



### NET and GIST Molecular and Ancillary Studies





**Anthony Gill MD FRCPA** 

Pathologist

PaLMS, Royal North Shore Hospital

&

**Professor of Surgical Pathology** 

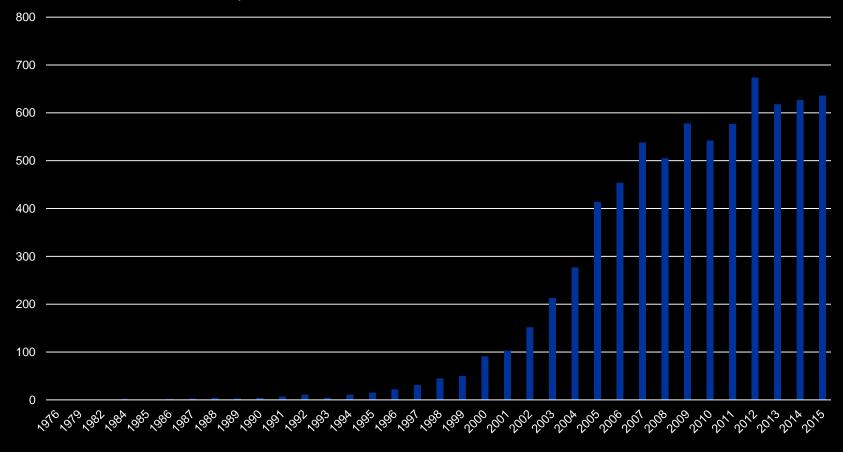
**University of Sydney** 

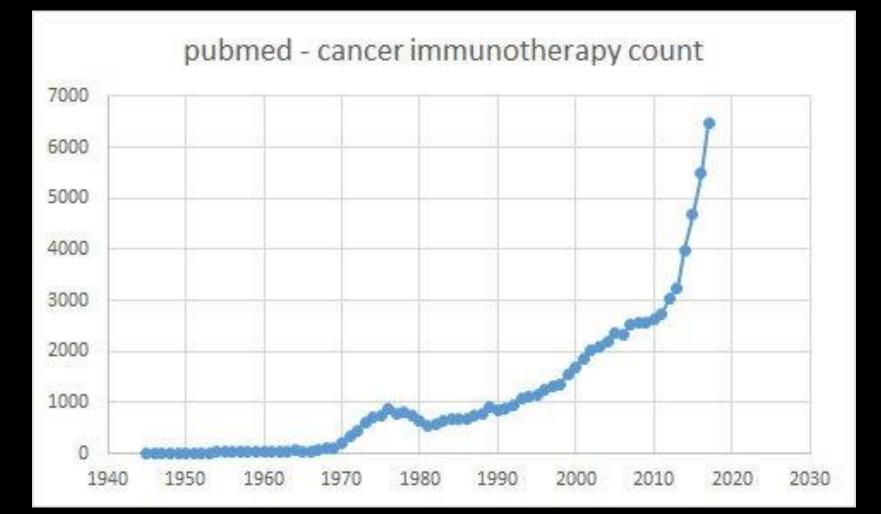
Sydney Australia

Dr Gill has no conflicts of interest to disclose

#### PUBMED Search "Gastrointestinal Stromal Tumour" 1976 - 2015

pubmed - Gastrointestinal Stromal Tumour count





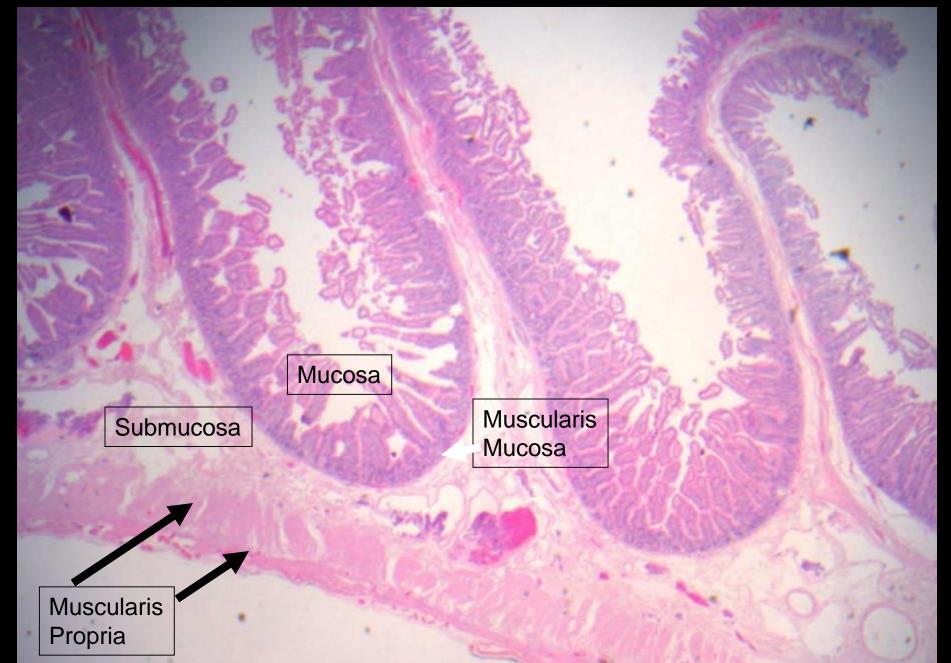
### Gastrointestinal stromal tumour GIST

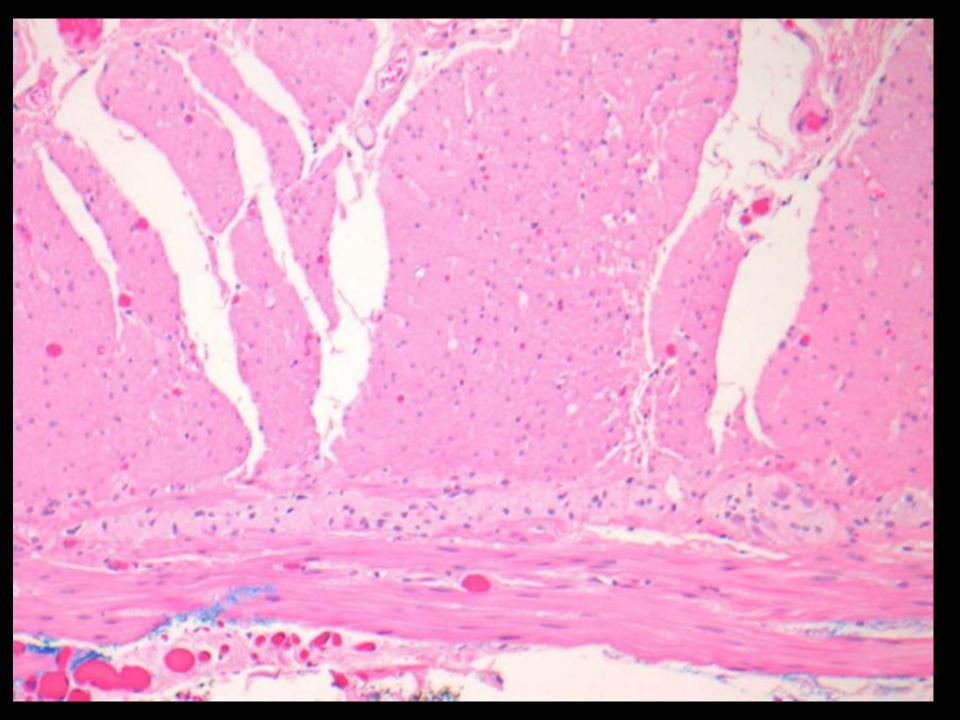
 Paradigm for classification (morphology and molecular)

 Paradigm for targetted therapy and personalised medicine

 Paradigm for predictive molecular pathology

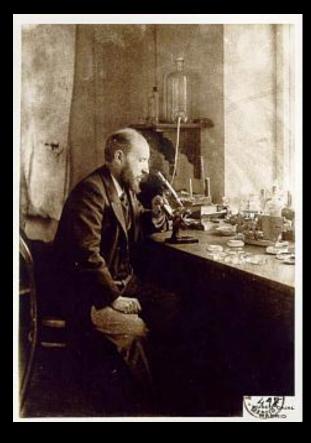
#### NORMAL SMALL INTESTINE



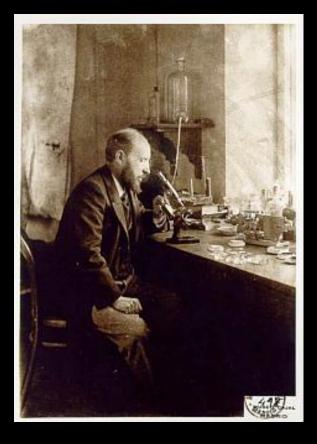


#### INTERSTITIAL PACEMAKER CELLS OF CAJAL

### Santiago Ramón y Cajal (1852 - 1934)



### Santiago Ramón y Cajal (1852 -1934)



He was an avid painter, artist, and gymnast. He worked for a time as a shoemaker and barber, and was well known for his pugnacious attitude.

In 1905, he published five science-fictional "Vacation Stories" under the pen name "Dr. Bacteria."

#### Shared Nobel prize 1906:

*"in recognition of their work on the structure of the nervous system"* 

### Gastrointestinal stromal tumour GIST

- 4500 10000 cases pa in USA
   (300-450 cases in Australia)
- 0.2% of all GIT tumours
- 80% of GIT sarcomas
- Most common sarcoma
- Incidence Male=Female
- Most common 40 to 60 years
- 6cm Stomach; 4.5cm Duodenum; 7cm ileum

### Gastrointestinal stromal tumours

- 5% Oesophagus (2% at RNSH)
- 50% Stomach (56% at RNSH)
- 33% Small bowel (28% at RNSH)
- 10% colon/rectum/anus (8% at RNSH)
- 2% EGIST (7% at RNSH)

(outside the tubal gut – omentum or mesentery near stomach – appear to be gastric GISTs)

#### **Risk stratification by size and mitotic rate in GIST**

#### **TABLE 2.** Proposed Approach for Defining Risk of Aggressive Behavior in GISTs

	Size*	Mitotic Count†
Very low risk	$<\!2~{ m cm}$	<5/50 HPF
Low risk	2-5 cm	<5/50 HPF
Intermediate risk	$<5~{ m cm}$	6–10/50 HPF
	$5{-}10$ cm	<5/50 HPF
High risk	$>5~{ m cm}$	>5/50 HPF
	>10 cm	Any mitotic rate
	Any size	>10/50 HPF

Note: These are "conventional" high powered fields. Now most surgical pathologists use wide field microscopics

#### **Risk stratification by size and mitotic rate in GIST**

	Patients With Metastases of All in the Group (%)	
Prognostic Group and Definition	Children and Young Adults	All Gastric GIST Patients
2 (≤5 cm, ≤5/50 HPF)	1/6 (17%)	6/320 (2%)
$3A (5 \le 10 \text{ cm}, \le 5/50 \text{ HPF})$	2/7 (28%)	8/229 (3%)
3B (>10 cm, ≤5/50 HPF)	1/2 (50%)	17/140 (12%)
$5 (>2 \le 5 \text{ cm}, >5/50 \text{ HPF})$	1/7 (14%)	16/99 (16%)
$6A \ (>5 \le 10 \text{ cm}, >5/50 \text{ HPF})$	3/5 (60%)	52/96 (54%)
6B (>10 cm, >5/50 HPF)	2/3 (67%)	89/108 (82%)

Note: Only cases with follow-up and defined tumor size are included.

# IHC staining in GISTs

95% are cKIT positive95% are DOG1 (Ano1) positive

Essentially all GISTs are positive for either cKIT or DOG1

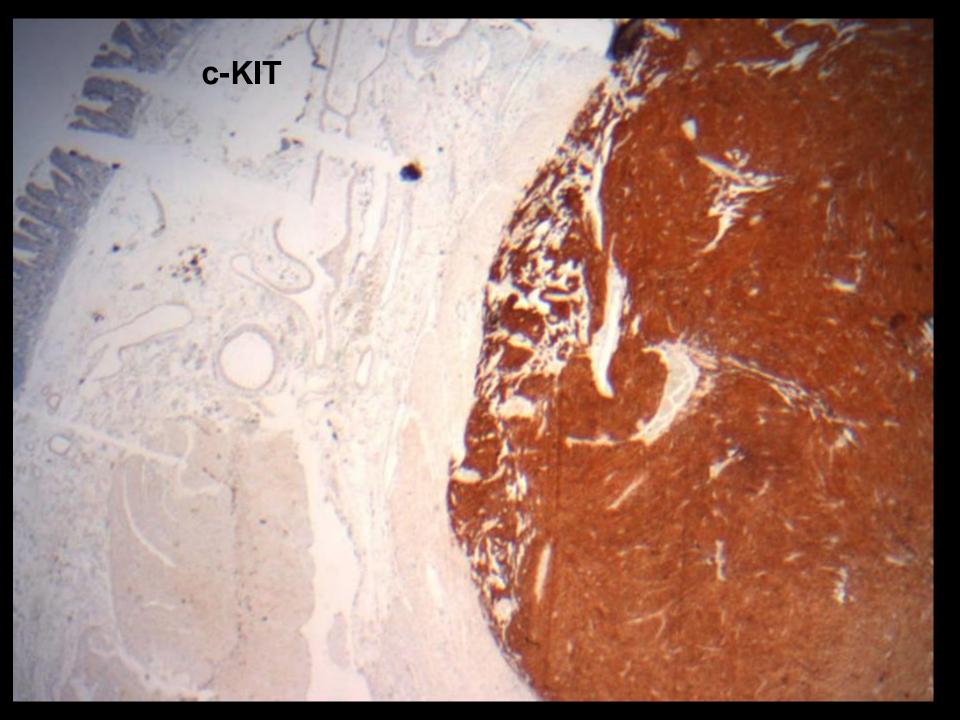
70% CD34 positive40% SMA positive5% S100 positive1% Keratin/Desmin positive

DOG1 better for gastric, epithelioid, PDGFRA

cKIT IHC negative GISTs comprise 5% of GISTS ('always' DOG1 positive)

72% PDGFRA mutated
66% epithelioid
56% gastric
39% EGIST (ie: probably also GASTRIC)

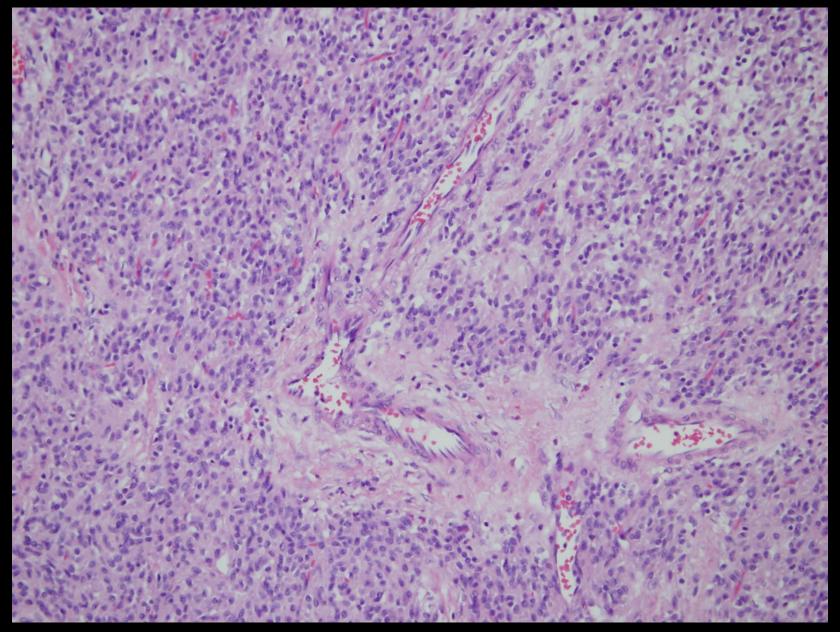
ref: Medeiros F, Corless CL, Duensing A et al KIT negative Gastrointestinal Stromal Tumours: Proof of concept and therapeutic implications Am J Surg Pathol 2004;28:889–894





