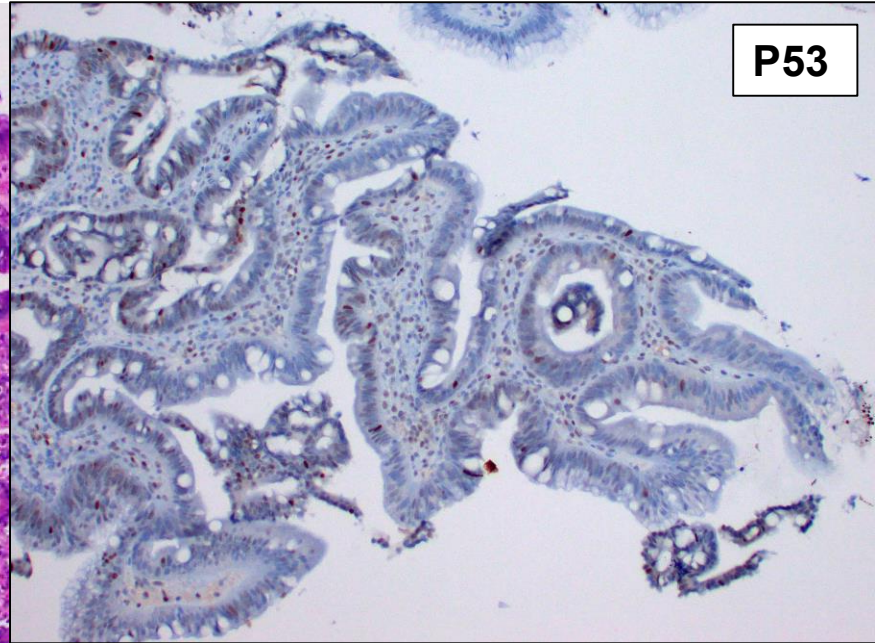
Despite the current widespread use of p53 IHC, we believe that additional studies are needed to develop and validate precise criteria before p53 staining can be fully endorsed and incorporated into the morphologic dysplasia diagnosis algorithm.

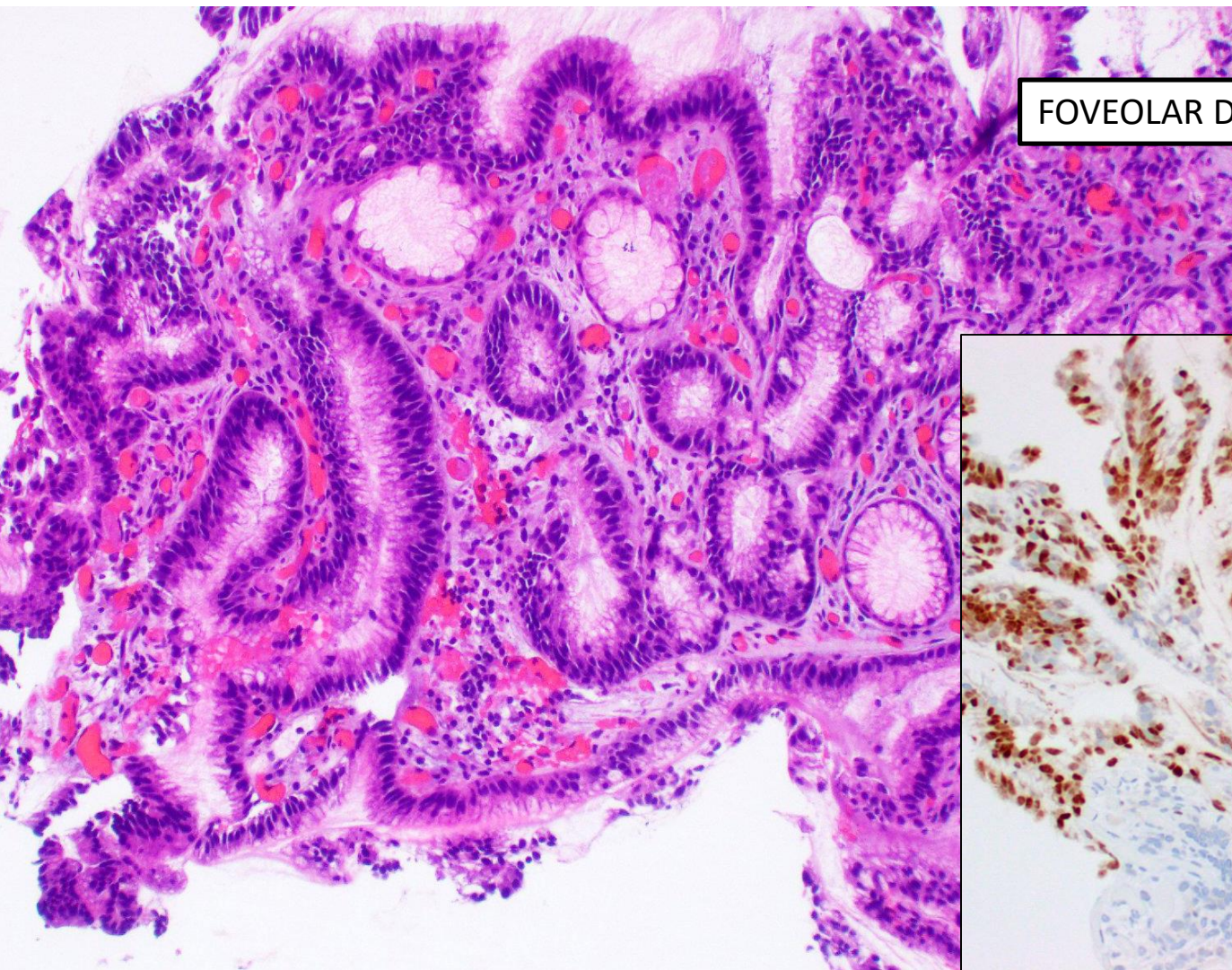
Additional studies are needed to determine the best definition of “abnormal” p53 staining and to show how integrating p53 testing into routine practice could improve patient care.

**Ki-67**

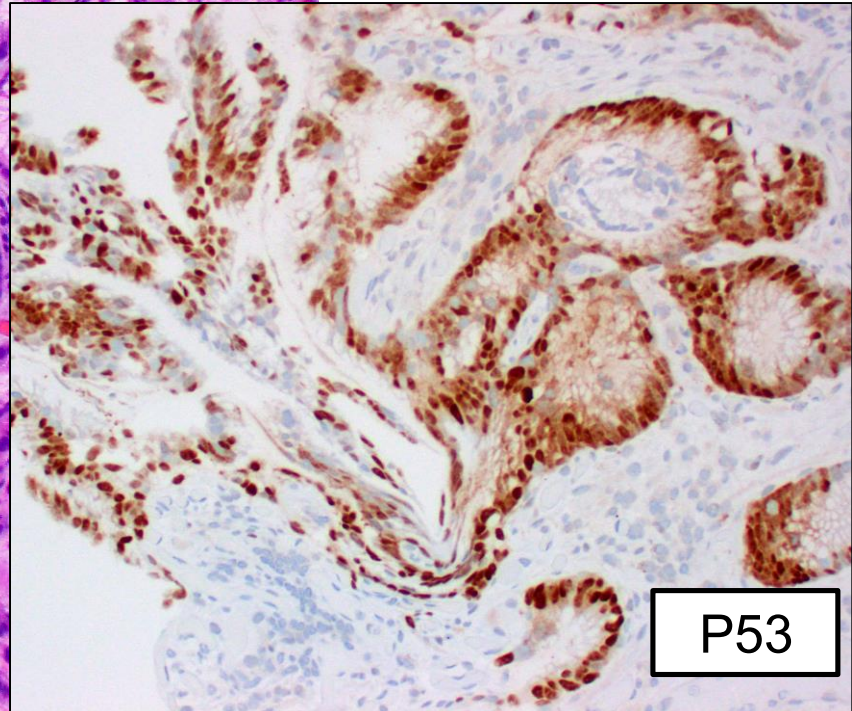


**P53**





FOVEOLAR DYSPLASIA



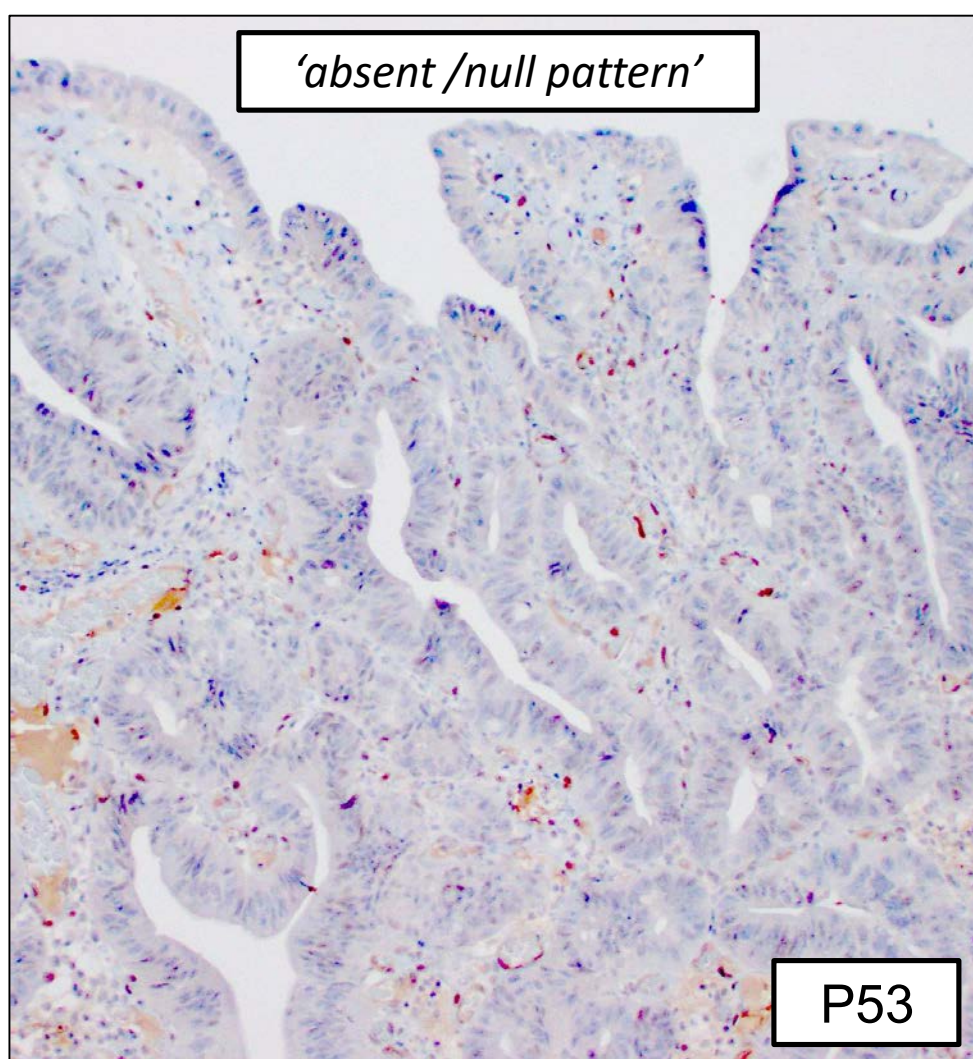
P53

## Correspondence

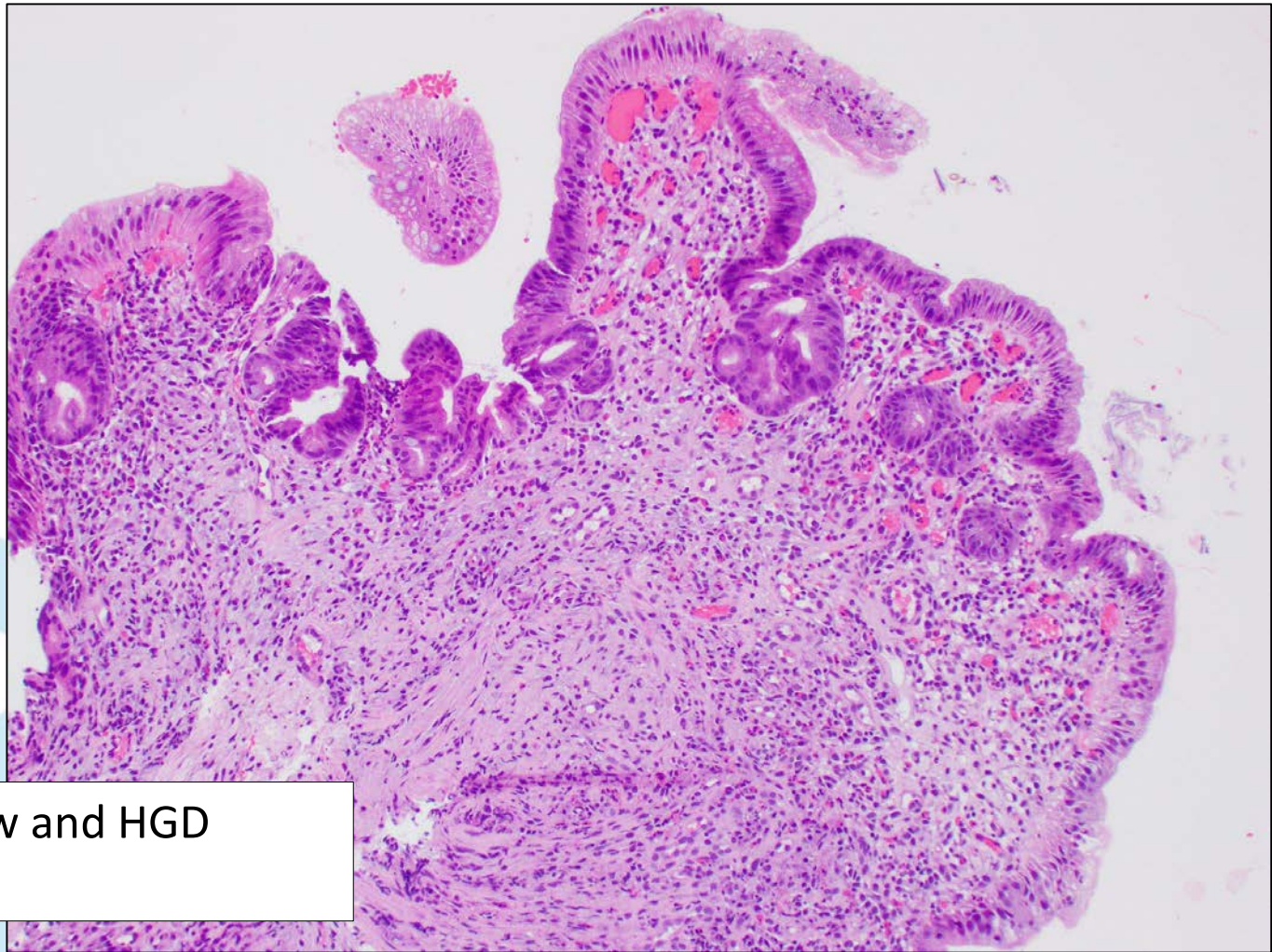
### Novel staining pattern of p53 in Barrett's dysplasia – the absent pattern

DOI: 10.1111/j.1365-2559.2010.03715.x

*Sir:* Barrett's oesophagus (BO) is conversion of oesophageal squamous mucosa to a glandular phenotype, and is a consequence of gastro-oesophageal reflux. This is a precursor to oesophageal adenocarcinoma (OA), which is rising rapidly in western countries and carries a poor prognosis.<sup>1</sup> This pathway is characterized by intestinal metaplasia and increasing grades of dysplasia before cancer supervenes. Recognizing dysplasia early allows close monitoring as well as treatment preventing OA or cure at an early stage. The recognition of dysplasia by pathologists is critical, and while pathologists can recognize dysplasia reproducibly<sup>2,3</sup> this may sometimes be difficult. Therefore additional prognostic markers would be helpful.