### PDGFRA mutant GISTs

5-10% of all GISTs Mostly gastric (and E-GIT) location Epithelioid Giant cells Myxoid stroma Multinucleate cells Rhabdoid morphology Commonly KIT IHC neg/focal but DOG1 pos Better prognosis than KIT negative GISTs



PDGFRA mutant GIST – Gastric location, epithelioid morphology, often with scattered multinucleate or bizarre cells, DOG1 positive, CKIT negative or focal

# Molecular pathogenesis of GIST

# Prior to 2010 Molecular pathogenesis of GIST

- 80-85% of GISTS have mutations of c-kit
- 5-10% have mutations of PDGFRalpha

• 10-15% have no mutations – no mutations in KIT and PDGFRalpha (previously known as wild type GISTs)

Wild-Type GIST meant no mutation in c-KIT or PDGFRalpha

#### October 2018 Molecular pathology of GISTs

KIT mutation 80-85% PDGFRA mutation 5-10%

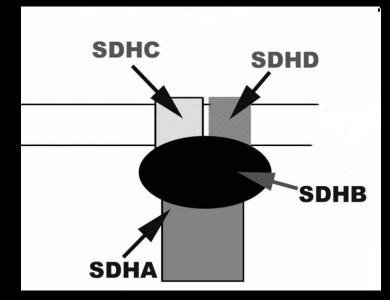
SDH Deficient (3% = 5 to 7.5% of Gastric GISTs) BRAFV600E mutant 1%

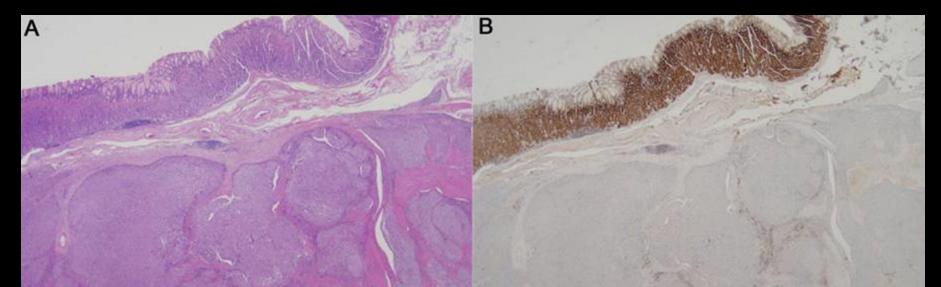
NF1 mutant 1% KRAS/NRAS <1%

... getting close to 100% of GISTs with known mutations... Now if there is a true wild type GISTs the diagnosis should be questioned

### SDH deficient GIST







# Succinate Dehydrogenase Deficient GISTs

Only occur in the stomach\*

Accounts for 5 to 7.5% of apparently sporadic gastric GISTs (great majority of pediatric GISTs)

Demonstrate distinctive morphological and clinical features

#### Identified by morphology in conjunction with immunohistochemistry for SDHB

\* One exception -

Elston M, et al A Duodenal SDH-Deficient Gastrointestinal Stromal Tumor in a Patient With a Germline SDHB Mutation J Clin Endocrinol Metab. 2017;102:1447-1450

# Succinate Dehydrogenase deficient GIST

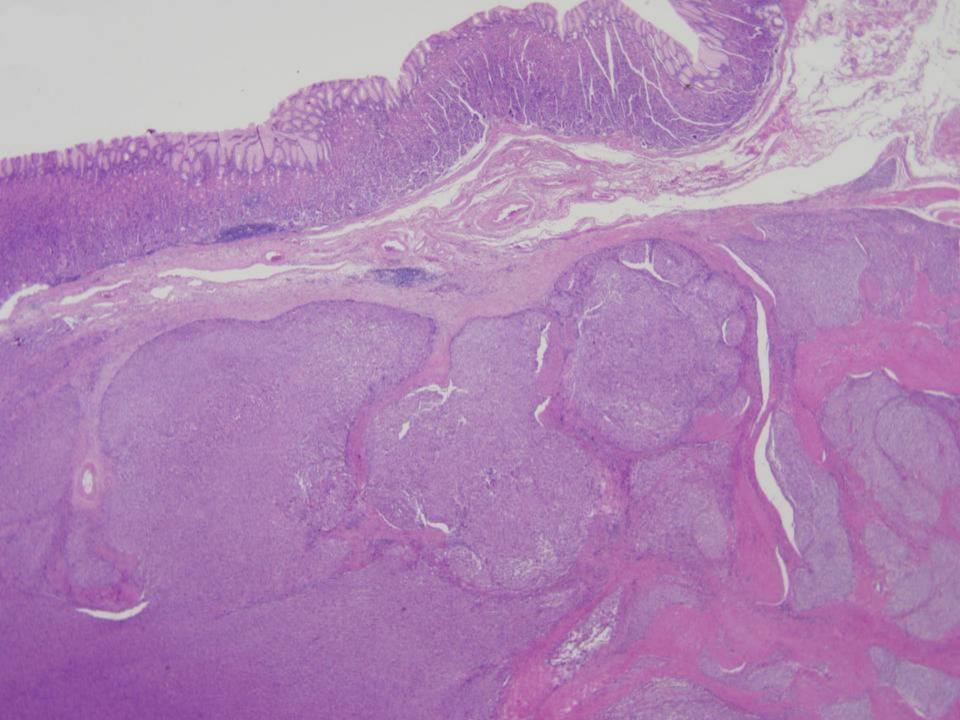


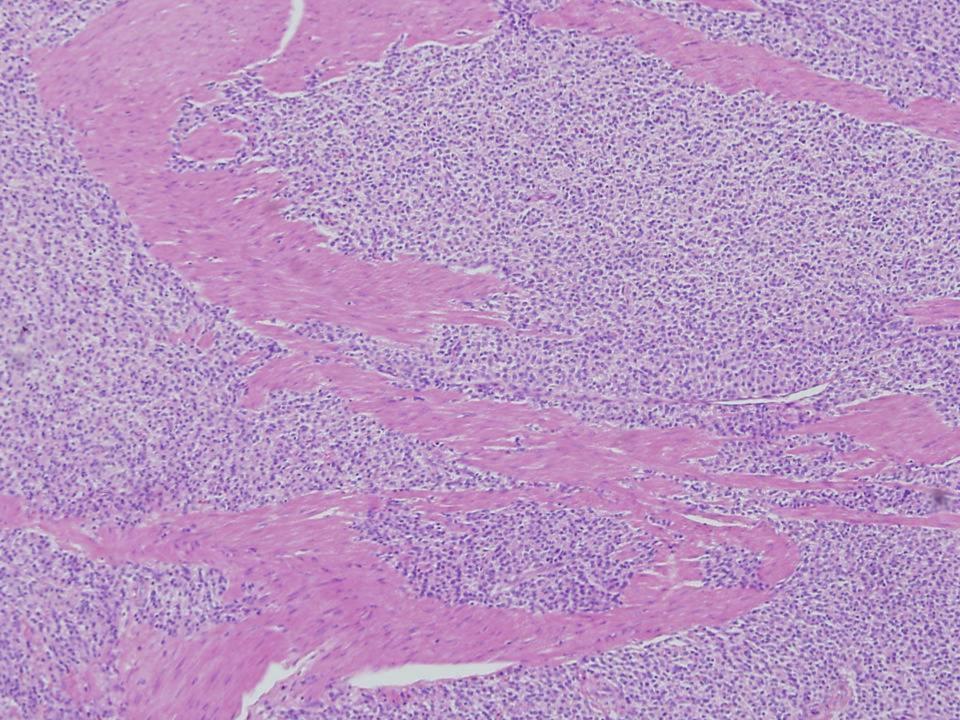
#### **SDH deficient GISTs are commonly multifocal SDH deficient GISTs only occur in the stomach**

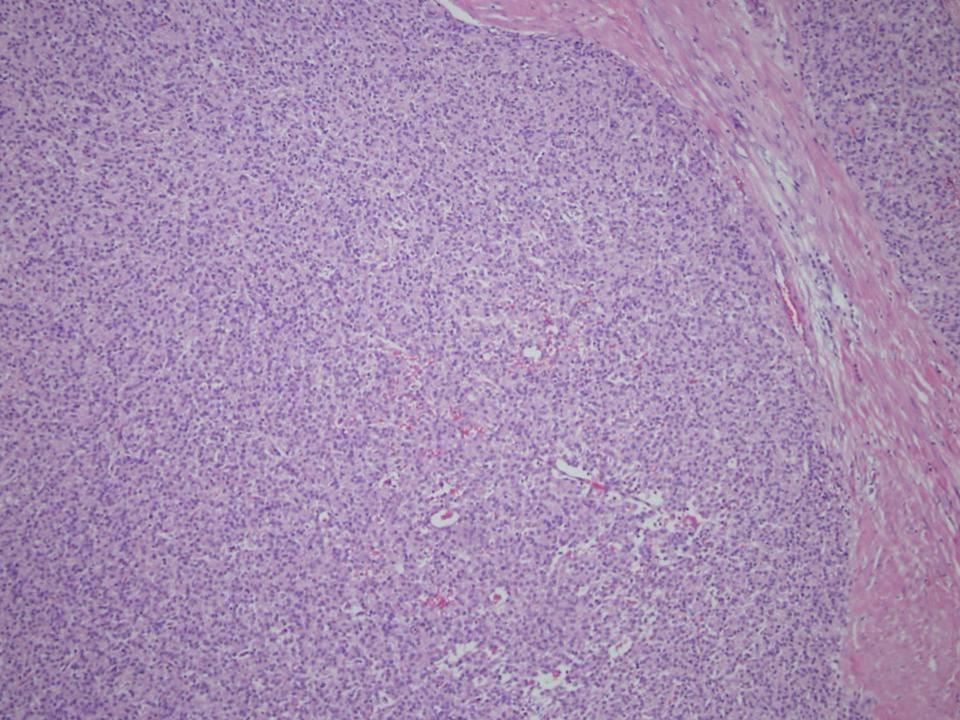
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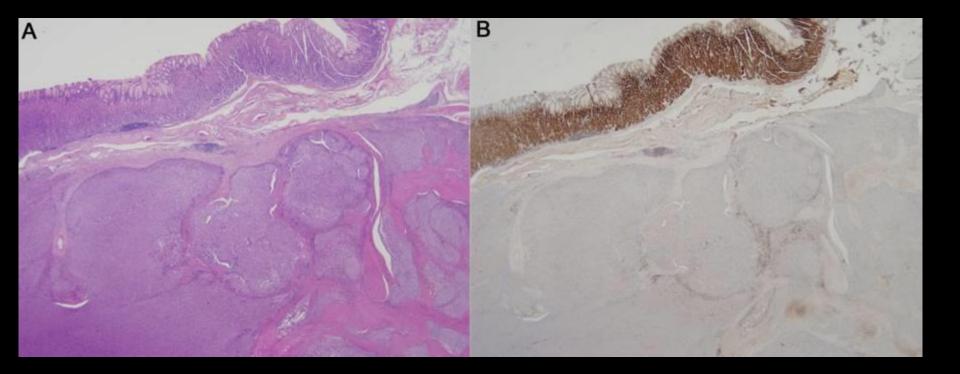


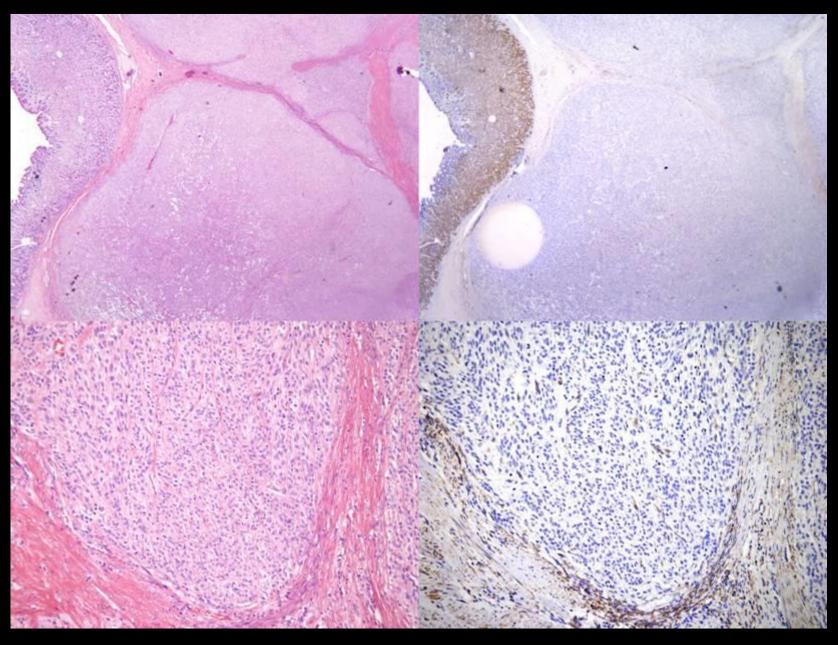
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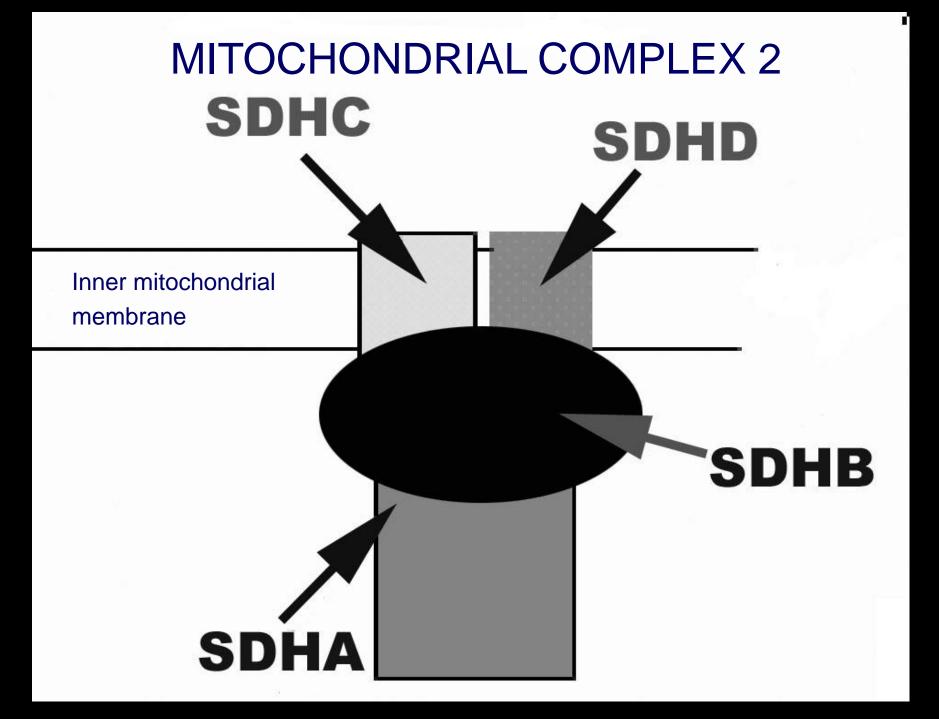


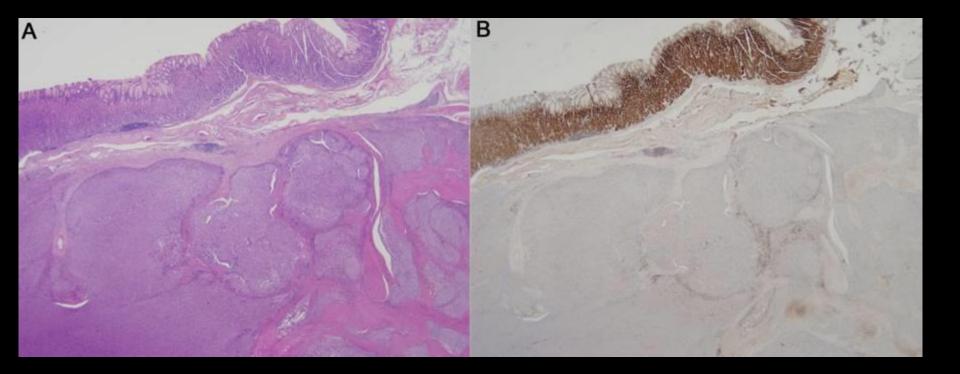


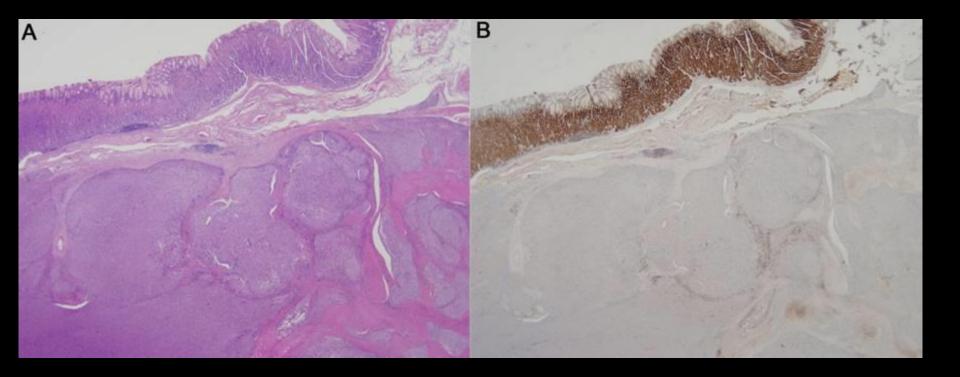










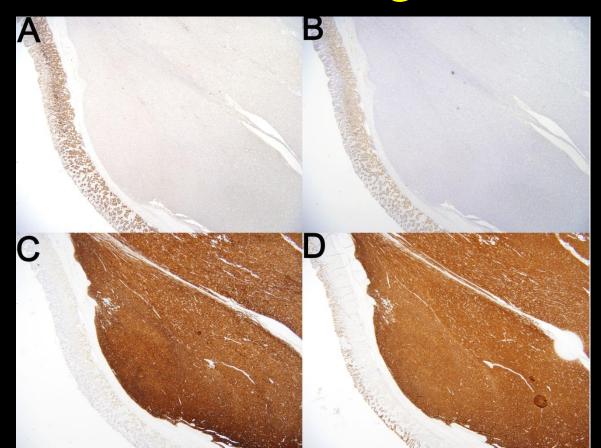


SDH deficient GISTs are always diffusely strongly cKIT and DOG1 positive

All succinate dehydrogenase deficient GISTs are syndromal

- 30% will be associated with germline SDHA mutation
- 10 to 20% will be associated with germline SDHB, C or D mutation
- All the rest will have SDHC promoter hypermethylation - that is, they probably have Carney Triad

# 30% of SDH deficient GISTs are SDHA mutated (germline)



**SDHA** 

DOG1

cKIT

**SDHB** 

# SDHA mutant GISTs also show negative staining for SDHA

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#### **SDH deficient GISTs are quite different to usual** (**KIT/PDGFRa mutated**) **GISTs**

Table 2.         Comparison of SDH-defic	ient and usual GISTs		
	Usual GIST	SDH-deficient GIST	
SDHB IHC	Positive	Negative	
Somatic KIT/PDGFRA mutation	Usually	Never	
KIT/DOG1 IHC	Usually positive	Always positive	
Location	Throughout GIT	Stomach only*	
Prognosis predicted by size and mitotic rate algorithm	Yes	No	
Gender	Male-female	Female>>>male	
Multi-focality	Rare	Common	
Age	Older adult	Children>>>young adult>>older adult	
Multi-nodularity/lobulation	Rare	Common	
Predominant cell type	Spindled	Epithelioid	
Lymph node metastasis	Very rare (if at all)	Common	
Response to imatinib	Usual	Never	
Behaviour of metastasis	Aggressive	Commonly indolent	
Associated syndromes	Rare Neurofibromatosis Germline KIT mutation Germline PDGFRA mutation	Always ??? 30% germline SDHA mutation 50% SDHC epimutation (Carney triad) 20% SDHB, SDHC, SDHD mutation	

KIT, KIT proto-oncogene receptor tyrosine kinase; PDGFRA, Platelet-derived growth factor receptor A; DOG1, Discovered on GIST-1; IHC, Immunohistochemistry.

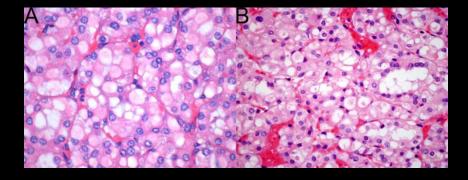
\*To date, a single succinate dehydrogenase (SDH)-deficient gastrointestinal stromal tumour (GIST) arising in the duodenum has been reported.<sup>43</sup>

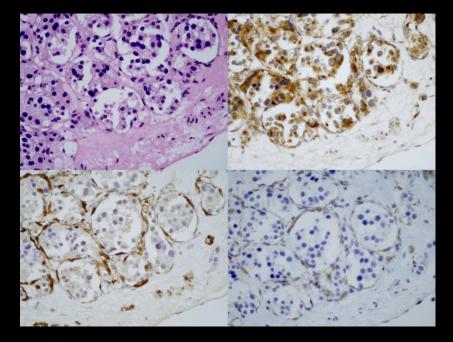
Gill AJ Succinate dehydrogenase (SDH) deficient neoplasia Histopathology 2018; 72:106–116

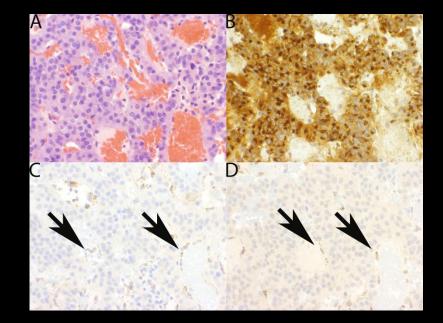
## Diseases associated with SDH

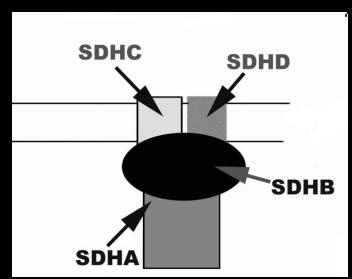
- Paragangliomas/Pheochromocytomas
- Pituitary adenomas
- A unique subtype of GISTs ("SDH deficient GISTs")
- A unique type of renal carcinoma

## SDH deficient GIST is only one part of a syndrome which includes pheochromocytoma/paraganglioma, renal carcinoma and pituitary adenoma









#### October 2018 Molecular pathology of GISTs

KIT mutation 80-85% PDGFRA mutation 5-10%

SDH Deficient (3% = 5 to 7.5% of Gastric GISTs) BRAFV600E mutant 1%

NF1 mutant 1% KRAS/NRAS <1%

... getting close to 100% of GISTs with known mutations... Now if there is a true wild type GISTs the diagnosis should be questioned

## BRAFV600E mutant GISTs

1-2.8% of GISTs have a BRAFV600E mutation

Usually exclusive with other mutations (1 case as acquired mutation)

Typical spindled cell morphology (look like KIT mutant GIST)

Arise in small intestine

Positive for KIT and DOG1 by IHC

Can be identified by mutation specific IHC for BRAFV600E

May respond to targeted therapy with BRAF inhibitors (vemurafenib or dabrafenib)

#### BEFORE MAKING THE DIAGNOSIS OF BRAFV600E MUTANT GIST PLEASE CONSIDER THE POSSIBILITY OF METASTATIC MELANOMA

Ref: Agaram NP, Wong GC, Guo T et al; Genes Chromosome and Cancer 2008;47:853-859 Hostein I, Faur N, Primois C et al; Am J Clin Pathol 2010; 133:141-148

## Neurofibromatosis associated GISTs

1% of all GISTs

Arise in 7% of patients with neurofibromatosis 1

Show somatic mutation or loss of wild-type allele

Frequently multifocal

Typical spindle cell morphology

Small bowel location

Less aggressive

Lack KIT or PDGFRA mutations

Consider NF1 in a Wild type GISTs

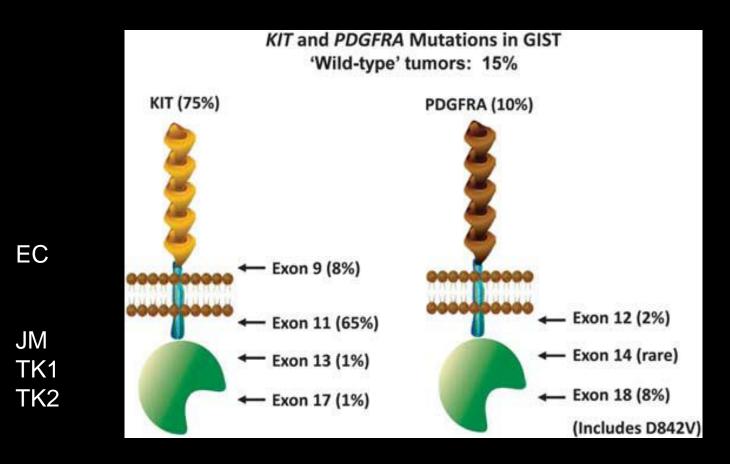
### **Predictive molecular pathology for GIST**

### KIT and PDGFRA mutation in 85-90% of GISTs

KIT and PDGFRA are highly synonymous, both on chromosome 4q.

Created by a gene duplication event. PDGFRA has one more exon.

Both are TYPE III RTKs (receptor tyrosine kinases).



Corless CL Gastrointestinal Stromal Tumours: what do we know now? Modern Pathology 2014 27:S1-S16

### KIT and PDGFRA mutation in 85-90% of GISTs

KIT and PDGFRA mutations are mutually exclusive

#### **KIT** mutations

- 80 to 85% of all GISTs

Exon 9 – 9% Exon 11 – 67% Exon 13 – 1% Exon 17 – 1%

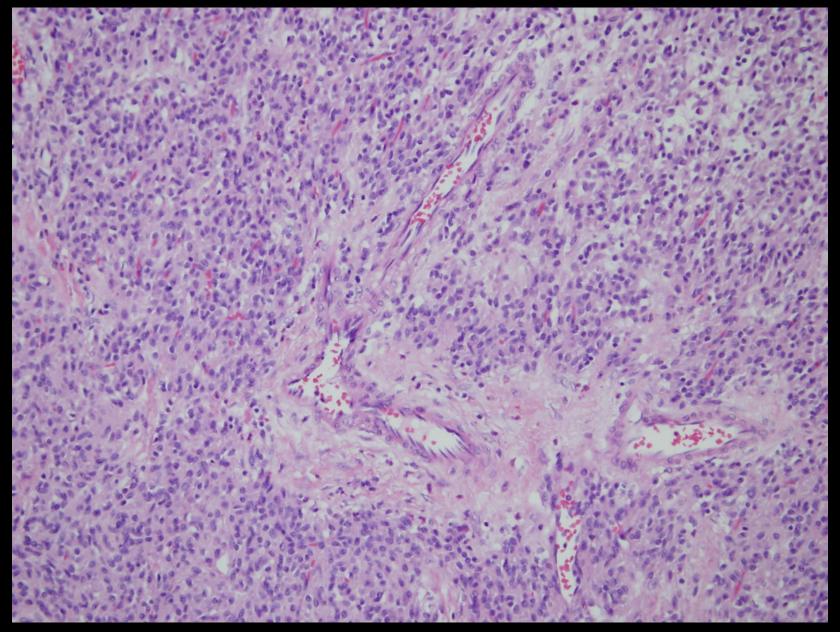
#### **PDGFRA** mutations

- 5 to 10 % of all GISTs

Exon 12 (2%) Exon 14 (rare) Exon 18 (5.5%)

## PDGFRA mutant GISTs

5-10% of all GISTs Mostly gastric (and E-GIT) location Epithelioid Giant cells Myxoid stroma Multinucleate cells Rhabdoid morphology Commonly KIT IHC neg/focal but DOG1 pos Better prognosis than KIT negative GISTs



PDGFRA mutant GIST – Gastric location, epithelioid morphology, often with scattered multinucleate or bizarre cells, DOG1 positive, CKIT negative or focal

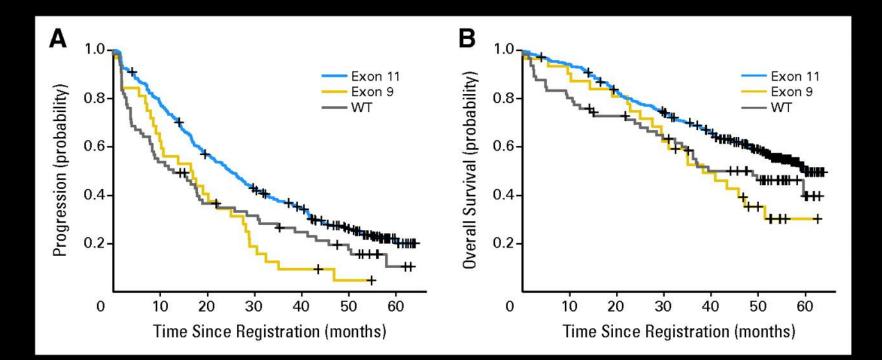
#### Primary Imatinib Resistance (10-30%)

Defined as tumours which progress in 3-6mths of starting therapy

- KIT and PDGFRA wild type GISTs
- KIT exon 9 mutants
- Most common PDGFRA mutant GIST (exon 18 - D842V) – 5% - refractory to all treatment.

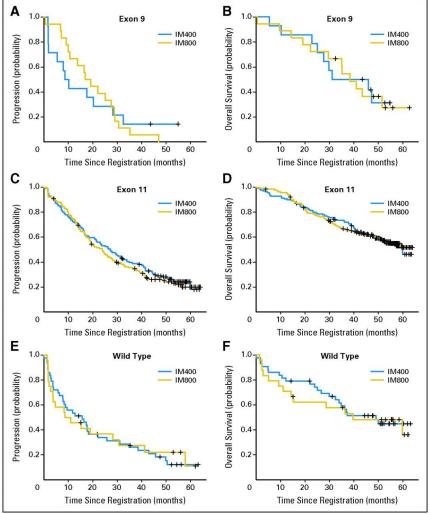
PDGFRA exon 19 - D842V - Devil's mutation

### KIT mutant GISTs (80-85%)



Michael C. Heinrich et al. JCO 2008;26:5360-5367

Correlation of tumor genotype (KIT exon 9–mutant, KIT exon 11–mutant, or wild-type tumors), imatinib dose (400 mg [IM400] v 800 mg [IM800]), and time to progression and overall survival for patients with CD117-positive gastrointestinal stromal tumors.