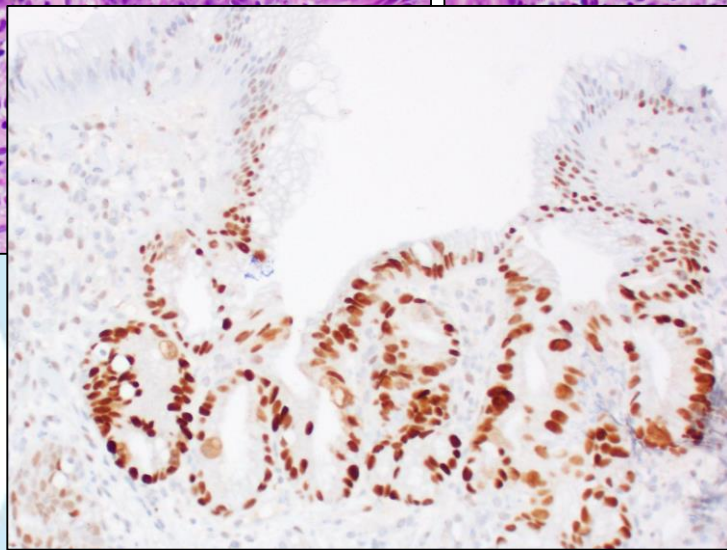
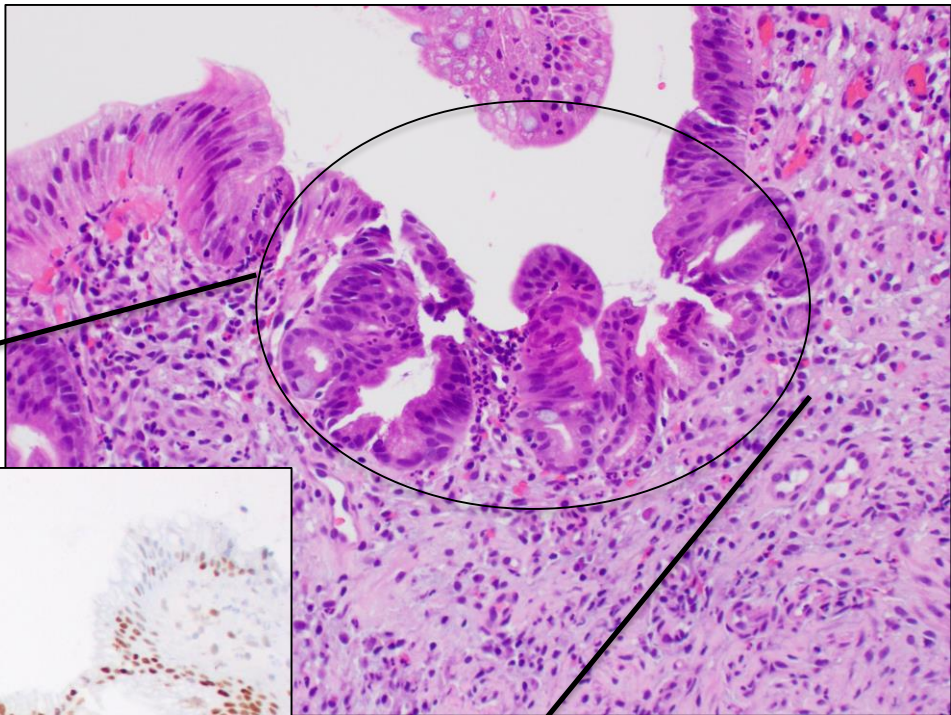
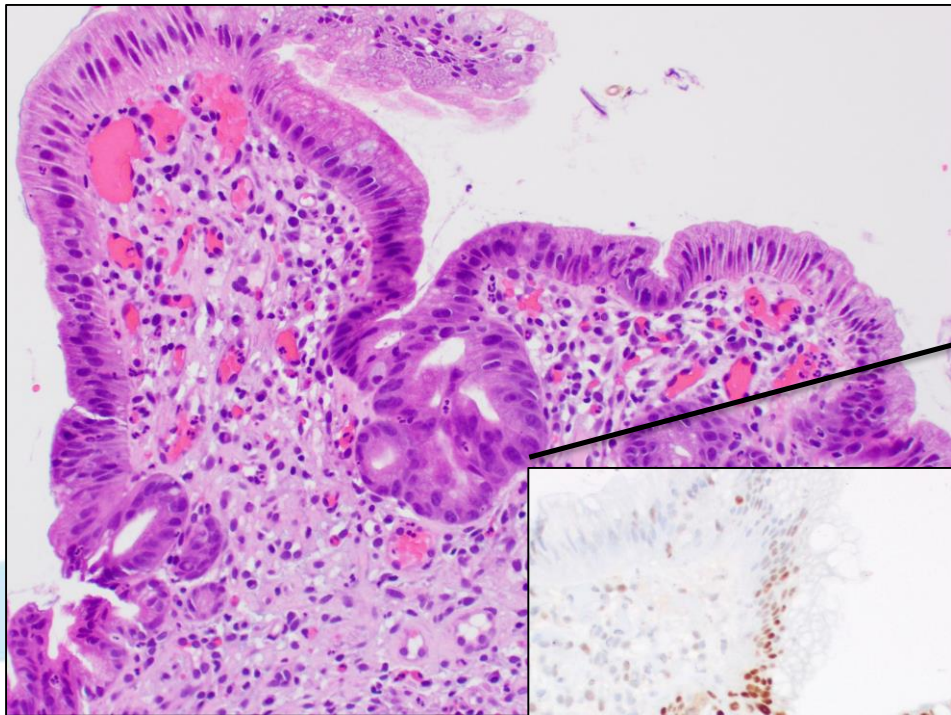






Male h/o of low and HGD  
Treated by RFA








## Dysplasia in Barrett's oesophagus: p53 immunostaining is more reproducible than haematoxylin and eosin diagnosis and improves overall reliability, while grading is poorly reproducible

Philip V Kaye,<sup>1</sup> Mohammad Ilyas,<sup>1</sup> Irshad Soomro,<sup>1</sup> Syeda A Haider,<sup>1</sup> Gurprit Atwal,<sup>2</sup>



## Improved diagnostic stratification of digitised Barrett's oesophagus biopsies by p53 immunohistochemical staining

Myrtle J van der Wel,<sup>1,2</sup>  Lucas C Duits,<sup>2</sup> Roos E Pouw,<sup>2</sup> Cornelis A Seldenrijk,<sup>3</sup>



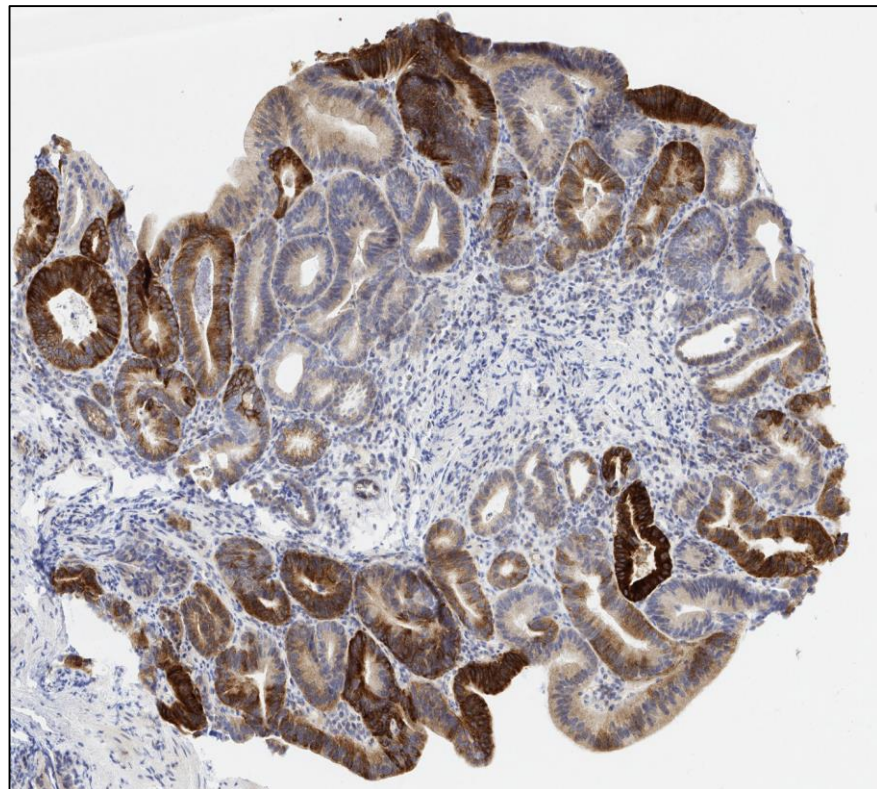
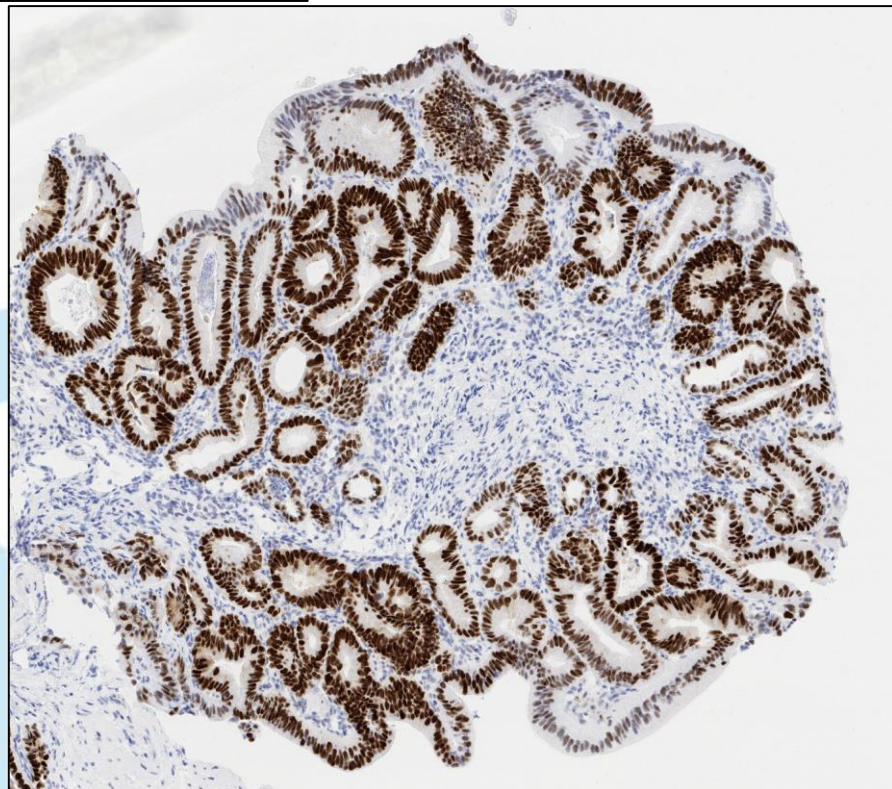
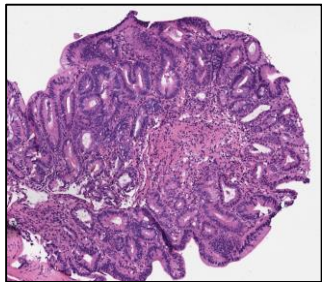
## p53 protein accumulation predicts malignant progression in Barrett's metaplasia: a prospective study of 275 patients

Mamoun Younes , Keith Brown, Gregory Y Lauwers, Gulchin Ergun, Frank Meriano, A Carl Schmulen, Alberto Barroso, Atilla Ertan

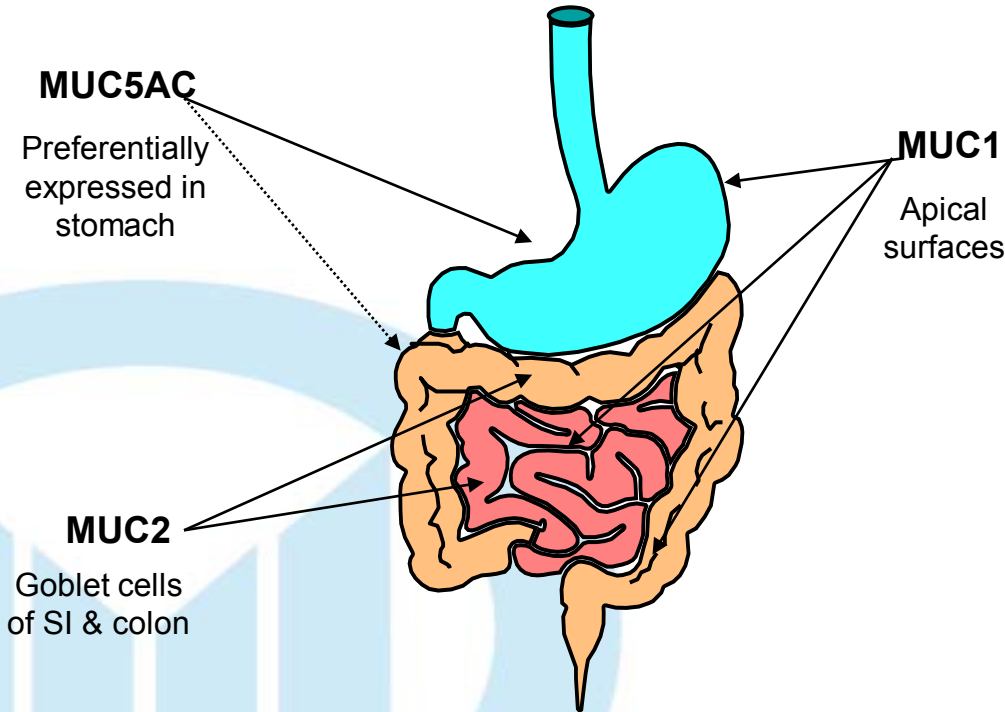
# IMP3 Immunoreactivity is More Sensitive Than AMACR in Detecting Dysplastic Epithelium and Early Adenocarcinoma in Barrett Esophagus

*Manoj R. Gadara, MD, Maria Gonzalez, MD, Richard W. Cartun, PhD, and Saverio Ligato, MD*

AIMM; 2017:6



# MUC Expression Upper GI Neoplasms



- Maybe similar to normal tissues or altered.
- Relative tissue specificity used to discriminate between CAs of various sites
- ? *Role in pathogenesis & prognosis?*

# MUC Analysis

## *Markers of differentiation:*

- Classification of neoplasms

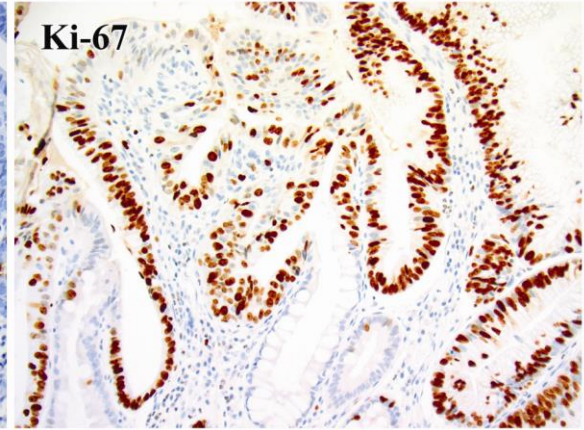
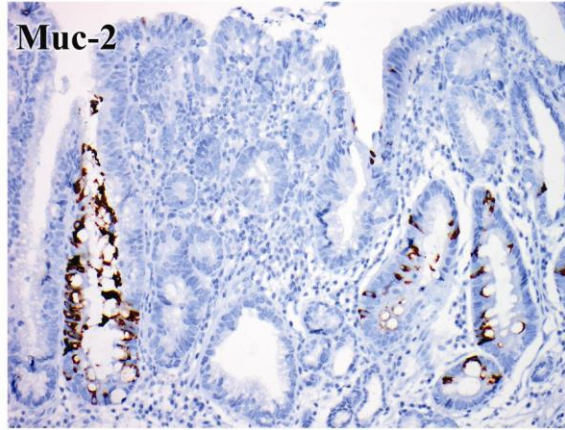
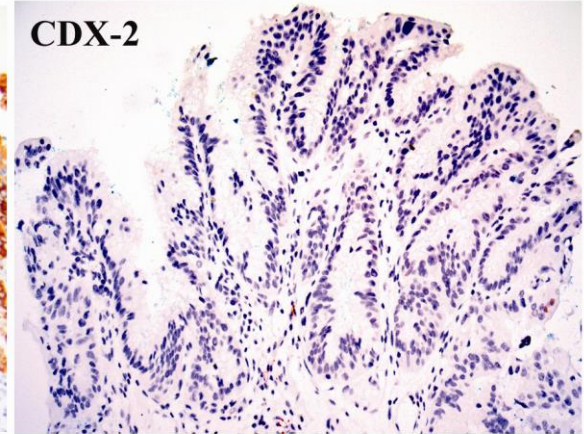
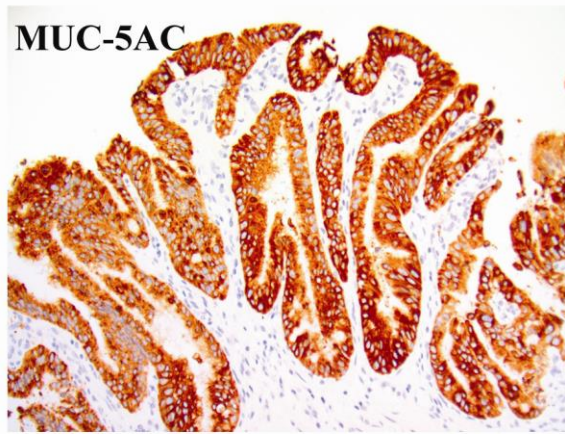
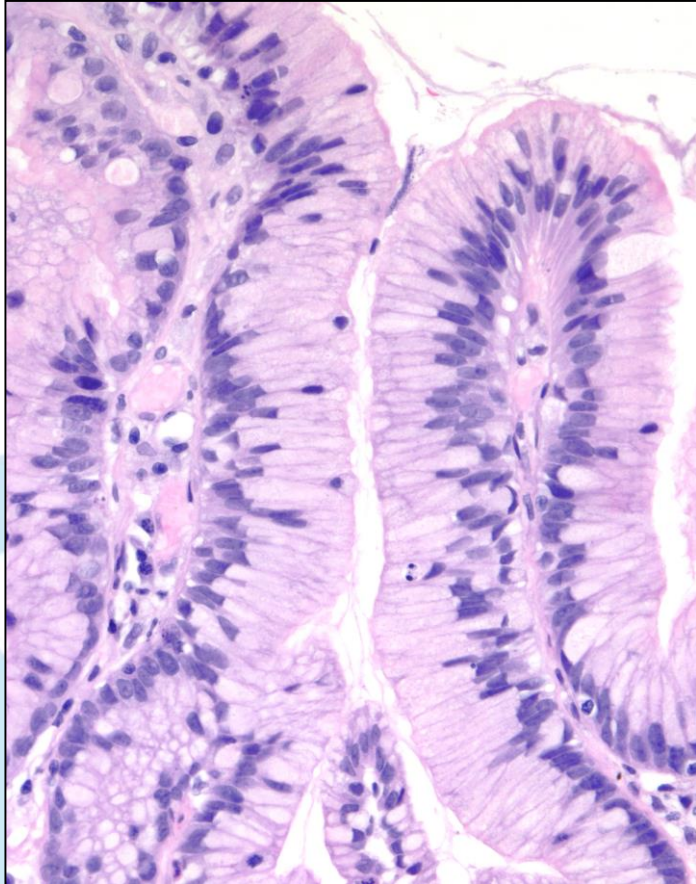
## *Biomarkers of cancer risk:*

- Precancerous lesions

## *Prognosis:*

- To be evaluated in BE neoplasms
- Likely in stomach
- Yes, in Ampullary CA

# BE-foveolar dysplasia



# BE-Foveolar dysplasia

- **Prevalence:46%.** (41 resections w/ dysplasia w or w/o associated inv. ACA)
  - HGD:58% -- Adjacent IM: 53%
- **Adenomatous (27%) & hybrid types (27%) of cases**
  - HGD in 91% / 100% of the cases
  - Adjacent IM: 100% / 82% (p<0.0001).

Brown IS. Mod Pathol 2010

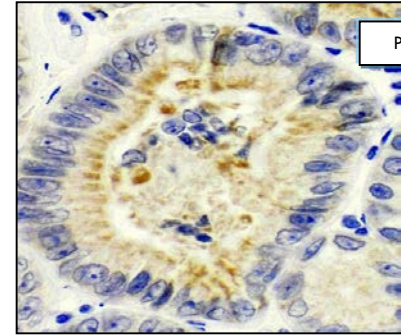
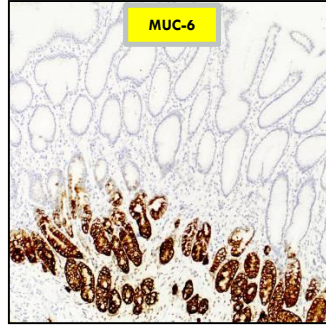
Dysplasia	Association with		Progression to cancer
	Conventional LGD	Conventional HGD	
Conventional LGD (N=22)			1(5%)
Conventional HGD (N=16)			12(75%)
Foveolar Dysplasia (N=17)	4(24%)	13(76%)	8(47%)
Serrated Dysplasia (N=6)	3(50%)	3(50%)	3(50%)



# GASTRIC NEOPLASIA

- DIAGNOSIS
  - MUC stains
- IMMUNOPHENOTYPE
  - MUC stains
- PROGNOSIS
  - MUC stains (?)
  - HER2-neu but also, CDH1, MMR and EBV
- GUIDE TO THERAPY
  - HER2-neu, MMR and EBV

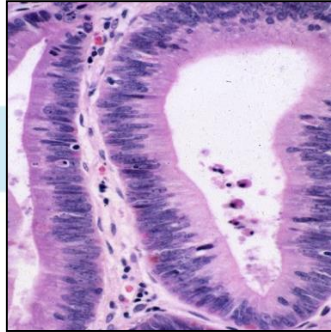
## Cell Type-Specific Expression of Muc-5AC & Muc-6



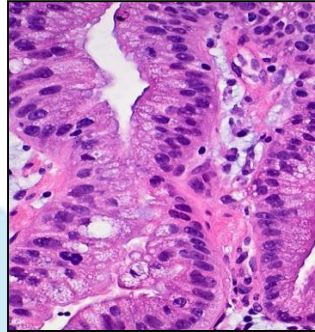
Pepsinogen I

## Phenotypic Diversity of Gastric Dysplasia

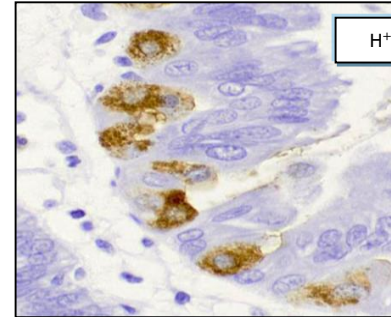
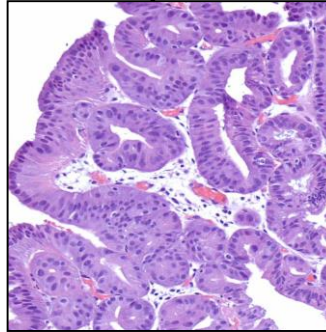
Adenomatous



Foveolar



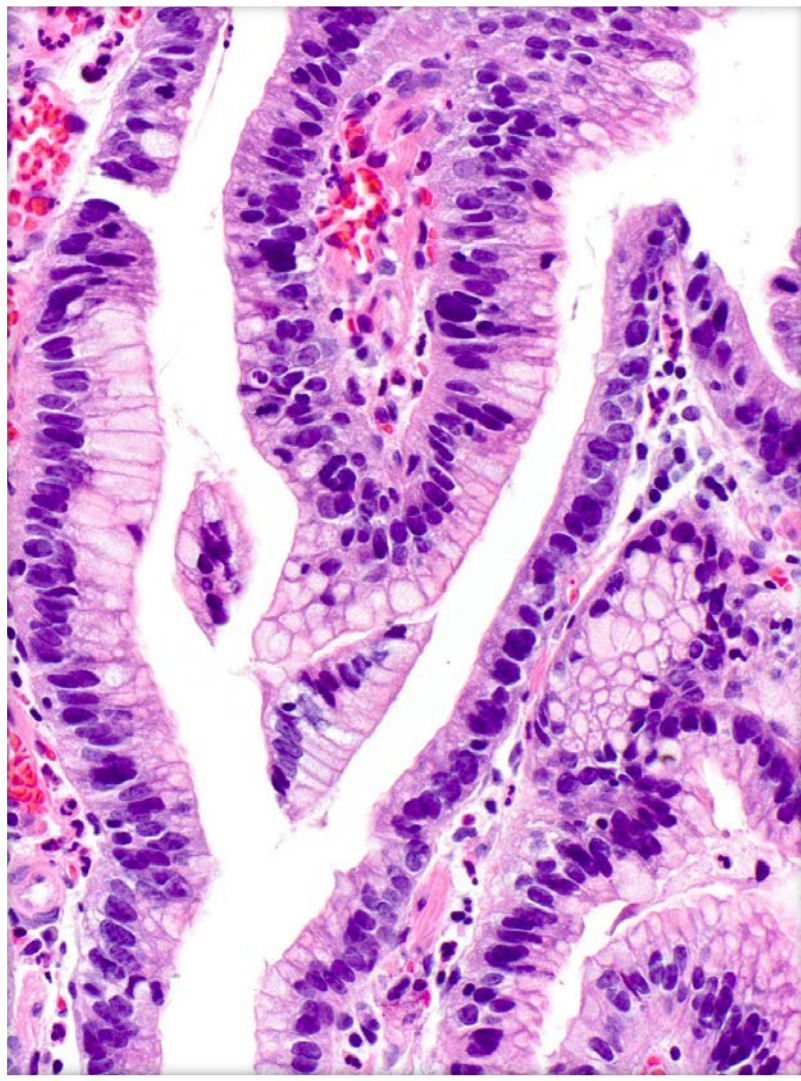
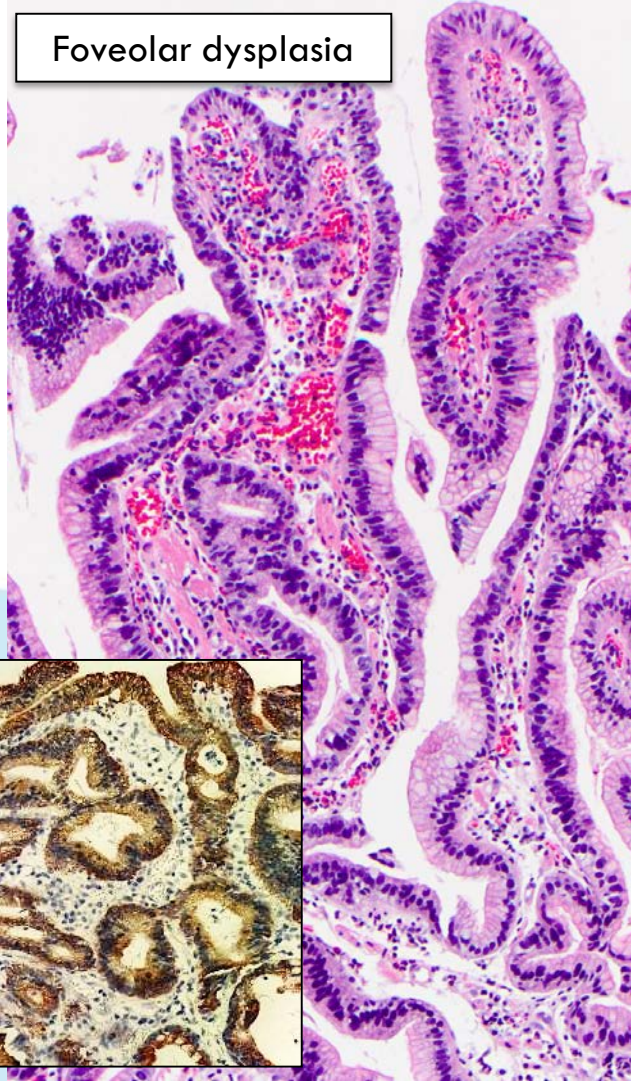
Pyloric