Michael C. Heinrich et al. JCO 2008;26:5360-5367

#### Delayed/Secondary Resistance (50%)

- Defined as resistance after 6mths
- Usually occurs in first 2 years (50%)
- Resistance can be limited to one nodule or be generalized
- Usually different nodules usually have different resistance through acquired secondary mutations classic clonal selection

#### Delayed/Secondary Resistance (50%)

- Secondary mutations are found in >80% of drug resistant-GISTs
- Most common mechanisms is intra-allelic second mutations that encode the ATP binding site or activating loop
- Sunitinib good activity against exon 13 to 14 resistance mutations
- Regorafenib (+sorafenib) good activity against exon17 to 18 resitance mutations

## Molecular assessment GIST

Most authorities recommend mutation testing whenever therapy is being commenced or when secondary resistance occurs

- cKIT exons 9, 11, 13, 17
- PDGFRa exons 18,12,14

KIT/PDGFRA mutation status is associated with outcome, but size/mitotic rate is the most important determinant

Favourable mutations:

PDGFRA mutation

KIT exon 11 duplication mutations

Deletion of a single codon of exon 11 (leading to Trp557Arg, Val559Ala, orLeu576Pro have a low risk of recurrence) ALL HAVE A FAVOURABLE OUTCOME

The standard size/mitotic rate algorithm over-estimated the risk for these favourable mutants

Patients with unfavourable mutations (eg: KIT exon 9 mutation leading to Ala502\_Tyr503 duplication or deletions that involve amino acids 557 and 558 of exon 11), were still at low risk for GIST recurrence, provided that the mitotic count was very low

Patients with PDGFRA mutation have a high risk of recurrence if high mitotic rate

Joensuu H, Rutkowski P, Nishida T et al KIT and PDGFRA Mutations and the Risk of GI Stromal Tumor Recurrence Journal of Clinical Oncology 2015;33:634-642

Molecular subgroup	Prognostic relevance after R0	Adjuvant treatment	Palliative treatment
KIT exon 11 duplication mutation of one codon or deletion of one codon only	Genotype associated with excellent prognosis	None for intermediate risk GIST	Imatinib 400 mg
KIT exon 11 mutations upstream of codon 557			Longer median PFS (49 months)
KIT exon 11 deletions involving codon 557 and 558	Higher risk of recurrence	Consider adjuvant treatment for intermediate risk GIST	Imatinib 400 mg; shortest median PFS of KIT exon 11 mutations (31 months)
KIT exon 11 mutations downstream of codon 558			Longest median PFS (63 months)
KIT exon 11 mutation other than above	Genotype not independent predictor	General recommendations	Imatinib 400 mg; nilotinib 800 mg as second line might be considered (only in case of intolerance to imatinib)
KIT exon 9	Genotype not independent predictor	Imatinib 800 mg can be considered for adjuvant treatment (not backed by clinical trial)	800 mg imatinib with improved PFS and RR; patients starting with 400 mg benefit from dose- escalation; refractory to nilotinib (do not use in case of imatinib-intolerance)
PDGFRA D842V; PDGFRA DI842- 843IM	Probably independent favorable prognostic factor	None	Clinical trial: BLU-285; crenolanib
PDGFRA mutations other than D842V/DI842-843IM	Probably independent favorable prognostic factor	General recommendations in patients considered at high risk	Imatinib 400 mg
Nonsyndromic wild type GIST		Minimal prospective data	Consider genotyping for BRAF, NRAS, NF1; consider clinical trial with inhibitors of PI3K or MAPK if positive
Syndromic GIST (NF-1, Carney, Carney–Stratakis)		Adjuvant treatment not recommended (limited prospective data)	Observe spontaneous growth; consider clinical trials

GIST, gastrointestinal stromal tumor; KIT, stem cell growth factor receptor; MAPK, mitogen-activated protein kinase; PDGFRA, platelet-derived growth factor receptor alpha; PFS, progression-free survival; PI3K, phosphoinositide 3-kinase; RR, response rate.

Pogorzelski M, Falkenhorst J, Bauer S Molecular subtypes of gastrointestinal stromal tumor requiring specific treatments Curr Opin Oncol 2016;28:331-337

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... getting close to 100% of GISTs with known mutations... Now if there is a true wild type GISTs the diagnosis should be questioned

#### Quadruple Wild type GISTs Quadruple wild type GISTs Lack KIT, PDGFR, SDH, BRAF/RAS/NF1 mutations

#### Before diagnosis a wild type GIST . . . . . . .

... stop and ask could it be something else

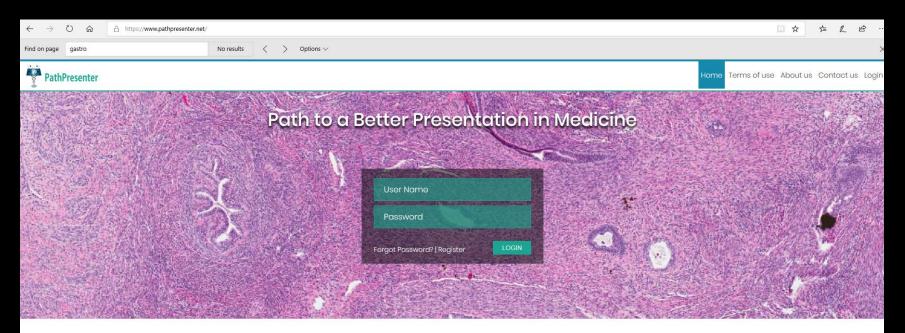
# Mesenchymal Tumours which are not GISTs

- Schwannoma
- Leiomyoma/Leiomyosarcoma
- Desmoid fibromatosis
- Inflammatory fibroid polyp
- Inflammatory myofibroblastic tumour
- Plexiform Fibromyxoma
- Glomus tumour
- Melanoma

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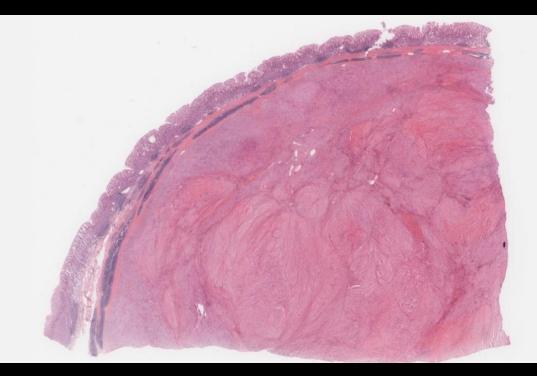


# Mesenchymal Tumours which are not GISTs

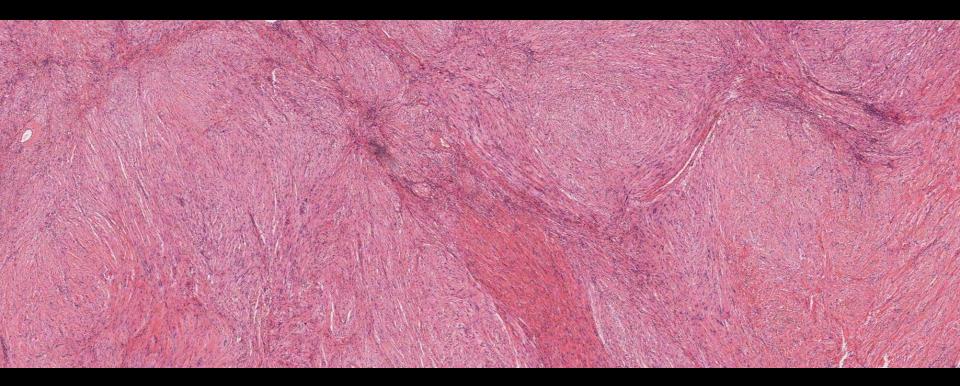
## Schwannoma

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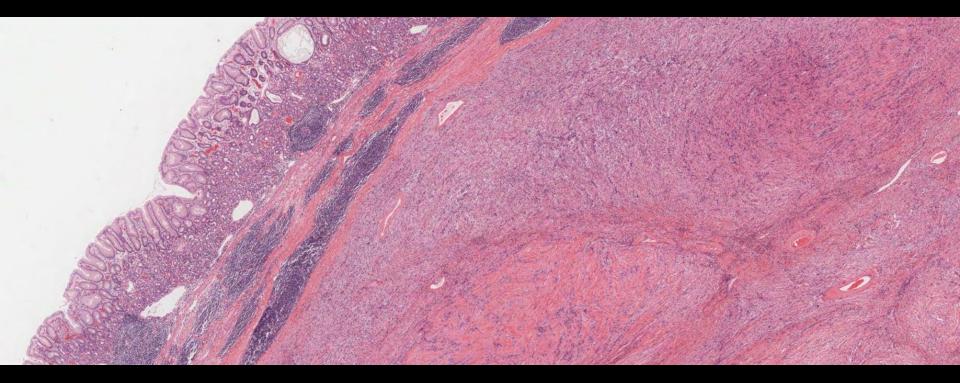




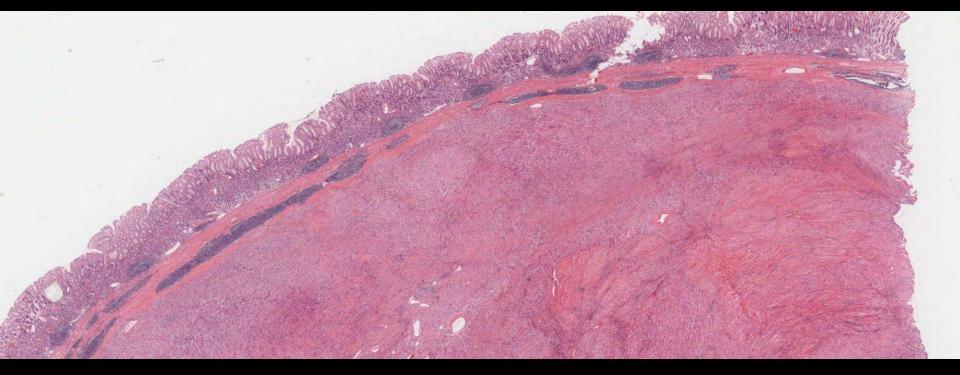




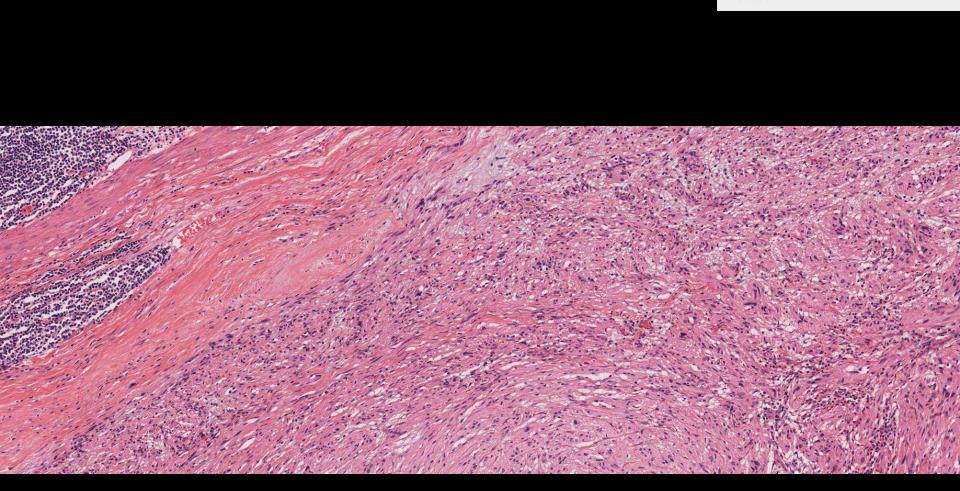




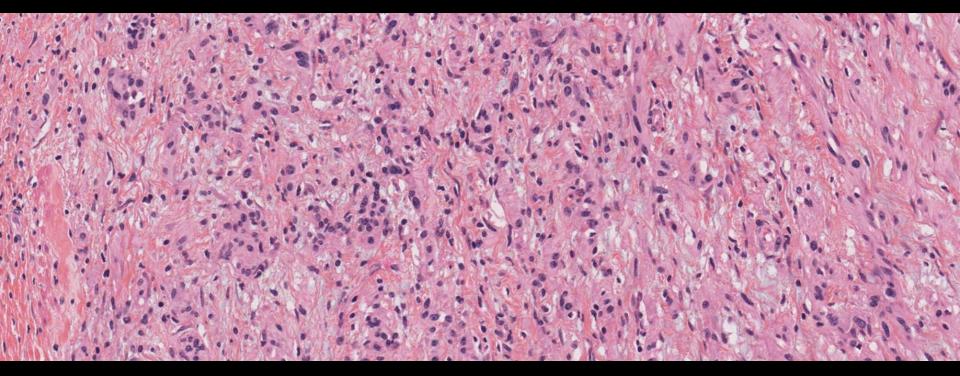


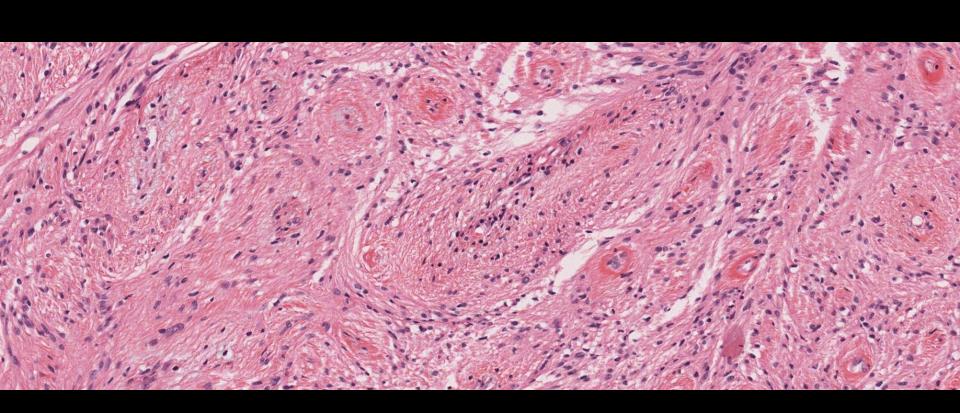












# Mesenchymal Tumours which are not GISTs

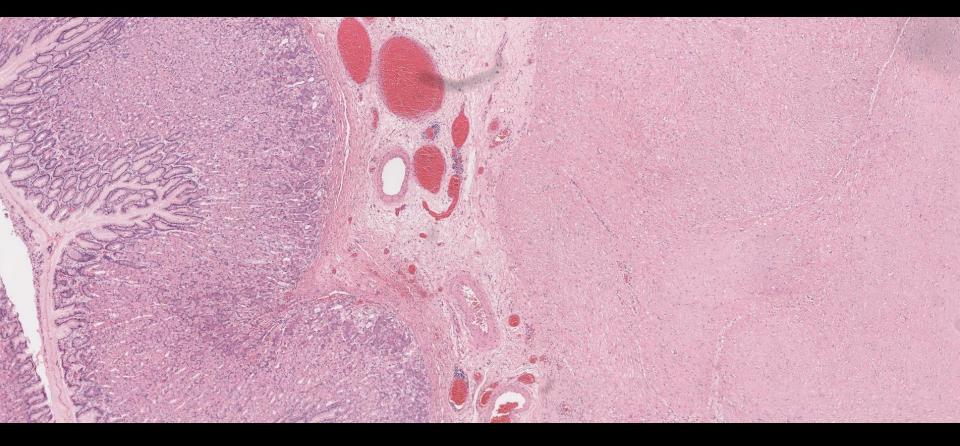
Schwannoma

## Leiomyoma/Leiomyosarcoma

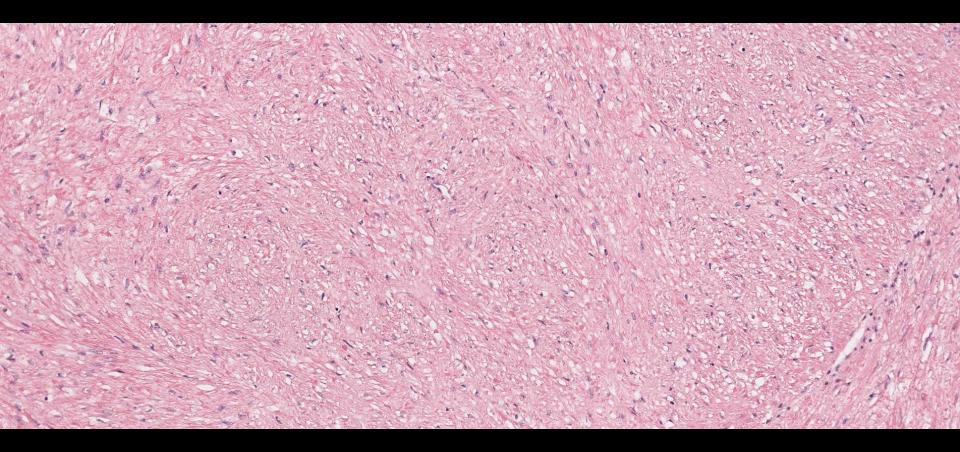
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- Glomus tumour
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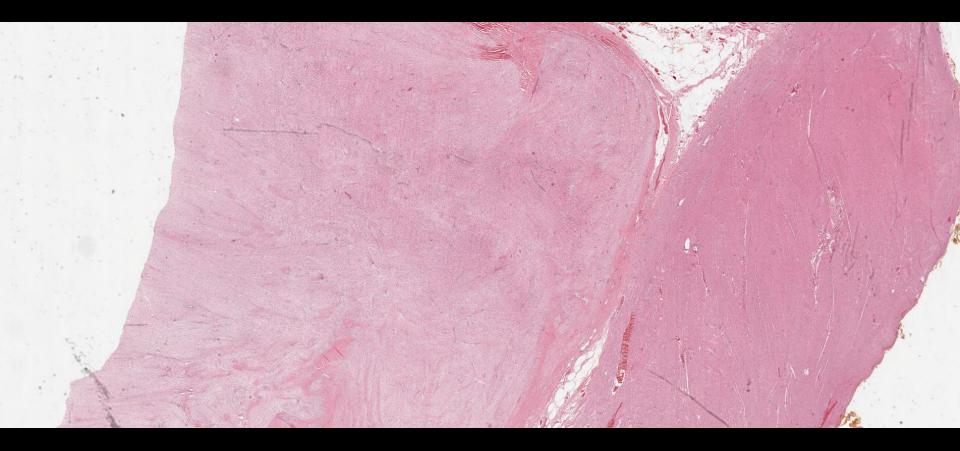
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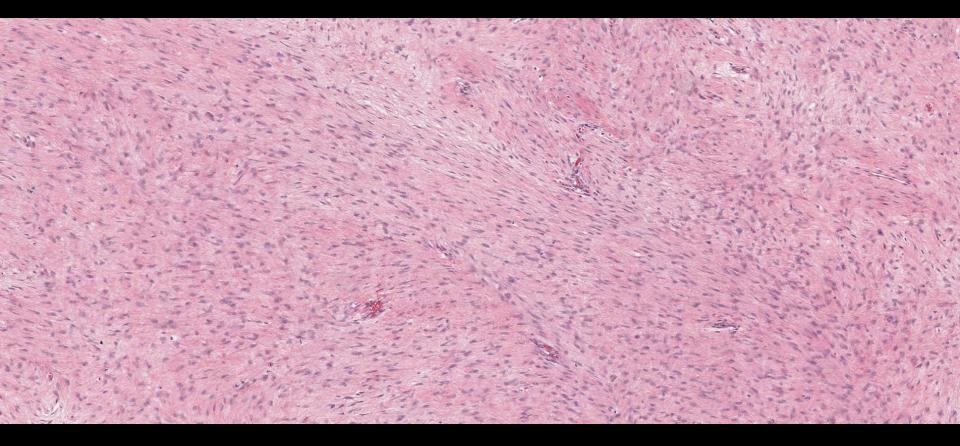
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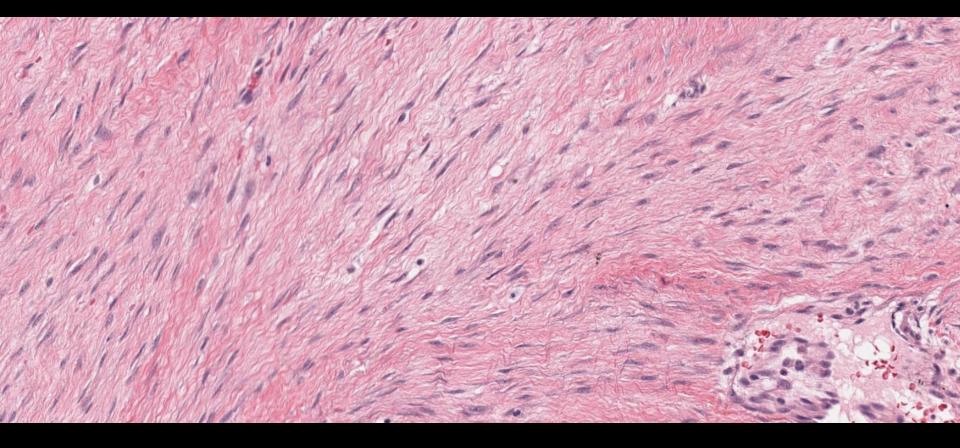












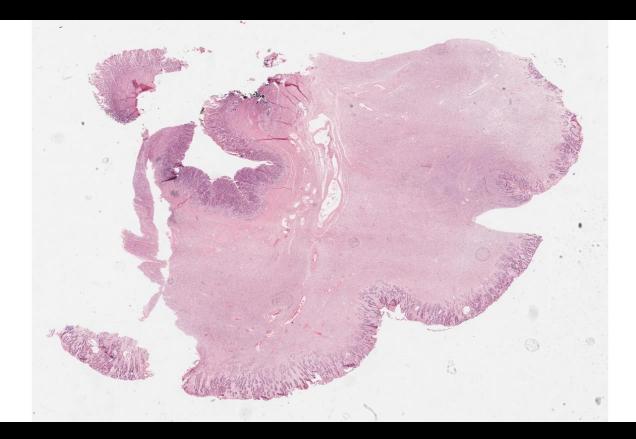
## Mesenchymal Tumours which are not GISTs

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- Desmoid fibromatosis

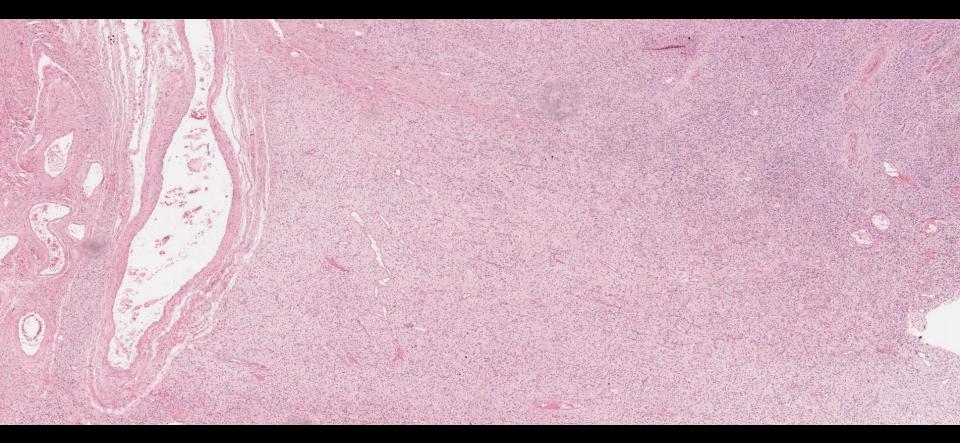
## Inflammatory fibroid polyp

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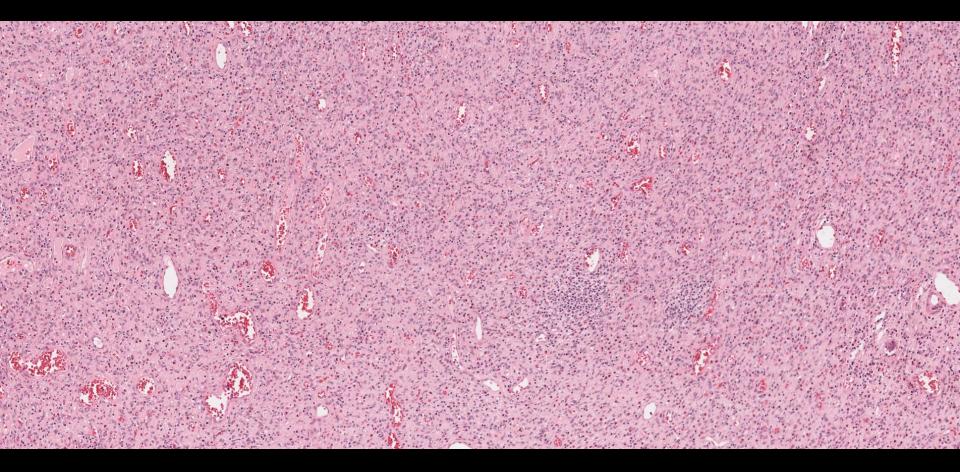




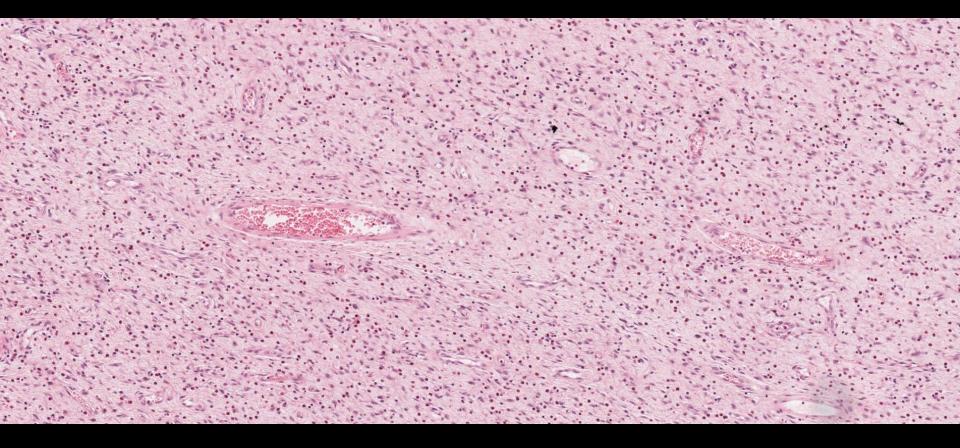




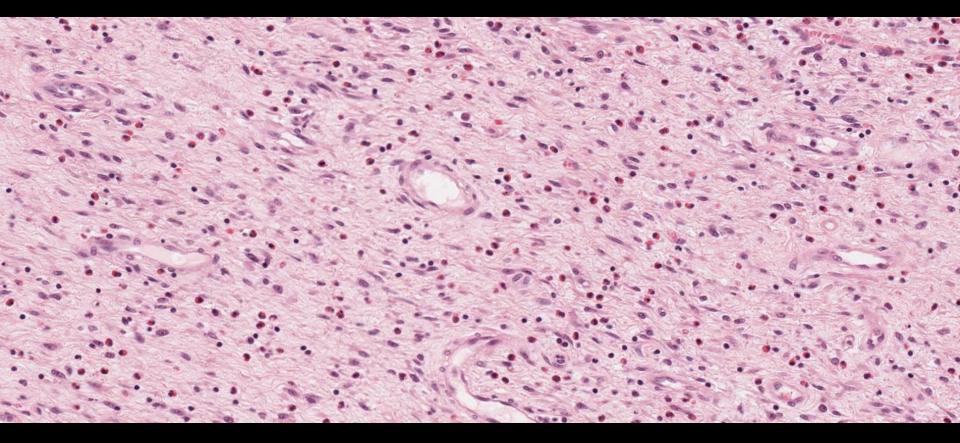




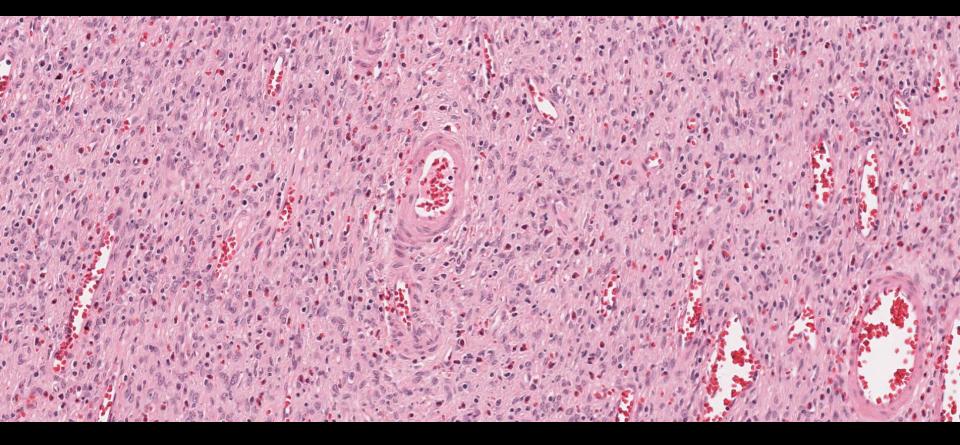




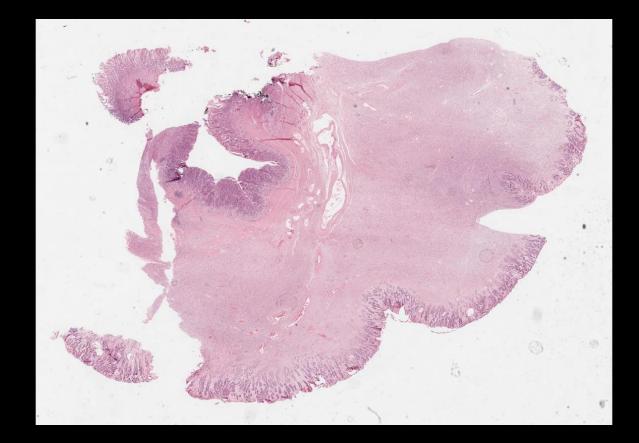












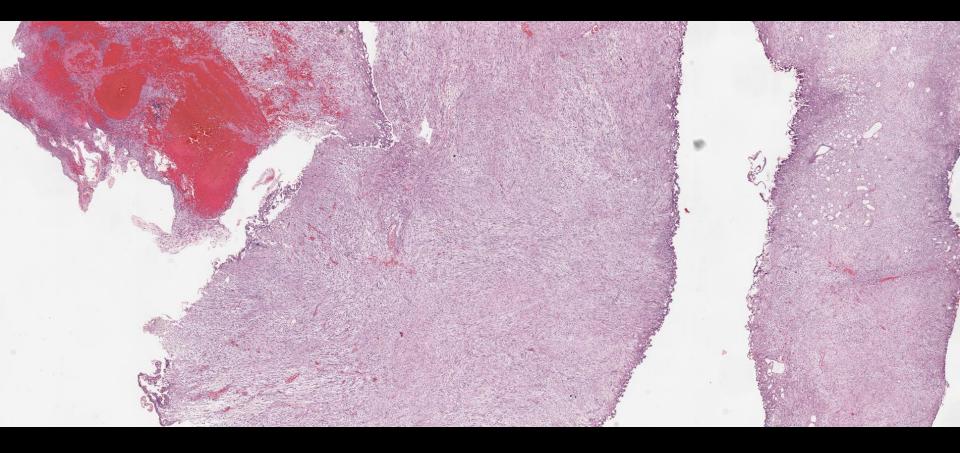
# Mesenchymal Tumours which are not GISTs