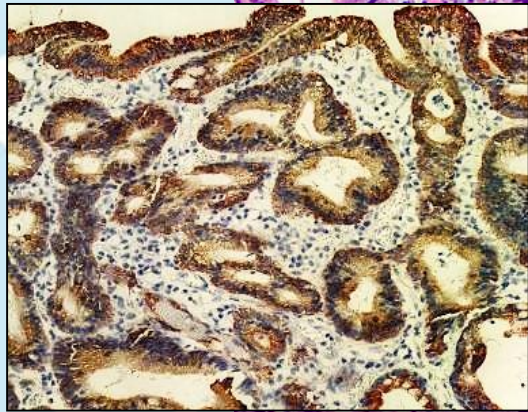
H<sup>+</sup>/K<sup>+</sup> ATPase

	CD10	MUC2	MUC5AC	MUC6
Intestinal	(+) (Apical membrane)	(+) (Goblet cells)	(-)	(-)
Foveolar	(-)	(-)	(+)	(+/-) (glands)
Pyloric	(-)	(-)	(+) (surface)	(+) (glands)

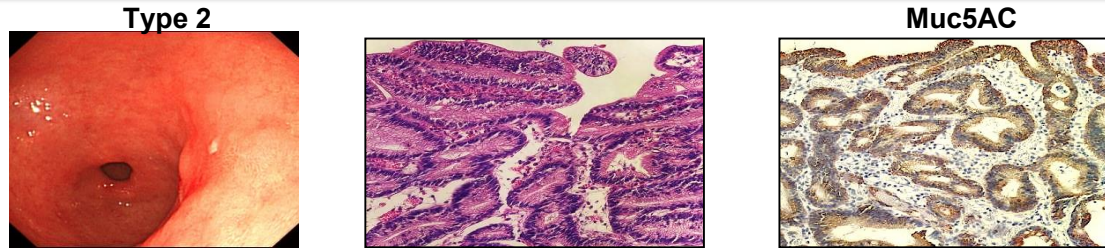
Foveolar dysplasia



MUC5AC



Prevalence of foveolar GED: 22% (Adenomatous: 45%, hybrid 33%) (n=69)



- Foveolar GED is often depressed/flat and associated w/ HGD ( $p= 0.046$ ).
- HGD associated w/ MUC5AC expression regardless of the type ( $p=0.026$ ).

Grade	Immunophenotype			p value
	Foveolar (n=24)	Intestinal (n=22)	Hybrid (n=14)	
HGD (n=25)	15* (63%)	4 (18%)	6 (43%)	
Low grade (n=35)	9 (37%)	18 (82%)	8 (57%)	0.010

\* coexistent intramucosal carcinoma in 8 cases

Foveolar differentiation is associated w/ HGD & coexistence of IMC

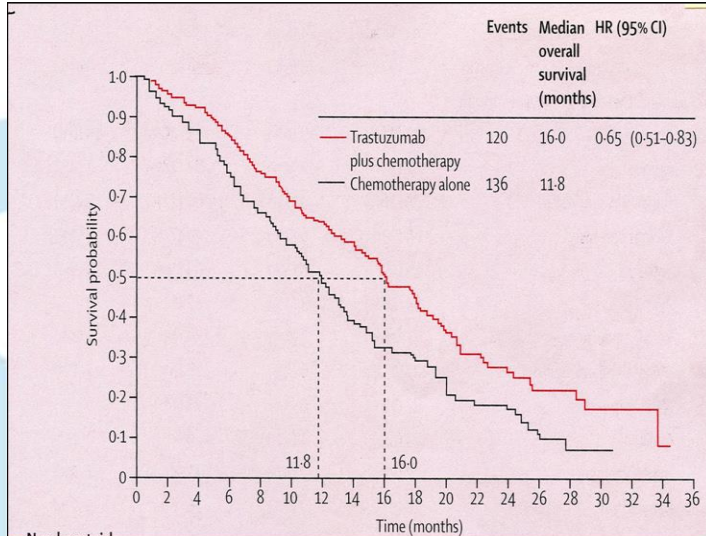
# HER 2

- Receptor tyrosine kinase & member of EGFR family.
- 10-20% of CAs show ERBB2 amplifications resulting in protein overexpression.

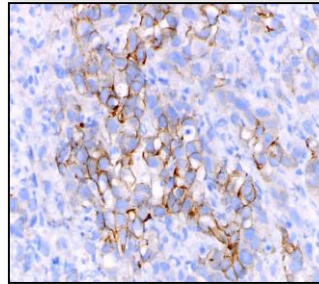
Trastuzumab in combination with chemotherapy versus chemotherapy alone for treatment of HER2-positive advanced gastric or gastro-oesophageal junction cancer (ToGA): a phase 3, open-label, randomised controlled trial



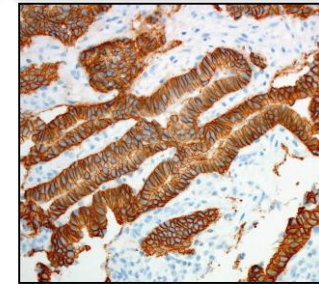
*The Lancet*, 2010, 376,687-697



Weak to mod. complete, basolateral or lateral membranous reactivity



Strong complete or basolateral or lateral membranous reactivity



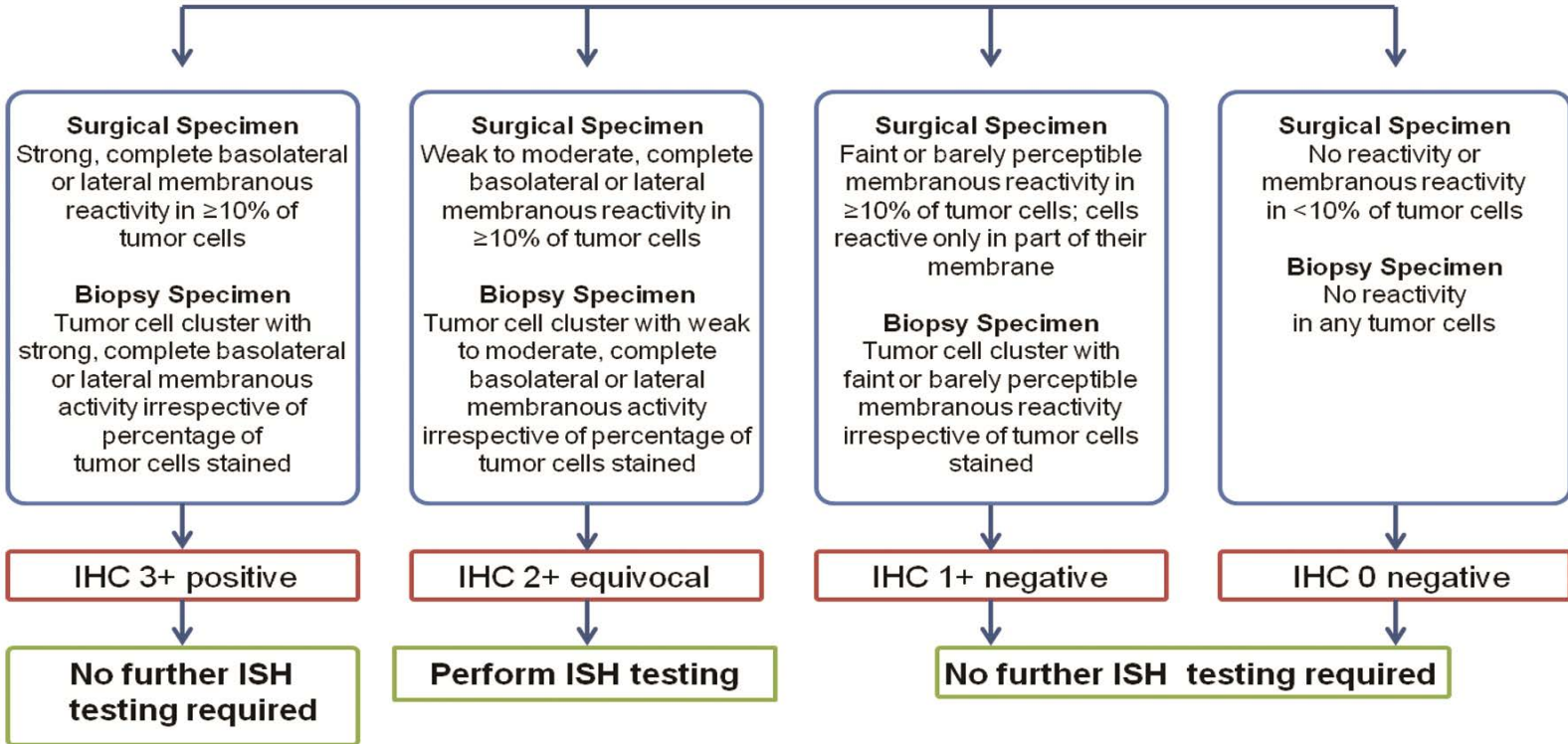
**More common in CAs of GEJs than stomach**

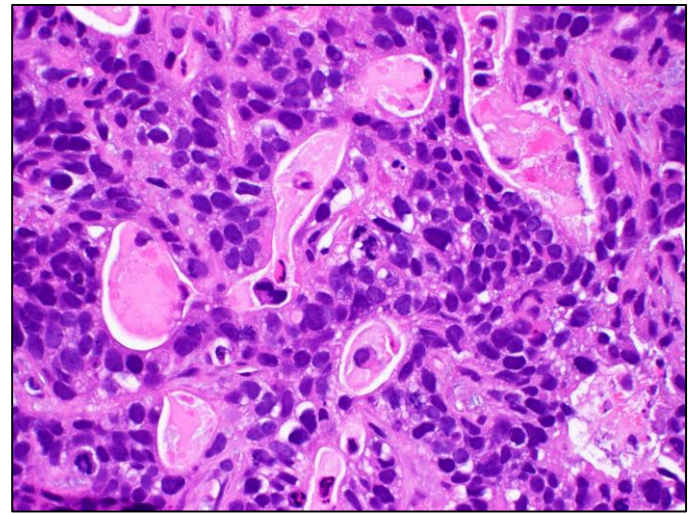
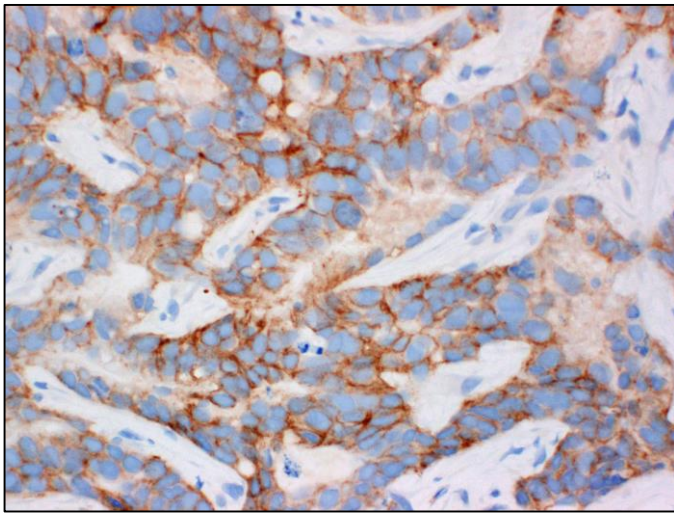
	IHC0	IHC1+	IHC2+	IHC3+
Diffuse (n=52)	73%	17%	6%	4%
Tubular (n=100)	61%	5%	7%	27%
Mixed (n=22)	73%	4%	14%	9%

Lee S. *Histopathology* 2011;59:832-840

Tissue sample from patient diagnosed with gastric cancer

Perform HER2 test using IHC

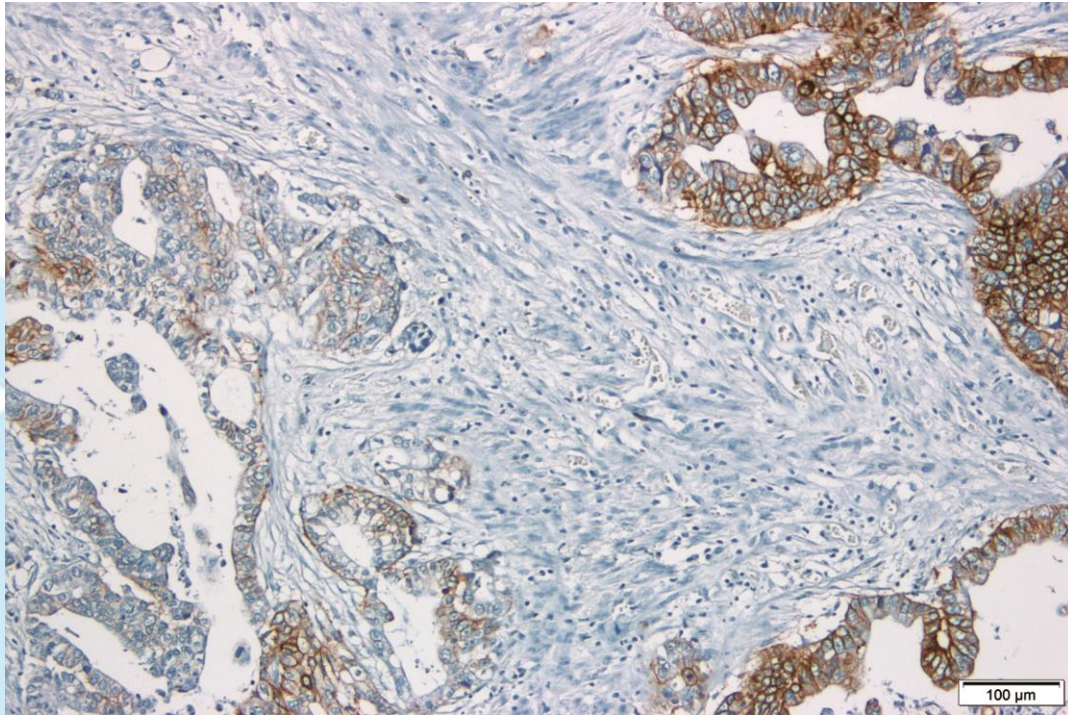




- BX, resection specimens, metastatic bxs and cytology samples can be used.
- Heterogeneity in gastric & GE CAs: 5 to 40%.
- Negative result in fewer than 5 tumor fragments may not be accurate and warrants re-biopsy.