- Schwannoma
- Leiomyoma/Leiomyosarcoma
- Desmoid fibromatosis
- Inflammatory fibroid polyp

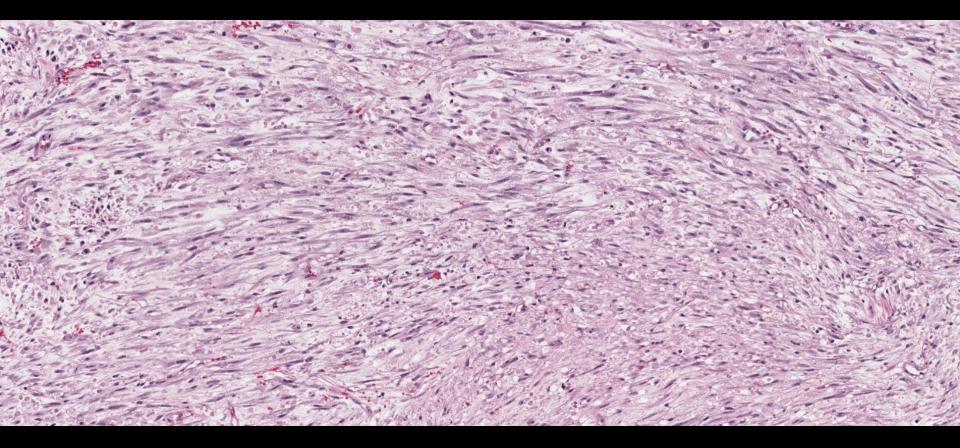
### Inflammatory myofibroblastic tumour

- Plexiform Fibromyxoma
- Glomus tumour
- Melanoma

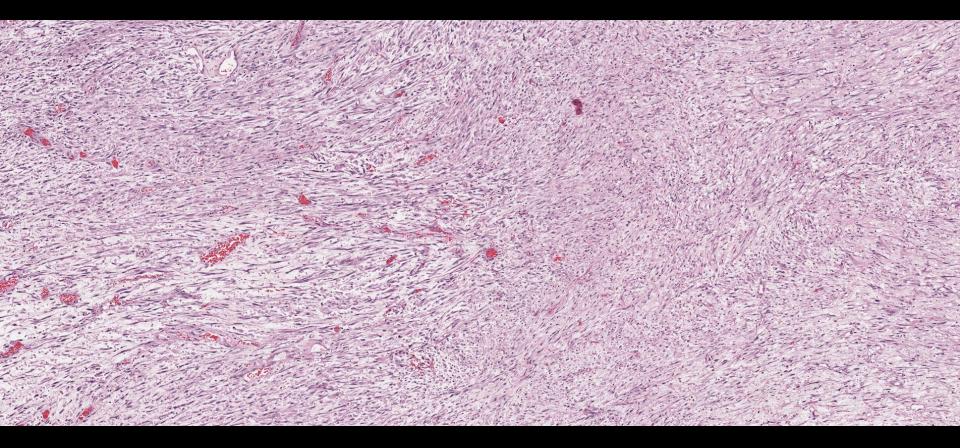




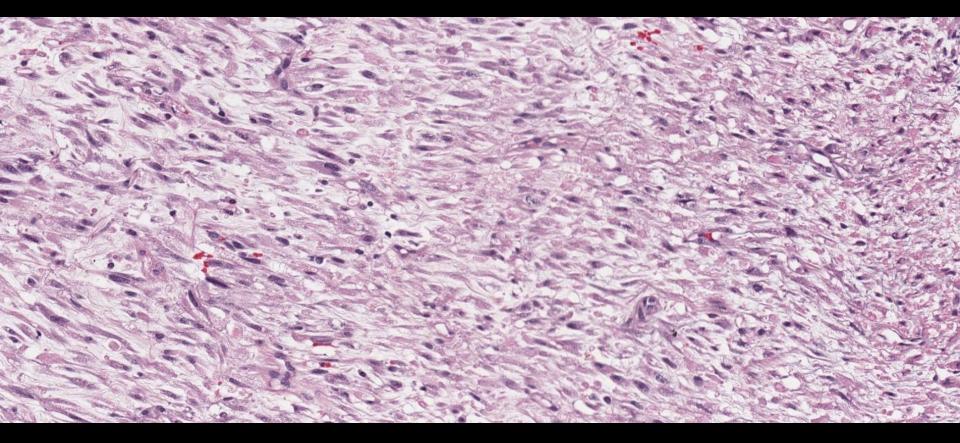


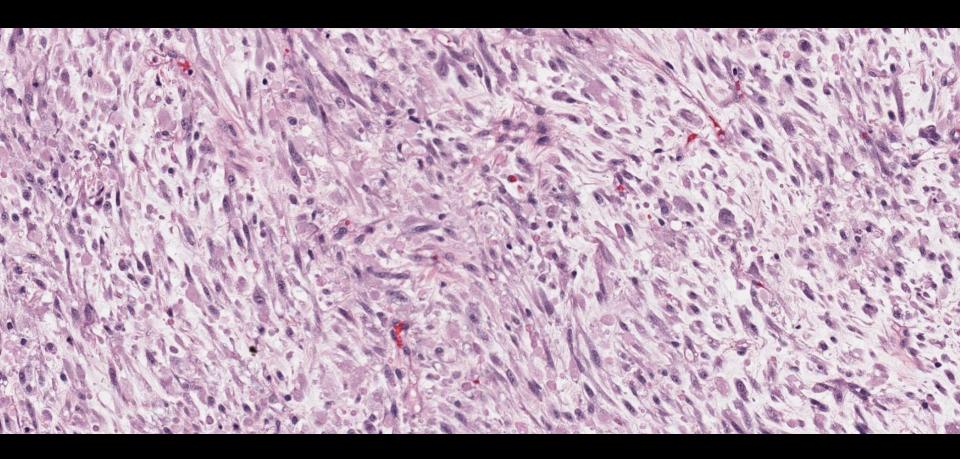


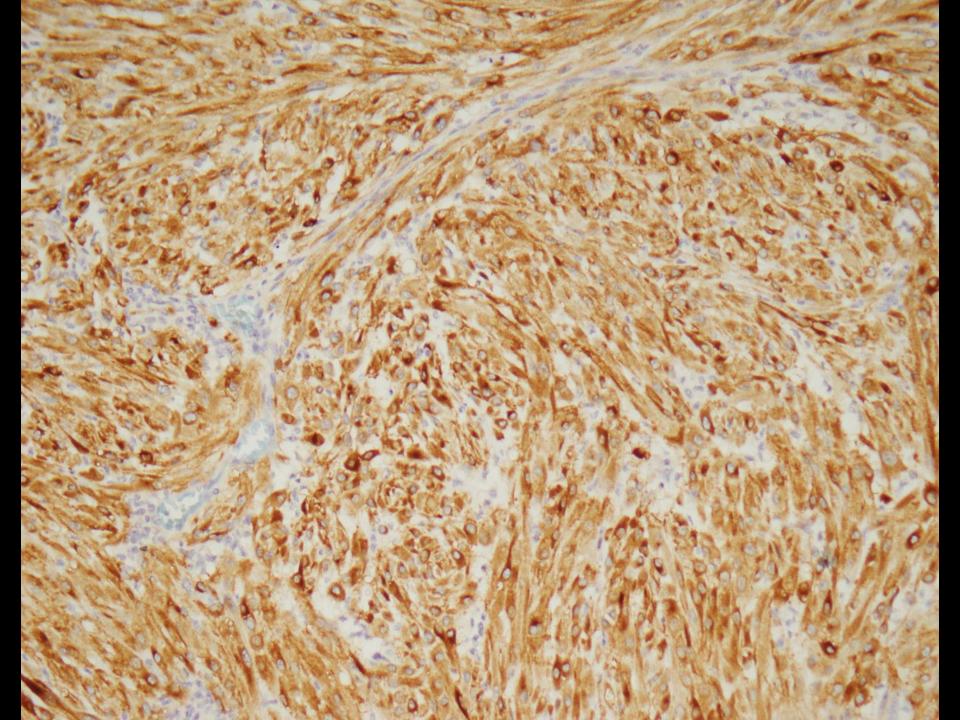


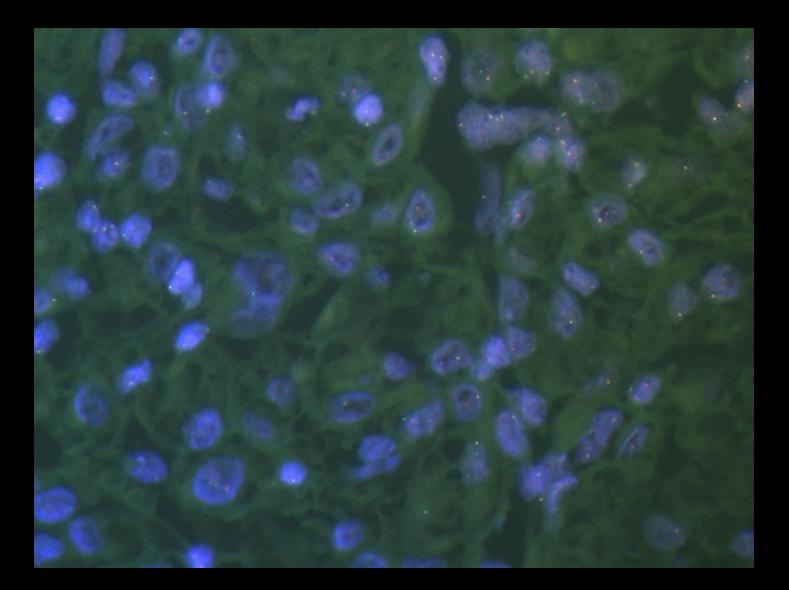










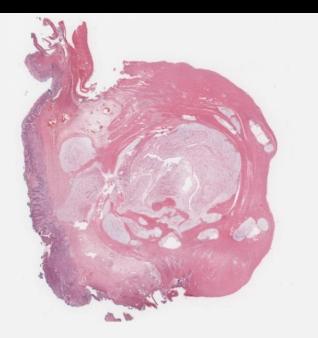


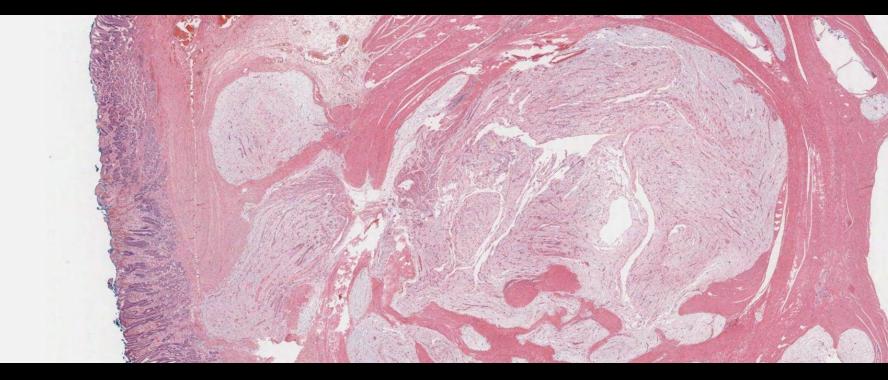
## Mesenchymal Tumours which are not GISTs

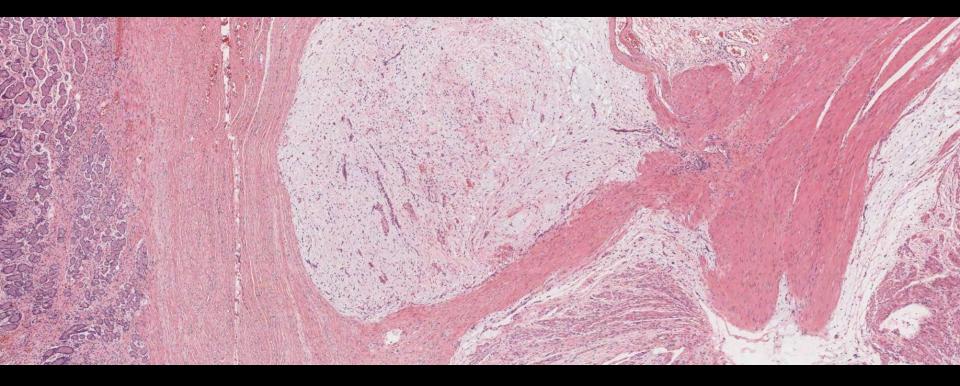
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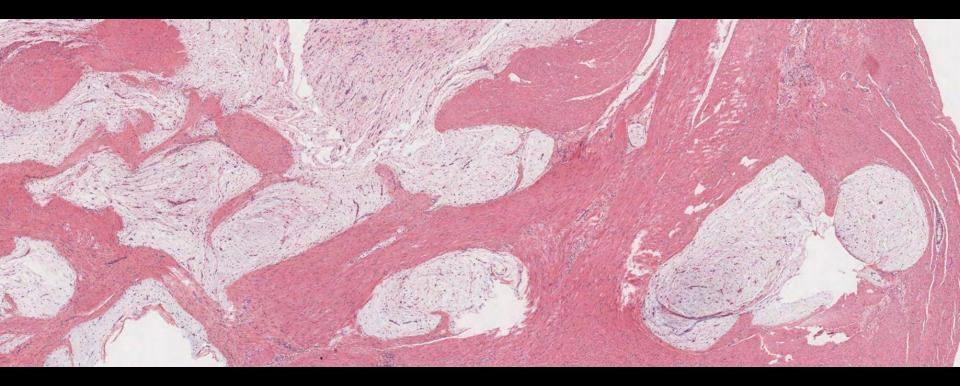
## **Plexiform Fibromyxoma**