- 95% - 98% concordance rate between metastases and primaries
- Thus, heterogeneous amplification in primary lesions is responsible for discordant ERBB2 status of primary & metastases in gastric CAs

*(Br J Cancer 2011;104:1372-1376. -Pathology. 2015;7:641-6)*





# Genetic & Molecular Classifications of Gastric Cancer

THE CANCER GENOME ATLAS (TCGA)  
(Nature.2014)

Drug Responsive Gastric Cancer Subtypes.  
"Singapore-Duke" study  
(Gastroenterology 2013)

Molecular analysis of gastric cancer identifies subtypes associated with distinct clinical outcomes.  
(Nature Medicine.2015)

**EBV**

- EBV-CIMP
- PIK3CA mut.
- PD-L1/2 expression

**MSI**

- Gastric-CIMP
- MLH1 silencing

**GS**

- Diffuse histology
- CDH, RHOA mutations

**CIN**

- Intestinal histology
- TP53 mutations

**MESENCHYMAL**

- Low TP53 mutations
- Low level of CDH1 (E-Cadherin)

**PROLIFERATIVE**

- High number of TP53 mutations

**METABOLIC**

- Low TP53 mutations
- Expression of genes characteristic of normal gastric mucosa

LAUREN DIFFUSE

LAUREN INTESTINAL

No histological correlate

PIK3CA Mtor inhibitors

5-FU+Surgery

MSS/TP53+

**MSI**  
Antrum  
Intestinal type  
Early stage Best  
Prognosis

**MSS/EMT**  
Loss of CDH1  
Young age  
Diffuse type Worst  
Prognosis

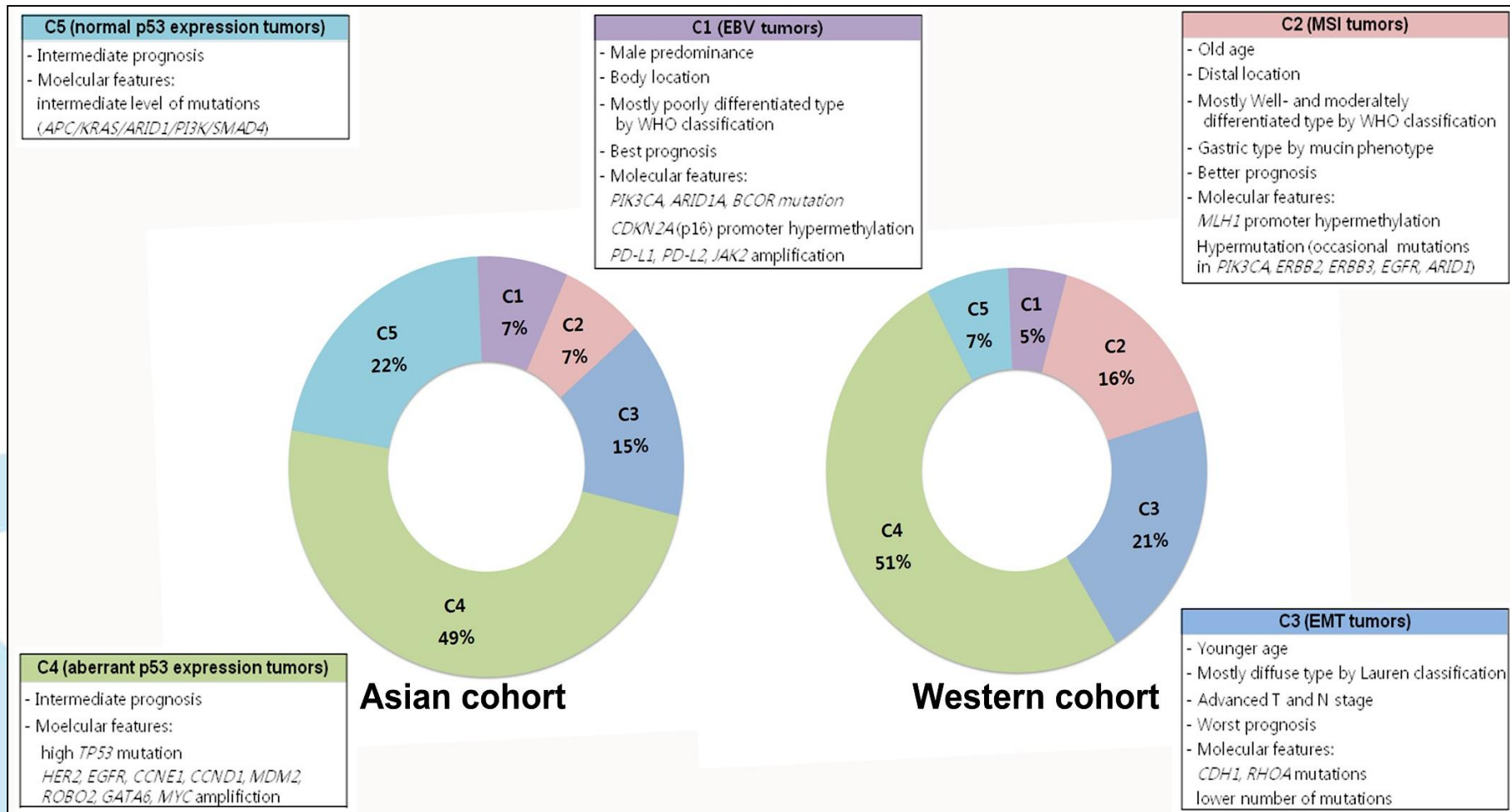
**MSS/TP53-**  
Male Intestinal  
type  
Adv. Stage Int.  
prognosis

**MSS/TP53+**  
Male  
Intestinal type  
Int. prognosis

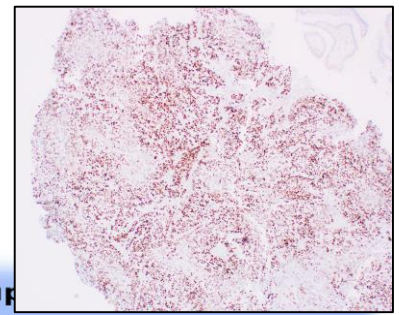
Enriched in *ARID1A*,  
*KRAS*, *PIK3CA*

Enriched in *APC*,  
*ARID1A*, *KRAS*,  
*PIK3CA*, *SMAD4*

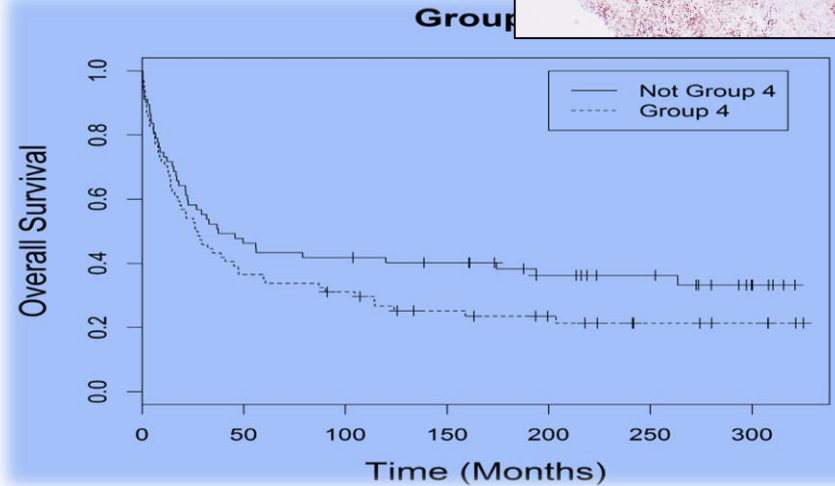
Enriched in *ERBB2*,  
*EGFR*, *CCND1*,



# Gastric CA w/ Aberrant p53 Expression



Frequency	45-51%
Age	Mean:68 years
Sex	M:F=2.5:1 to 1.5:1
Localization	Variable
Histology	Intestinal (~80%)



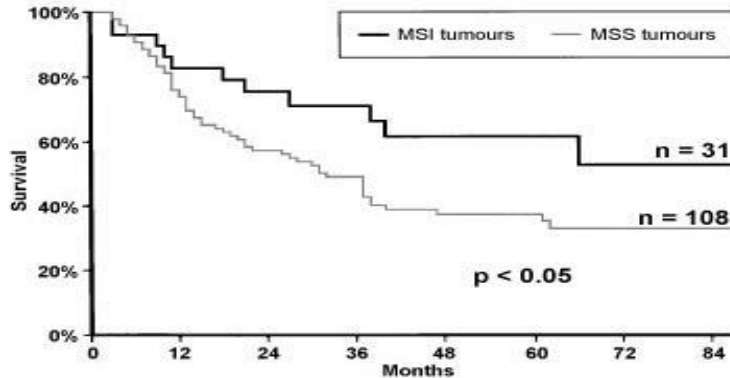
<sup>1</sup>Bass et al. Nature.2014. <sup>2</sup>Lei et al. Gastroenterology.2013.

- Associated with higher nodal status >N0
- A trend towards increased Her2 staining
- No significant survival difference ( $p = 0.13$ , median survival: 26.8 months) (N.Setia 2016)

# Microsatellite Instability: a marker of good prognosis

15-38% of gastric cancers

## Univariate analysis

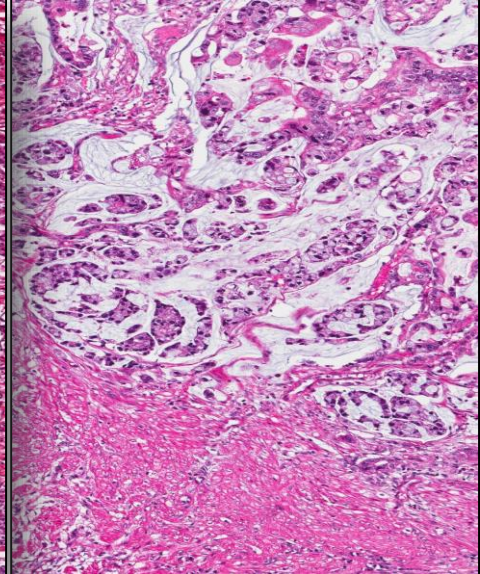
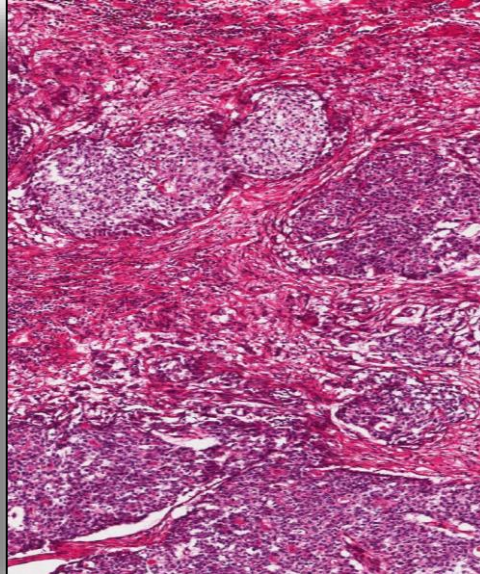
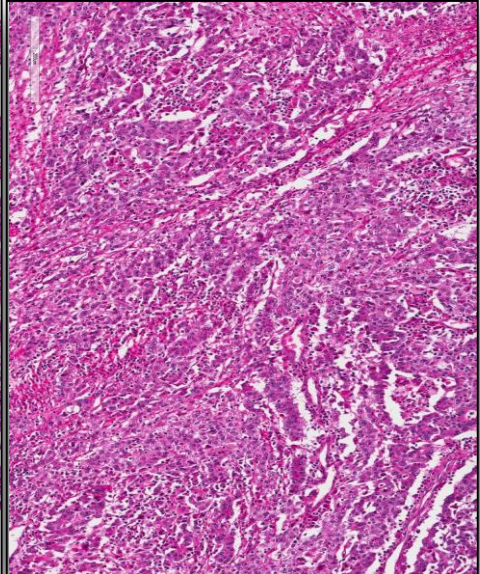
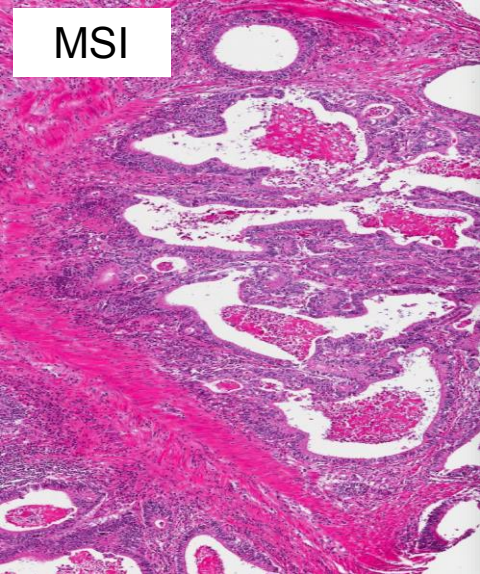