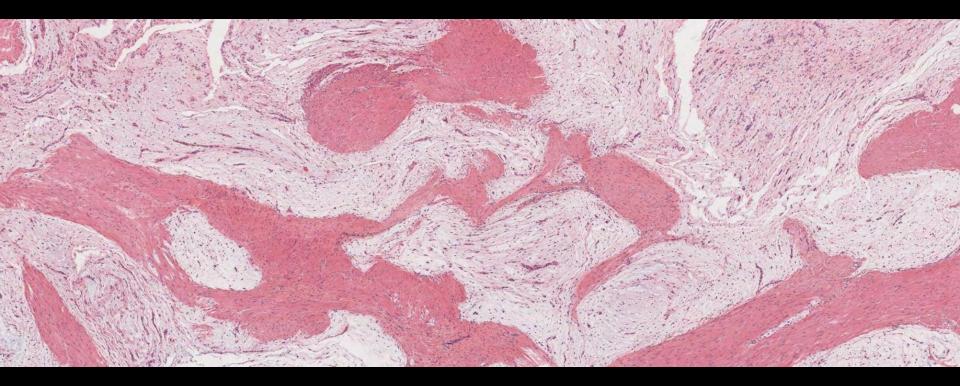
- Glomus tumour
- Melanoma

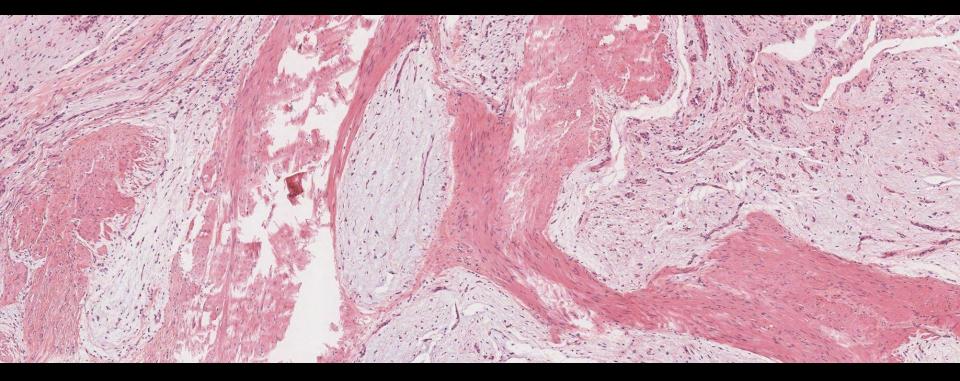


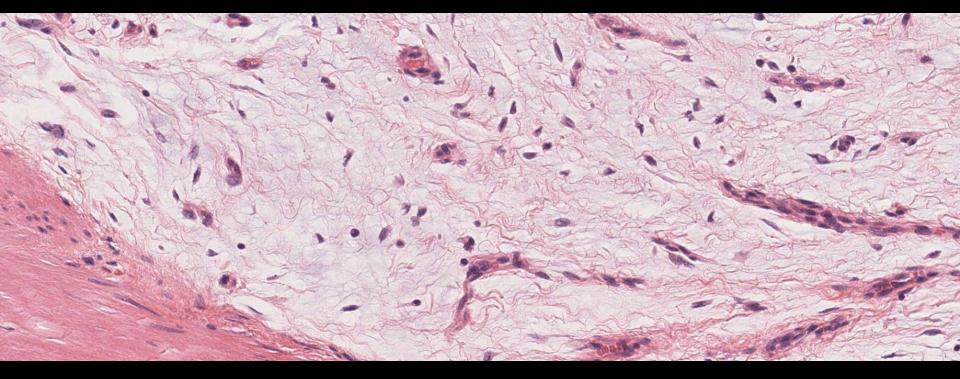


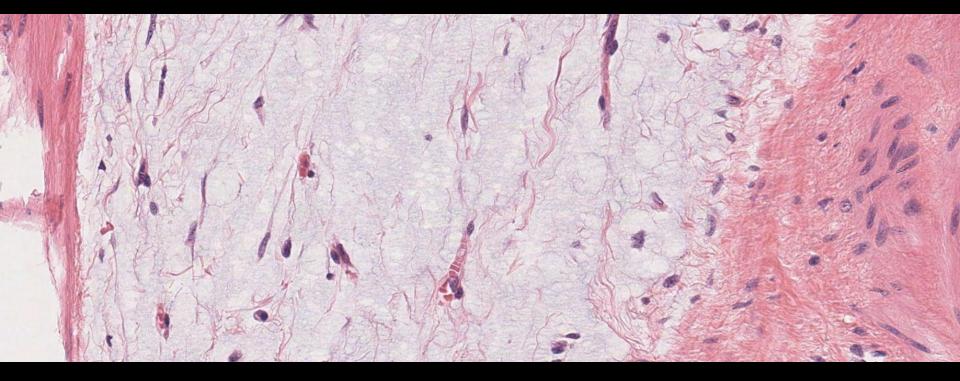












# Mesenchymal Tumours which are not GISTs

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- Desmoid fibromatosis
- Inflammatory fibroid polyp
- Inflammatory myofibroblastic tumour
- Plexiform Fibromyxoma