## Multivariate analysis

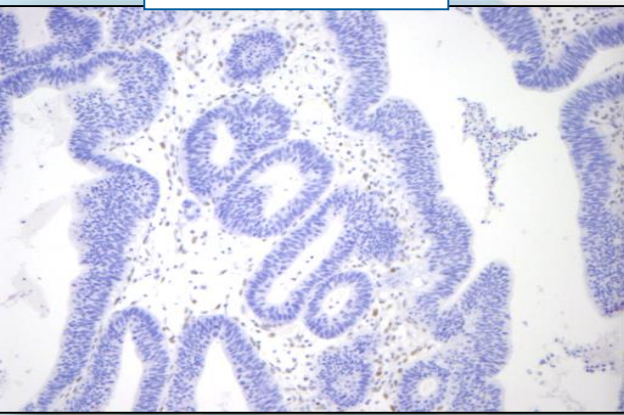
- Staging (pTNM) ( $p < 0.0008$ )
- Venous invasion ( $p = 0.004$ )
- Histological classification ( $p = 0.08$ )
- Microsatellite instability ( $p = 0.04$ )

Location	Antrum+
<i>H. Pylori</i> infection	Common
Patient age	Older patients (>65)
Histology	Tubular , <b>Papillary, solid, mucinous, poorly cohesive</b>
Node metastasis	Infrequent
Genetic changes	Associated w/ TGFpR11, BAX, hMSH3 gene mutation.
Epigenetic changes	Associated w/ CpG island hypermethylation (CIMP) of hMLH1

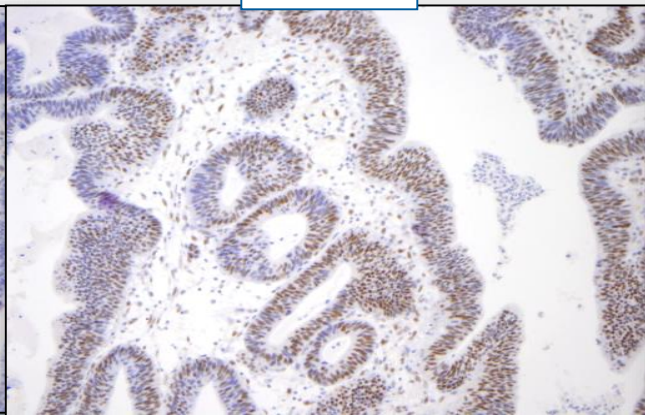
MSI



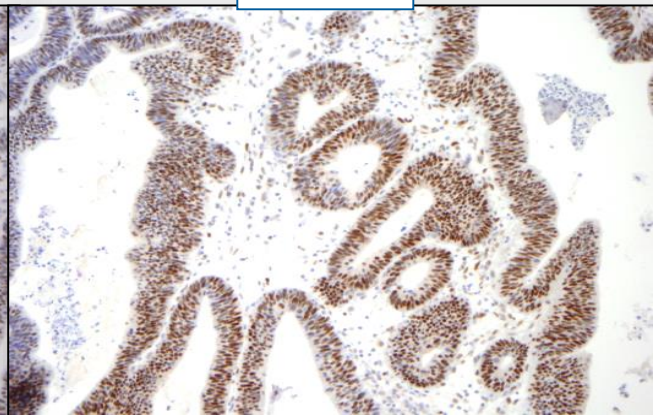
MLH1/PMS2



MSH2

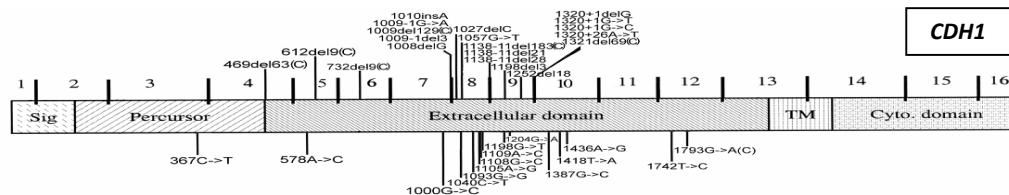


MSH6



# Gastric CA w/ Aberrant E-cadherin Expression

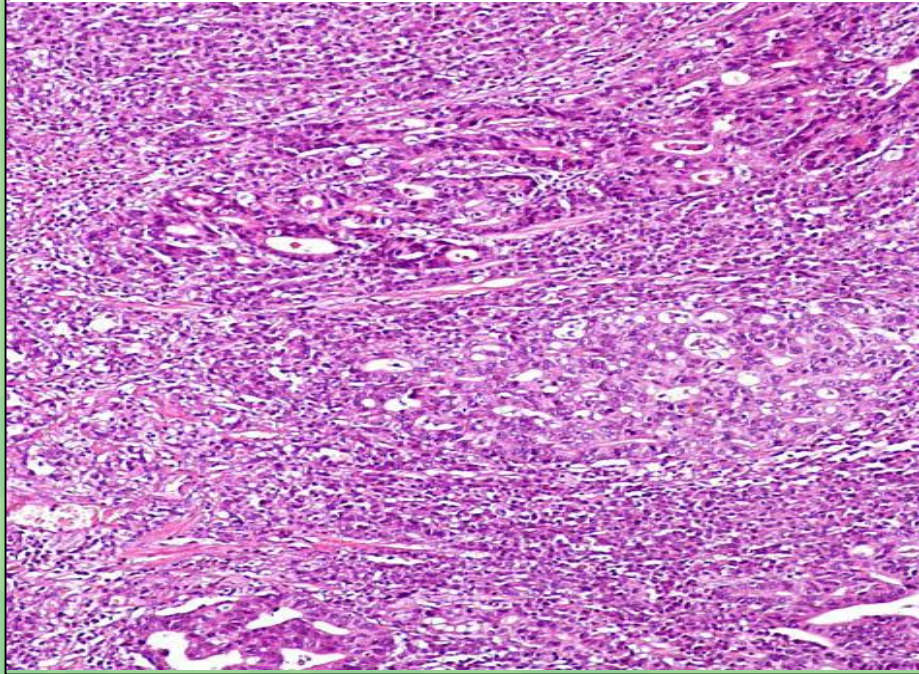
Frequency	15%-21%
Age	Mean:67.23 years (22-83 years)
Sex	Male-predominant (M:F=1.6:1)
Localization	Less commonly involving cardia (cardia-23%)
Histology	Diffuse/poorly cohesive (60-90%)



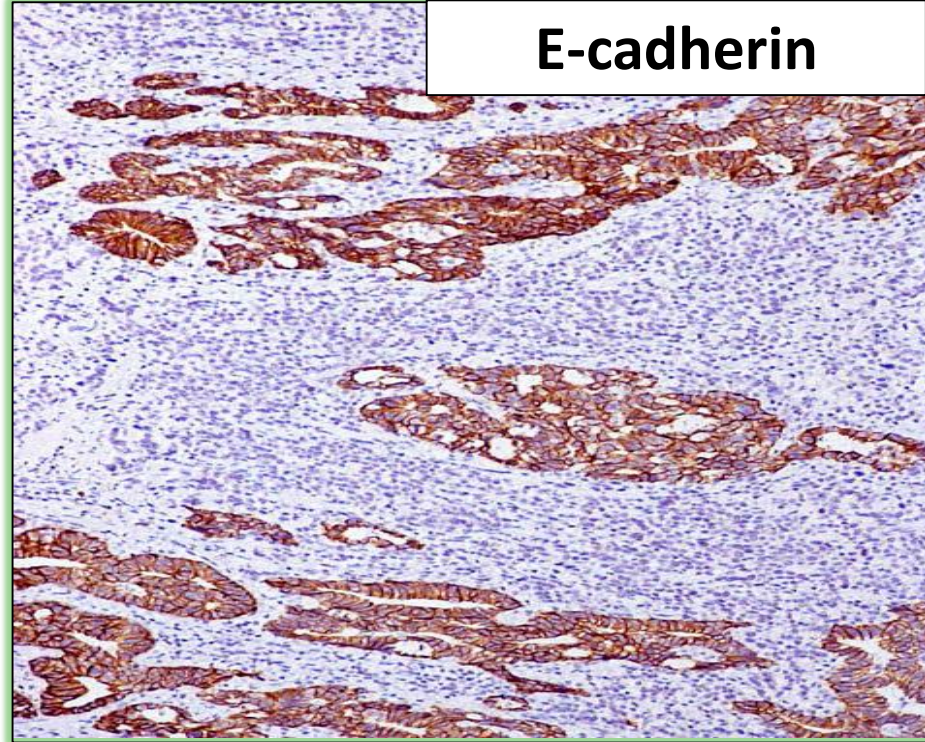
<sup>1</sup>Bass et al. Nature.2014. <sup>2</sup>Lei et al. Gastroenterology.2013.

- Low frequency of aberrant p53 expression

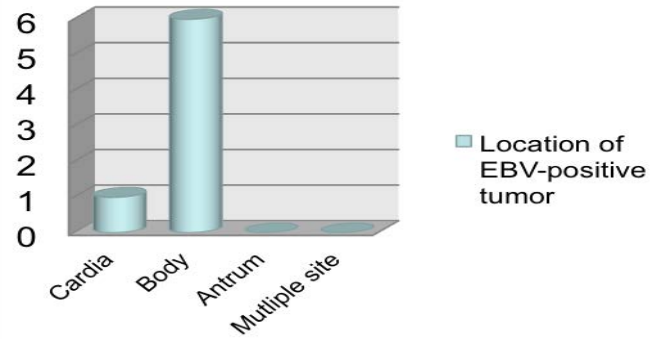
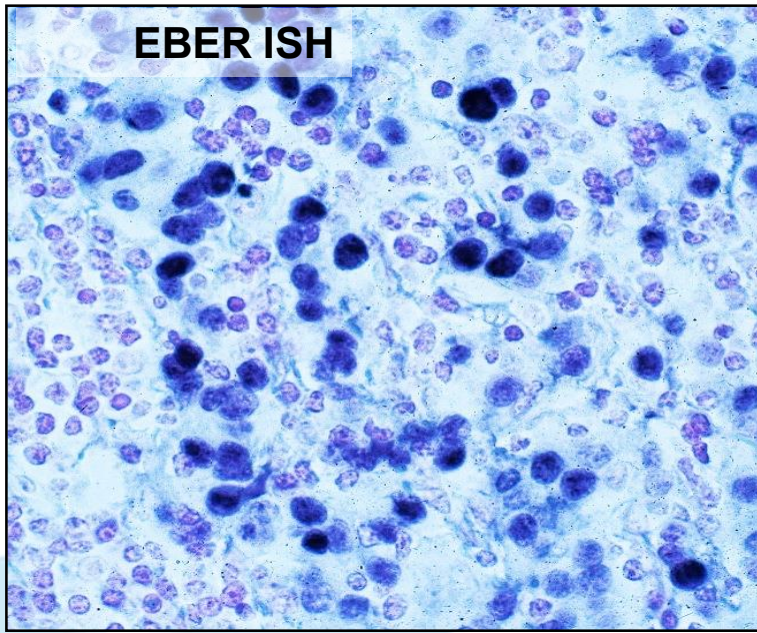
**CA w/ Aberrant E-cadherin Expression**



**E-cadherin**

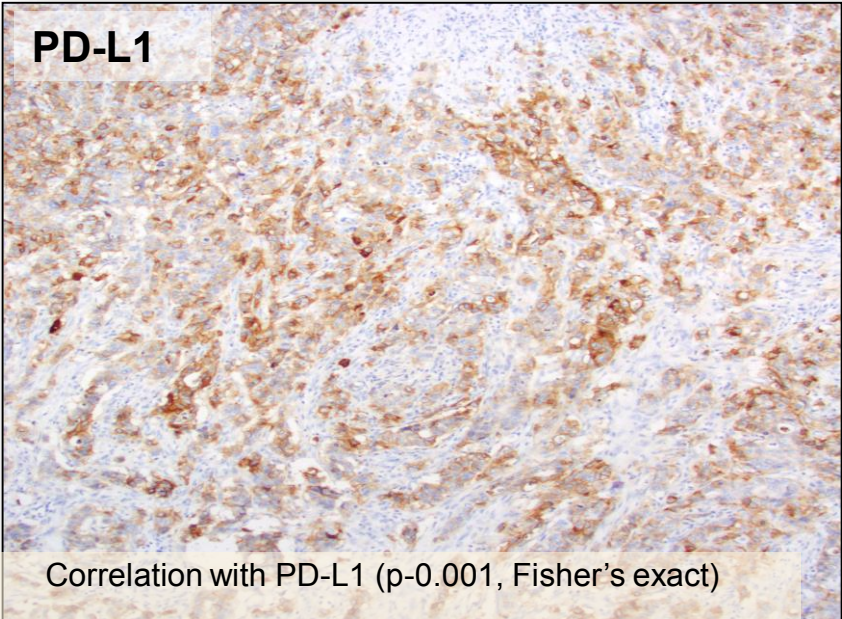


- No survival difference VS worse prognosis (?)

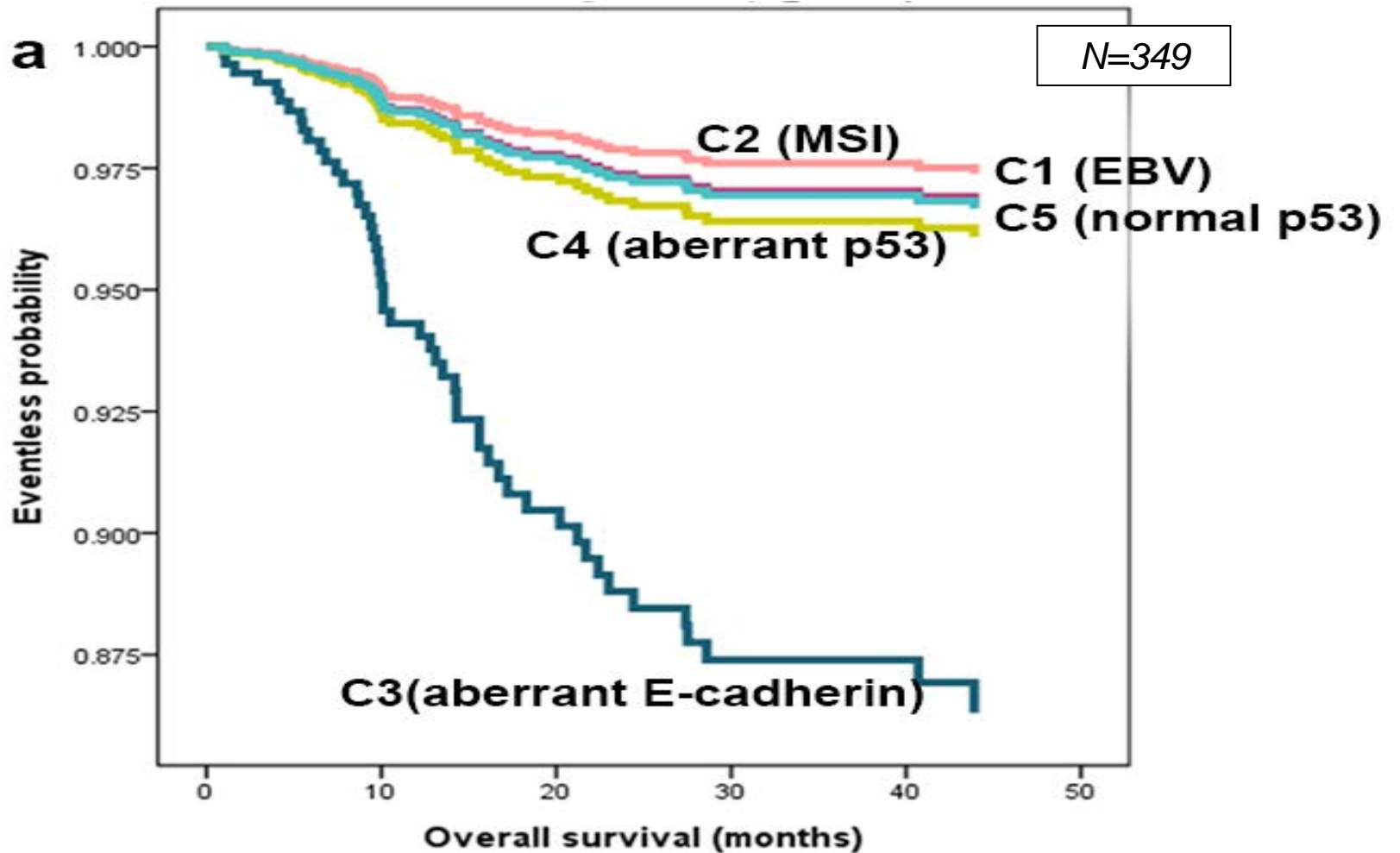


- Trend towards better survival

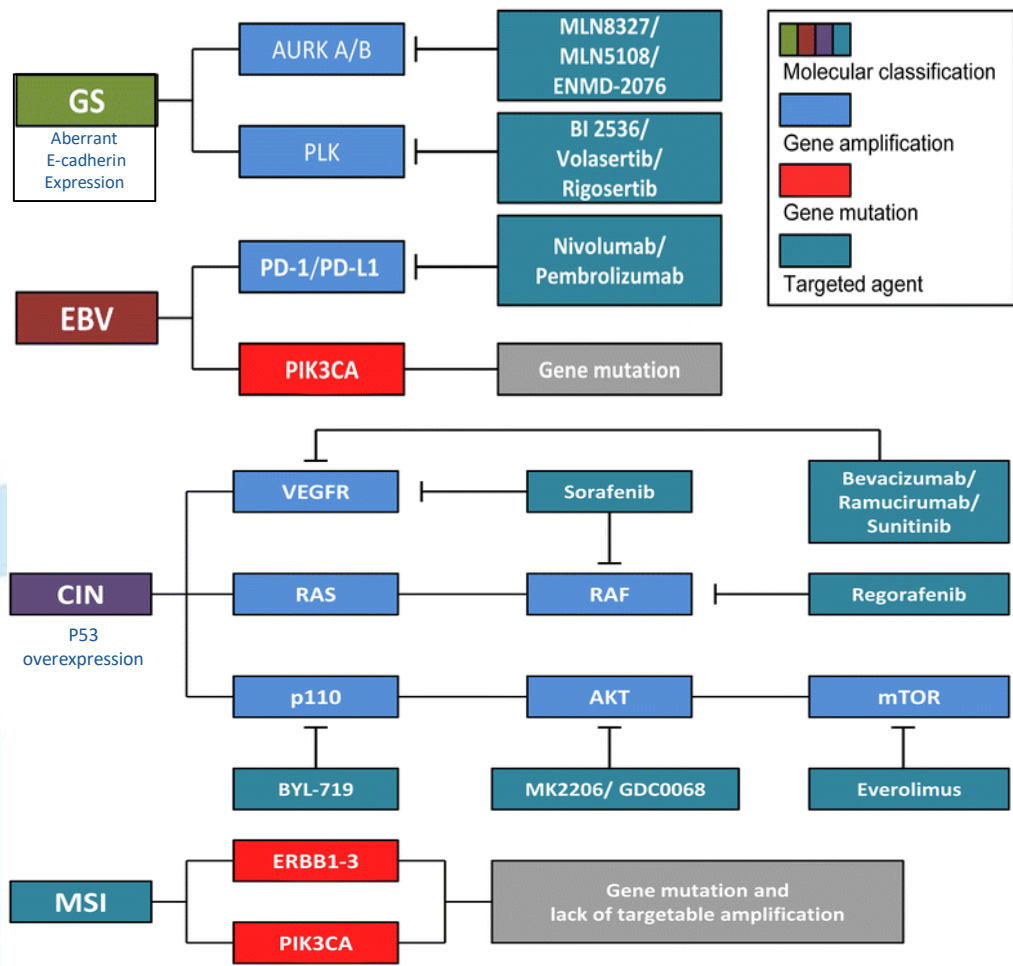
Frequency	<b>5-10%</b>
Age	<b>Mean:64.85 years</b>
Sex	<b>Male-predominant (M:F=1.3:1)</b>







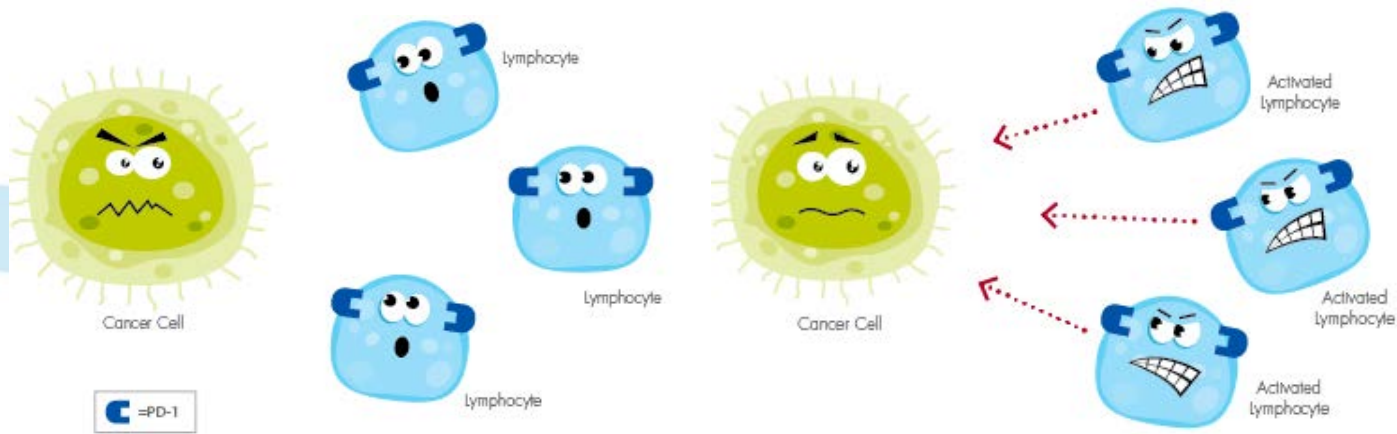
# Specific adjuvant therapy for each type of gastric cancer



*PD-L1 positive or MSI high or Mismatch repair deficient advanced cancers that have previously been treated with at least 2 prior systemic therapies*

# Immunosurveillance

*What is supposed to happen.....*

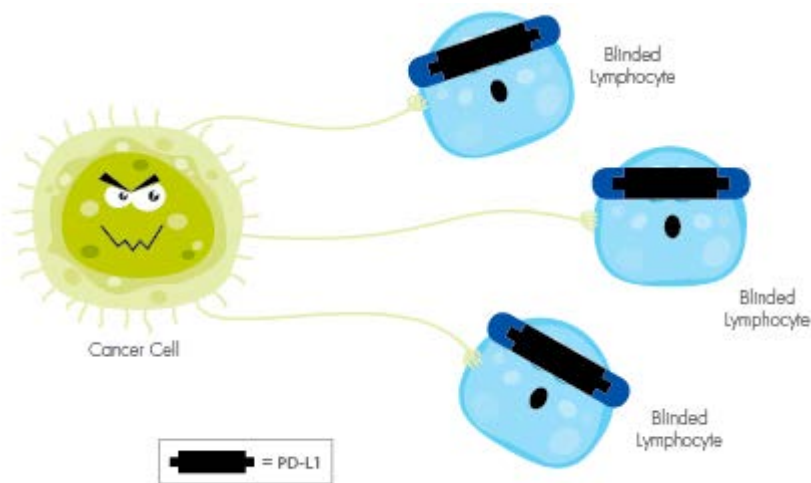


*Lymphocytes recognize the cancer cell as foreign...*

*....and become activated to help destroy the cancer cell*

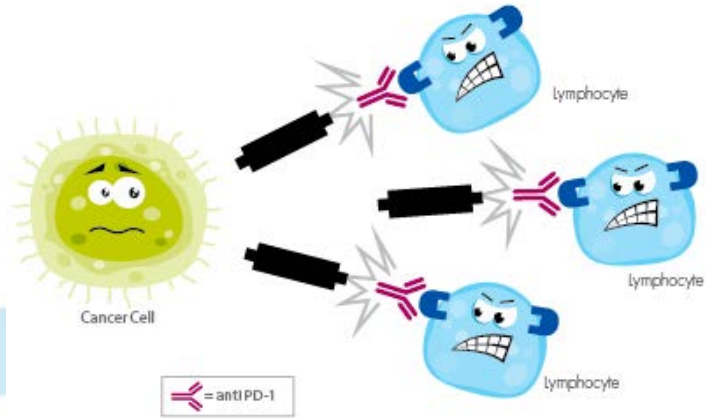
# Immunotherapy Evasion

*But what happens sometimes.....*

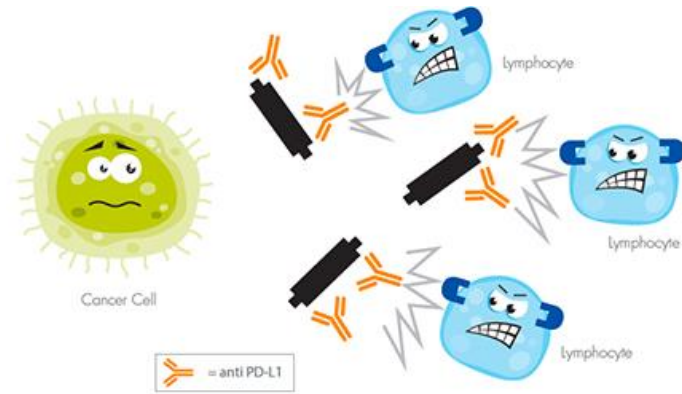


***Cancer cell secretes proteins that make lymphocytes and other immune cells unable to “see” the cancer cells.***

# Immunotherapy



***Immunotherapy agents such as Opdivo and Keytruda stick to PD-1 so it can't interact with PD-L1***



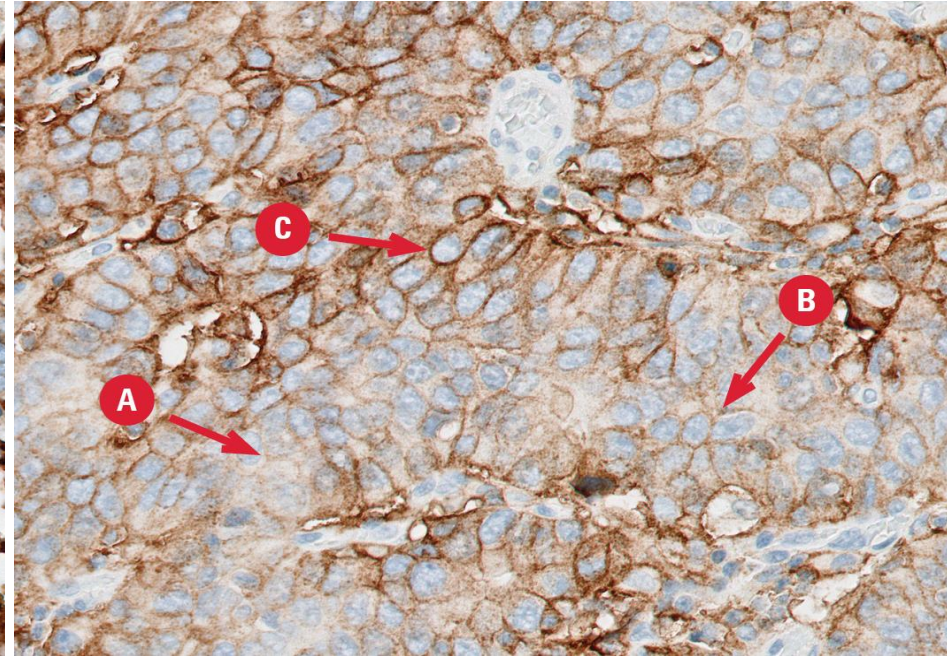
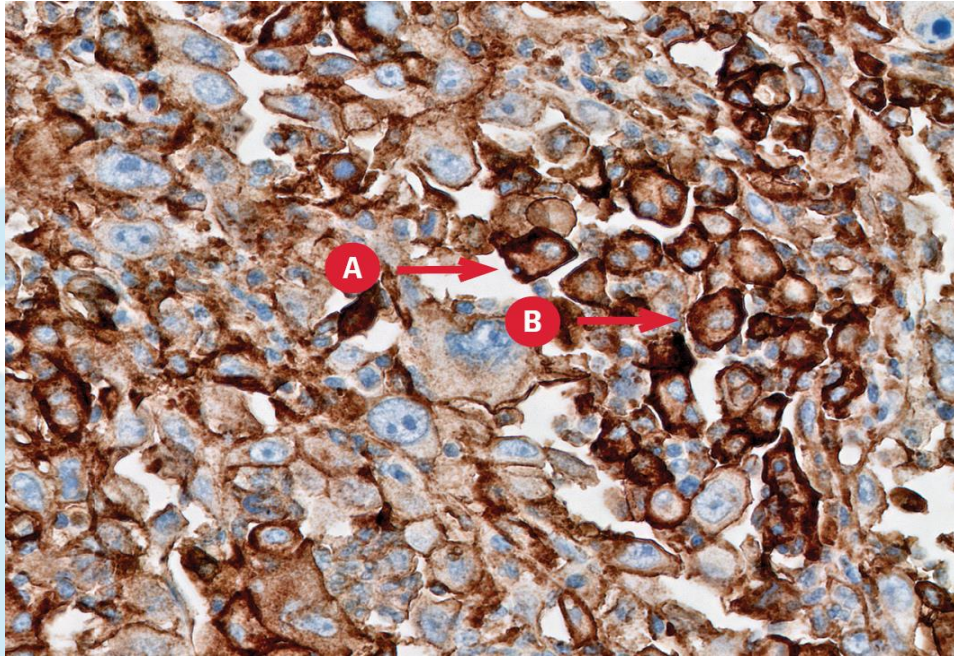
***Some other immunotherapies in development bind to PD-L1 and inhibit PD-1 from binding to PD-L1***

# PD-L1 IHC 22C3 pharmDx

- Qualitative immunohistochemistry assay

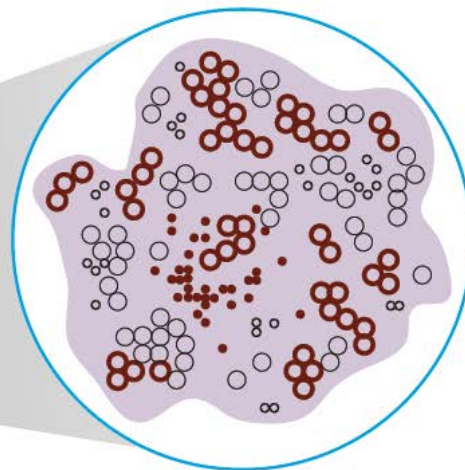
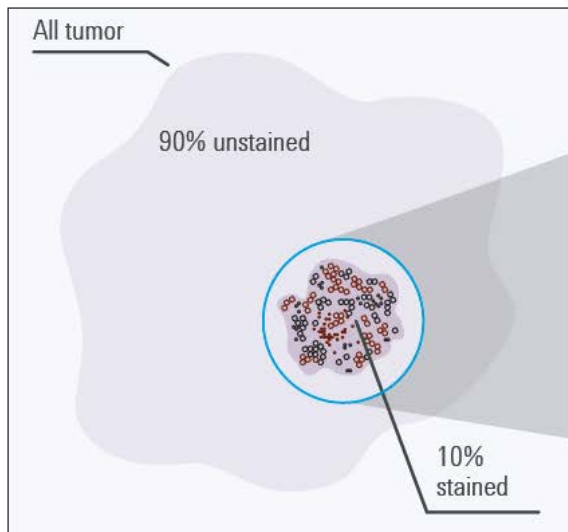
PD-L1 antibody shows linear membrane staining distinct from cytoplasmic staining (20×).

Heterogeneous staining intensities  
A: 1+ intensity, B: 2+ intensity, C: 3+ intensity



A minimum of 100 viable tumor cells must be present for the specimen to be considered adequate for PD-L1 evaluation.

**Assessment:** There are approximately 100 viable tumor cells and about 80 PD-L1 staining cells (per the CPS numerator)



Any convincing partial or complete linear membrane staining ( $\geq 1+$ ) of viable tumor cells that is perceived as distinct from cytoplasmic staining is considered PD-L1 staining and should be included in scoring.

Any convincing membrane and/or cytoplasmic staining ( $\geq 1+$ ) of lymphocytes and macrophages (mononuclear inflammatory cells, MICs) within tumor nests and/or adjacent supporting stroma is considered PD-L1 staining and should be included in scoring. Only MICs directly associated with the response against the tumor are scored.

- PD-L1 staining tumor cell
- PD-L1 non-staining tumor cell
- PD-L1 staining mononuclear inflammatory cell
- PD-L1 non-staining mononuclear inflammatory cell

Calculate the Combined Positive Score of the entire tumor area:

**Assessment: CPS of area with staining:**

$$\text{CPS} = \frac{\# \text{ PD-L1 staining cells}^*}{\text{Total \# viable tumor cells}} \times 100 = \frac{\sim 80 \text{ PD-L1 staining cells}}{100 \text{ tumor cells}} \times 100 = 80$$

**CPS of entire tumor area: 10% x 80 = ~CPS 8**

or

- CPS < 1: No PD-L1 expression
- CPS  $\geq$  1: PD-L1 expression

# DUODENAL NEOPLASIA

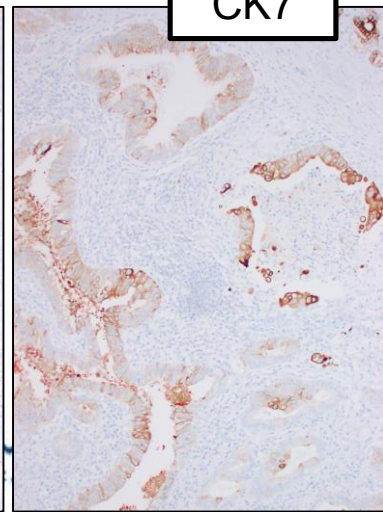
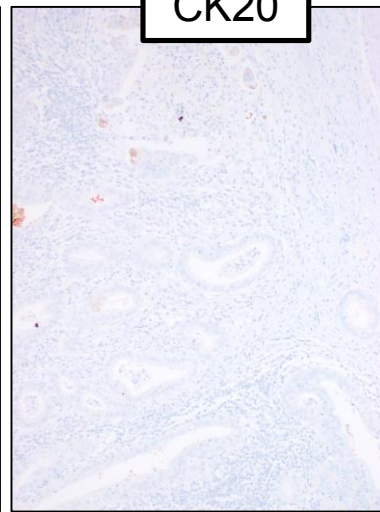
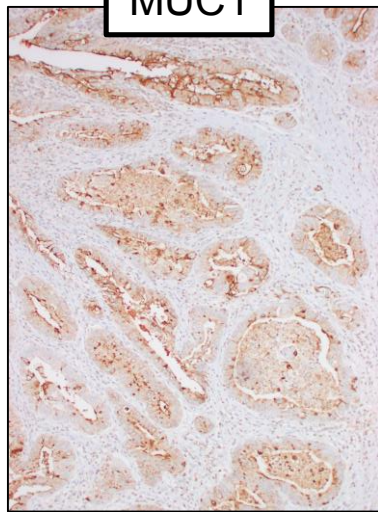
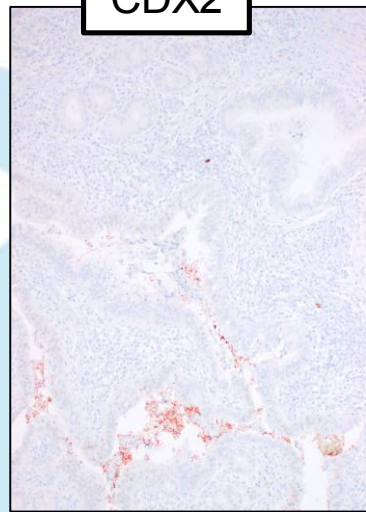
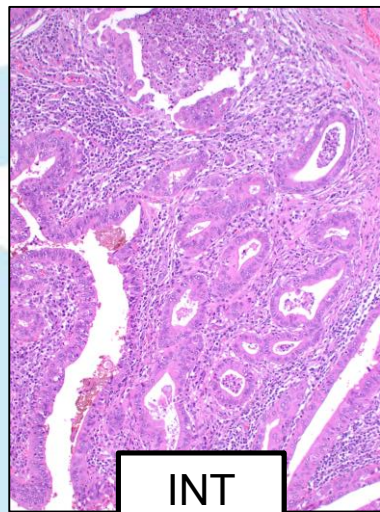
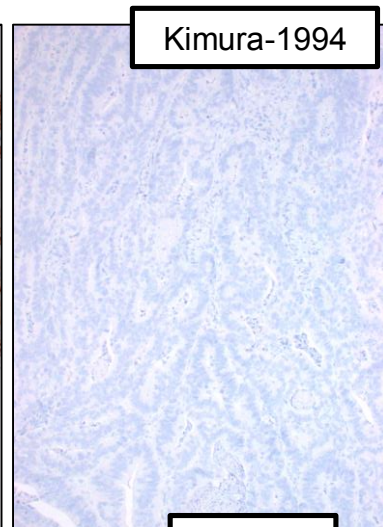
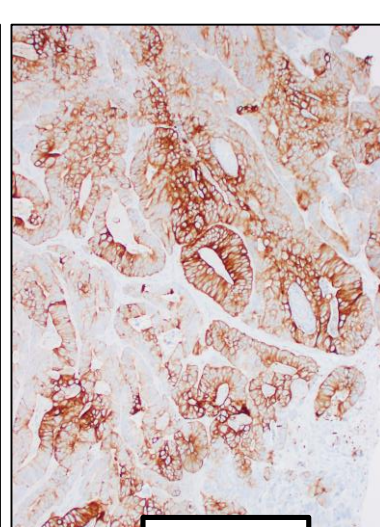
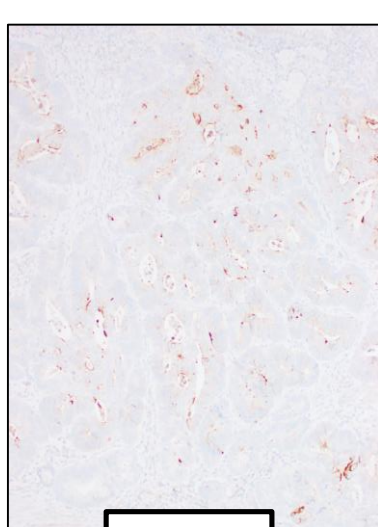
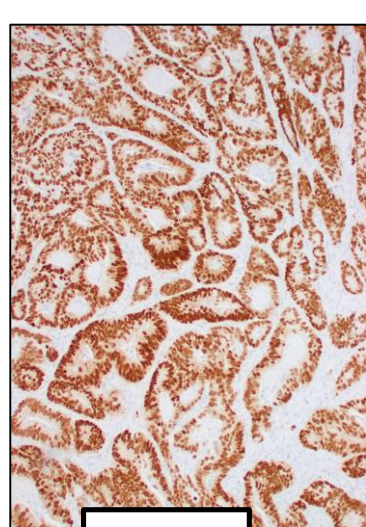
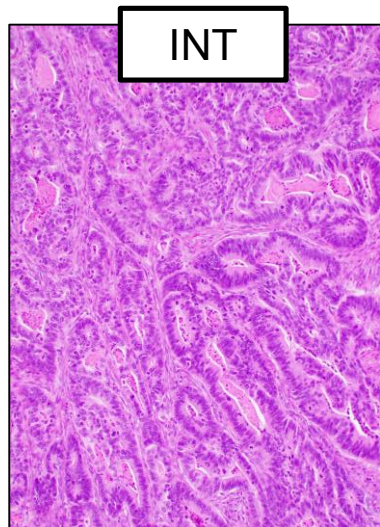
- CA Ampullary of Vater
  - Pancreatic, duodenal or biliary origin / type can be uncertain.
  - Heterogeneous of clinical behavior
- IMMUNOPHENOTYPE /PROGNOSIS
- GUIDE TO THERAPY



# CA of Ampulla of Vater

- Histologic sub-classification [Kimura]
  - CAs w/ intestinal phenotype fare better than those w/ biliary or pancreatic phenotype.
  - MUC2 [+] and CDX2 [+] tend to correlate w/ better survival
  - MUC1 [+] correlate with worse survival.

Jpn J Cancer 1994;85:161-166



## Histomolecular Phenotypes and Outcome in Adenocarcinoma of the Ampulla of Vater

David K. Chang, Nigel B. Jamieson, Amber L. Johns, Christopher J. Scarlett, Marina Pajic, Angela Chou,

Anthony J. Gill, Aldo Scarpa, Colin J. McKay, and Andrew V. Biankin

72 pts / validated in 136 pts

**Validation of histomolecular classification utilizing histological subtype, MUC1, and CDX2 for prognostication of resected ampullary adenocarcinoma**

154 pts

- Cases classified using histology, CDX2 & MUC1\*
  - Pancreaticobiliary histo-molecular phenotype : PB histology, MUC1 [+] and [-] CDX2 staining.
  - Others: intestinal histo-molecular phenotype (INT).
  - PB phenotype and LN positivity were indicators of poor OS [in multivariate analysis] & verified across all cohorts.

*CDX2 score >35 & MUC1>10%*

# Histomorphologic and molecular phenotypes predict gemcitabine response and overall survival in adenocarcinoma of the ampulla of Vater

Tobias S. Schiergens, MD,<sup>a,b</sup> Simone Reu, MD,<sup>b,c</sup> Jens Neumann, MD,<sup>b,c</sup> Bernhard W. Renz, MD,<sup>b,d</sup> Hanno Niess, MD,<sup>a,b</sup> Stefan Boeck, MD,<sup>b,e</sup> Volker Heinemann, MD,<sup>b,e</sup> Christiane J. Bruns, MD,<sup>f</sup> Karl-Walter Jauch, MD,<sup>a,b</sup> and Axel Kleespies, MD,<sup>a,b</sup> *Munich and Magdeburg, Germany, and New York, NY*

Surgery 2015;158:156-161

# Conclusion

- Re-enforce the central role of pathology beyond Dx and ‘static’ prognosis, by offering therapeutic guidance and predictability of response to Rx.
- This comes at a price: need to exercise strict ‘quality control’, not only of the ‘test’, but also of the *ordering*, of the *sample* being tested, of the *validity of results/scoring* and of the *cost* – to generate the best results with the most appropriate use of resources.