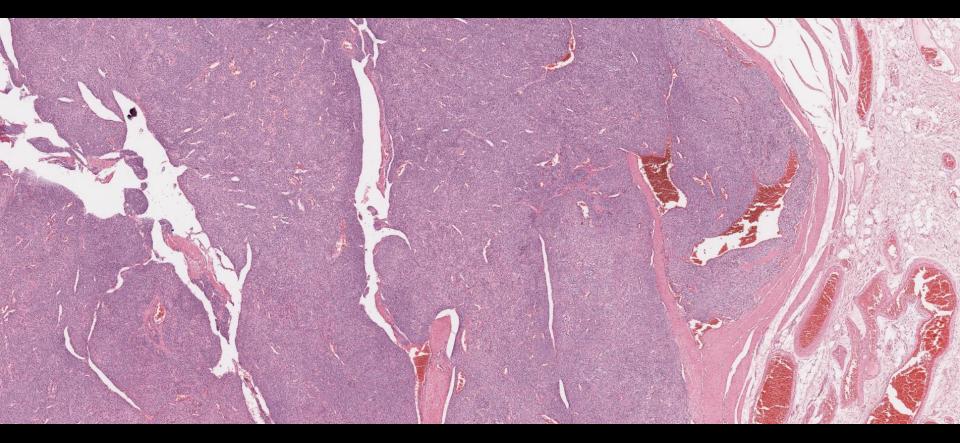
## Glomus tumour

Melanoma

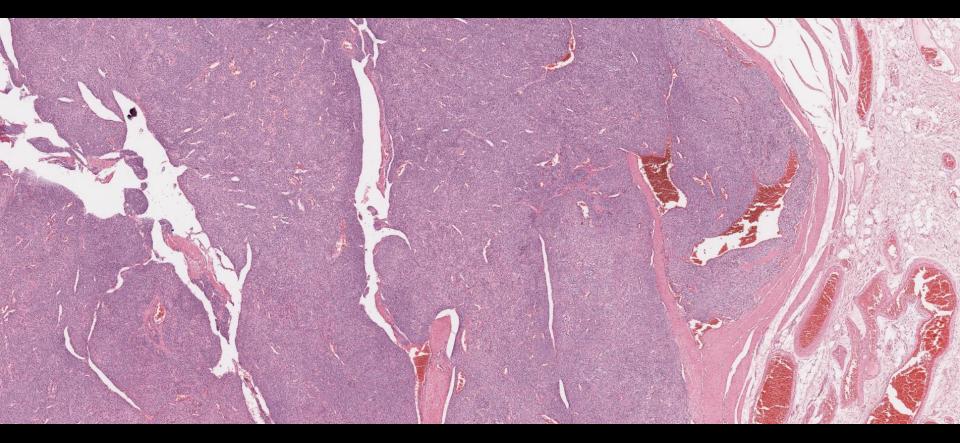




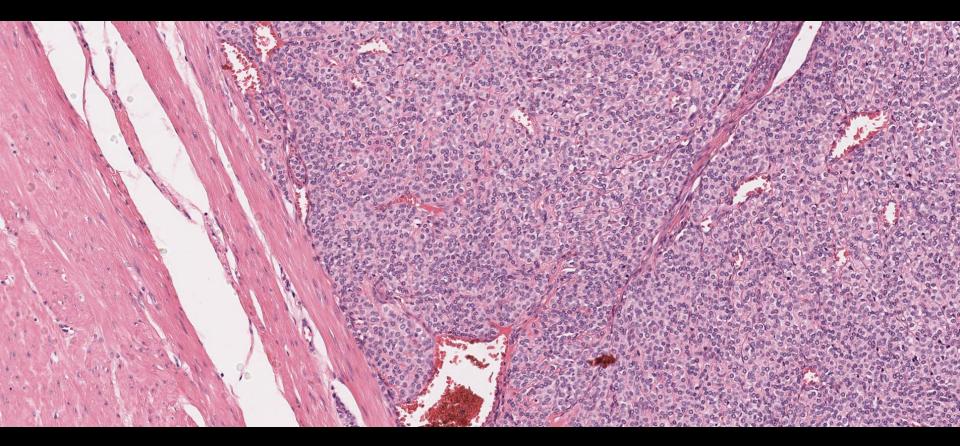




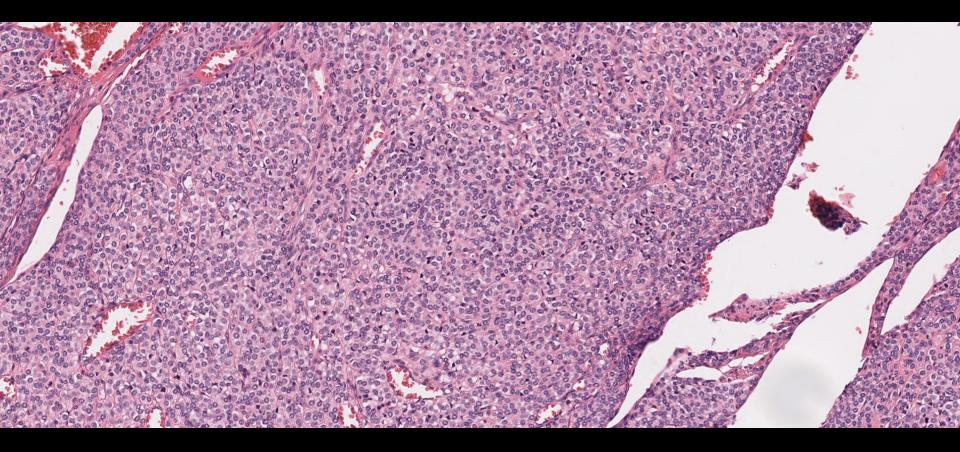




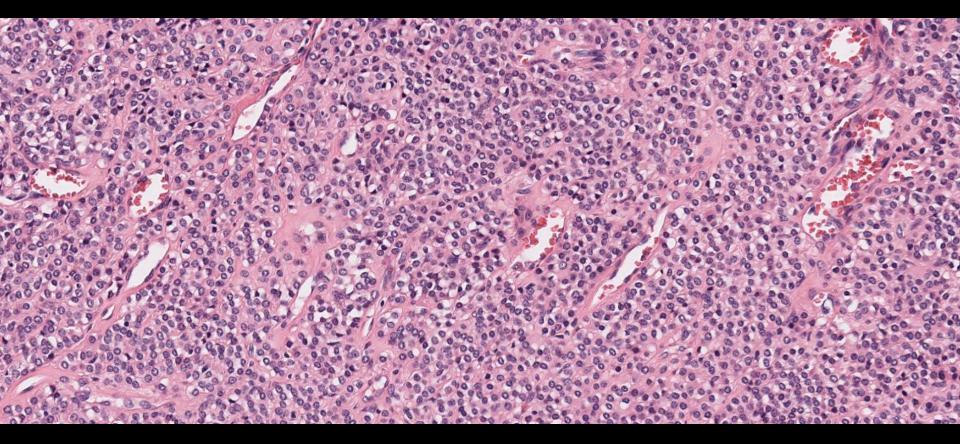




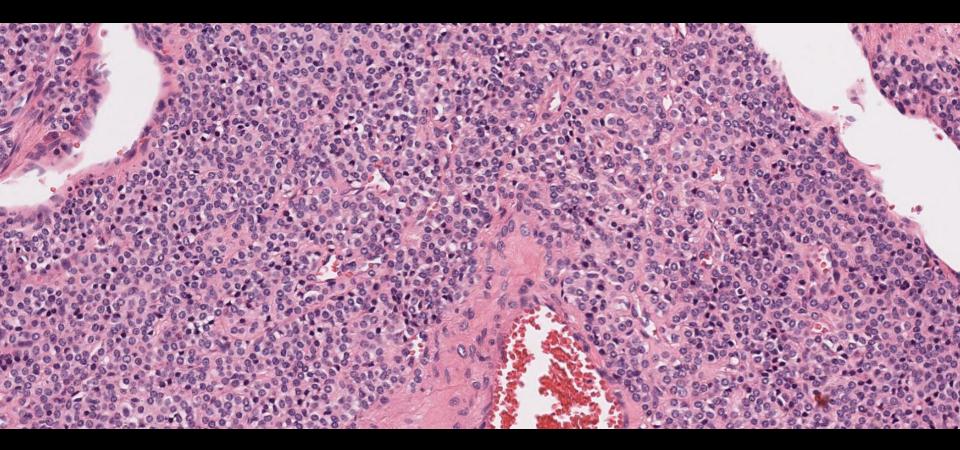




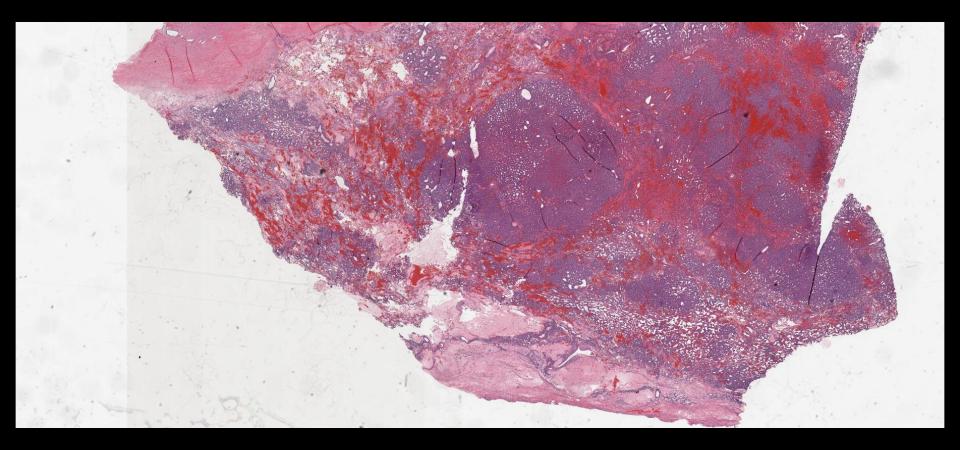




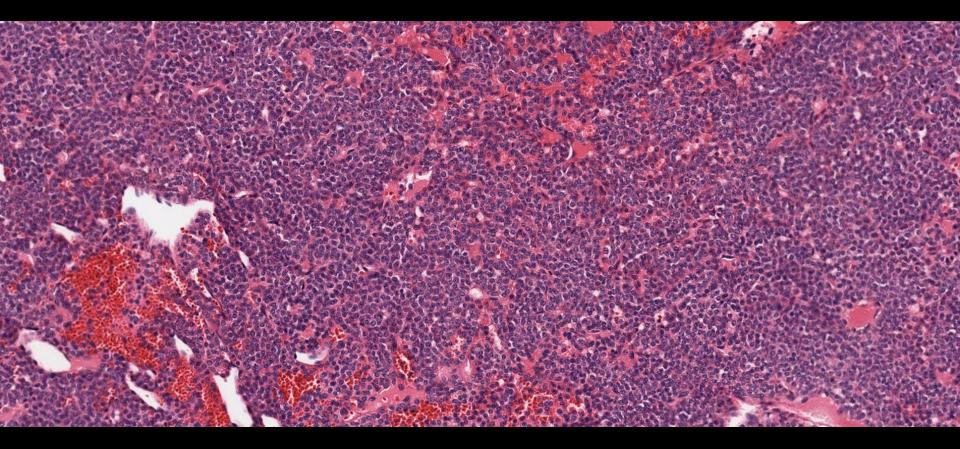










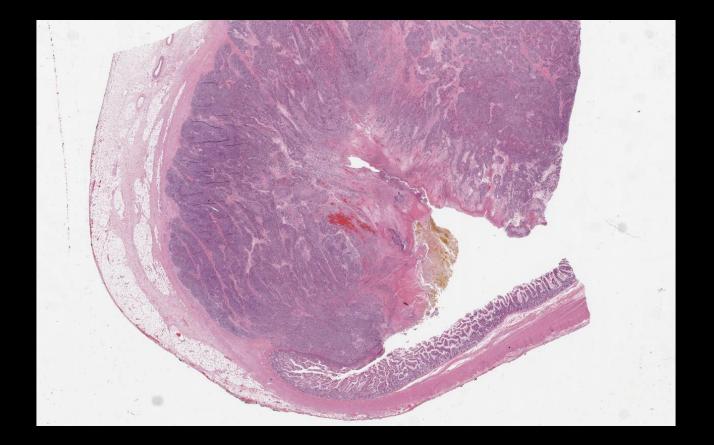


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# Melanoma

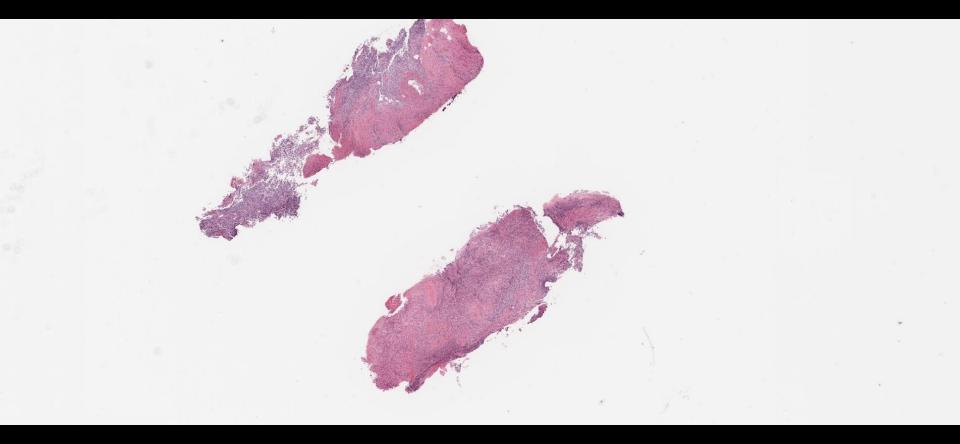




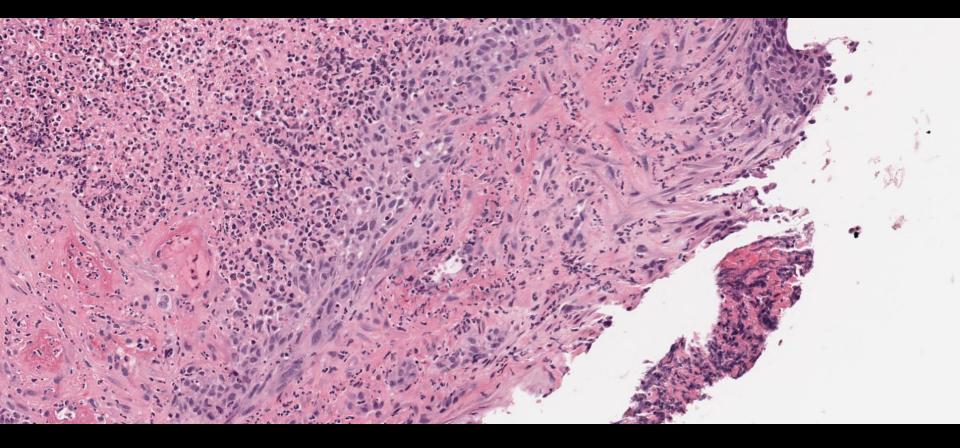




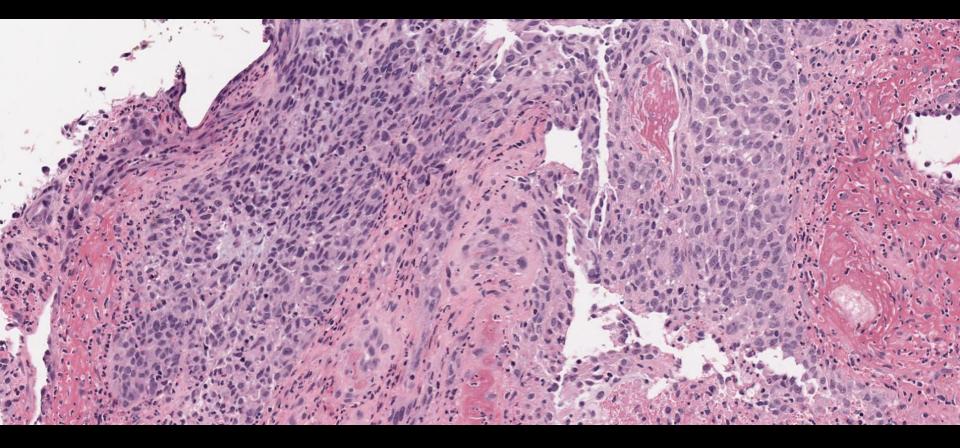




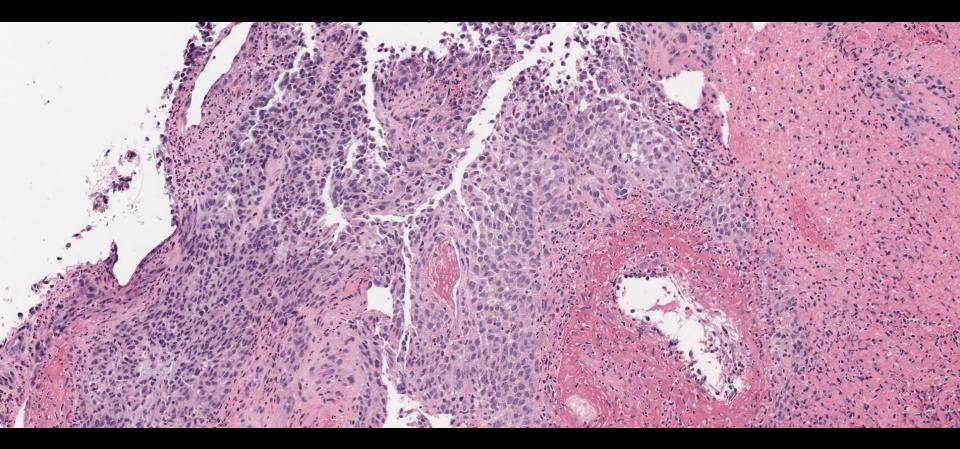












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## SUMARY

If targeted therapy for GIST is being considered (or when secondary resistance occurs) all GISTs *SHOULD* be tested for: cKIT exons 9, 11, 13, 17

PDGFRa exons 18,12,14

WILD TYPE now means at least QUADRUPLE WILD TYPE (KIT, PDGFRA, SDH, BRAF, NF1, RAS)

WILD TYPE GISTS may be associated with NF1

Impress people by identifying PDGFRA mutant GIST by location and morphology

SDH deficient GISTs are unique





## WHO 2018

# **Update in NETs grading**

An update from the new WHO classification

5<sup>th</sup> Edition 2018

Anthony J Gill MD FRCPA Royal North Shore Hospital Professor of Surgical Pathology University of Sydney





World Health Organization International Histological Classification of Tumours

## Histological Typing of Endocrine Tumours

E. Solcia, G. Klöppel, L.H. Sobin In Collaboration with 9 Pathologists from 4 Countries

Second Edition



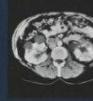
World Health Organization Classification of Tumours



#### **Pathology & Genetics**

#### **Tumours of Endocrine Organs**

Edited by Ronald A. DeLellis, Ricardo V. Lloyd, Philipp U. Heitz, Charis Eng

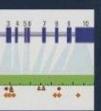










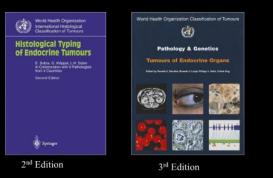




## 3<sup>rd</sup> Edition

# History of the WHO Classification

- 1956 WHO executive board initiates project
- 1967-1981 First Edition Published
  - Simple format lists terms with ICD codes
  - Very brief description of histologic criteria
- 1982-2002 Second Edition Published
  - Simple format
  - Histology complemented by list of IHC markers
  - Each tumour type had at least one Photograph
- 2000-2005 Third Edition Published
  - Transformation in content in layout/content
- 2006- Fourth Edition Published



# 5<sup>th</sup> Edition WHO Series

- Standing Editorial Board
- Specialist Editorial Board
- Authors selected -> based primarily on a publication algorithm





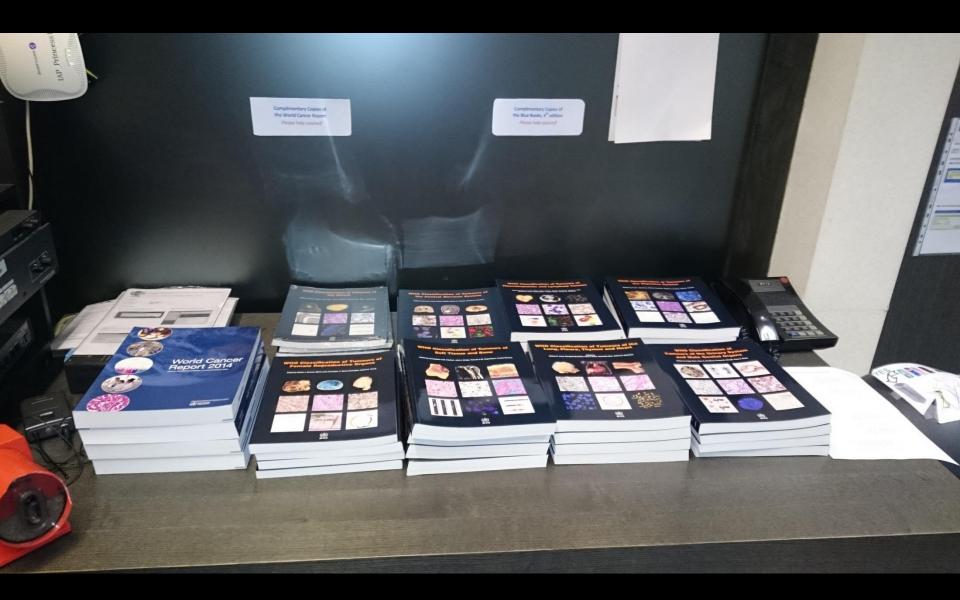












## WHO 2010 Grading System

#### World Health Organization Classification 2010 for Neuroendocrine Neoplasms

Well differentiated NENs	Ki67index	Mitotic index
Neuroendocrine tumour (NET) G1	$\leq 2$ %	<2/10 HPF
Neuroendocrine tumour (NET) G2	3-20 %	2-20/10 HPF
Poorly differentiated NENs		
Neuroendocrine carcinoma (NEC) G3*	>20 %	>20/10 HPF

#### Mixed adenoneuroendocrine carcinoma (MANEC)

\*"NET G3" has been used for this category but is not advised since NETs are by definition well differentiated

## WHO 2017 Grading System

### World Health Organization Classification 2010 for Neuroendocrine Neoplasms

Well differentiated NENs Neuroendocrine tumour (NET) G1 Neuroendocrine tumour (NET) G2	<b>3%</b> 3-20 %	Mitotic index <2/10 HPF 2-20/10 HPF
Neuroendocrine tumour (NET) G3	>20%	>20/10 HPF
POORLY DIFFERENTIATED NENs		
Neuroendocrine Carcinoma (NEC) G3	>20%	>20/10 HPF

## **MENEN (mixed endocrine neuroendocrine carcinoma)**

\*"NET G3" has been used for this category but is not advised since NETs are by definition well differentiated

## G3 NETS vs G3 NECs

Low grade NETsPoorly Diff NECsMEN1, DAX, ATRX mutationsP53, RB1 mutations

Recognisable as NETS

Small cell or large cell type

No lower grade component

Often evolve from a recognisable lower grade component

No upper limit given, but usually ki67 <40 to 55%, mitotic count <20/10hpf Must have ki67 index >20%, <u>no lower limit give</u>n but

usually >55%

## WHO 2017 Grading System

### TABLE 1

World Health Organization Classification 2017 for Pancreatic Neuroendocrine Neoplasms

Well differentiated NENs	Ki67index*	Mitotic index
Neuroendocrine tumour (NET) G1	<3 %	<2/10 HPF
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Neuroendocrine tumour (NET) G3	>20 %	>20/10 HPF
Poorly differentiated NENs Neuroendocrine carcinoma (NEC) G3 Small cell type Large cell type	>20 %	>20/10 HPF

#### Mixed neuroendocrine-nonneuroendocrine neoplasm (MiNEN)

\* Ki67 index is based on at least 500 cells in areas of higher nuclear labeling ("hot spots"); mitoses in 50 high power fields (HPF, 0.2mm<sup>2</sup>) in areas of higher density and expressed per 10 HPF (2.0 mm<sup>2</sup>); the final grade based on which ever index (mitotic rate or Ki67) places the tumor in the highest grade category. For assessing Ki67, casual visual estimation ("eyeballing") is not recommended; manual counting of printed images is suggested {25412850}.

# The changes for fifth edition GIT blue book

## WHO 2017 Grading System

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## ??WHO 2018/19 Grading System for NETs

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# Towards a uniform grading system for all NENs

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Modern Pathology https://doi.org/10.1038/s41379-018-0110-y

ARTICLE

XUSCAP

#### A common classification framework for neuroendocrine neoplasms: an International Agency for Research on Cancer (IARC) and World Health Organization (WHO) expert consensus proposal

Guido Rindi<sup>1</sup> · David S. Klimstra<sup>2</sup> · Behnoush Abedi-Ardekani<sup>3</sup> · Sylvia L. Asa <sup>6</sup> · Frederik T. Bosman<sup>5</sup> · Elisabeth Brambilla<sup>6</sup> · Klaus J. Busam<sup>2</sup> · Ronald R. de Krijger<sup>7</sup> · Manfred Dietel<sup>8</sup> · Adel K. El-Naggar<sup>9</sup> · Lynnette Fernandez-Cuesta<sup>3</sup> · Günter Klöppel<sup>10</sup> · W. Glenn McCluggage<sup>11</sup> · Holger Moch<sup>12</sup> · Hiroko Ohgaki<sup>3</sup> · Emad A. Rakha<sup>13</sup> · Nicholas S. Reed<sup>14</sup> · Brian A. Rous<sup>15</sup> · Hironobu Sasano<sup>16</sup> · Aldo Scarpa <sup>5</sup> <sup>17</sup> · Jean-Yves Scoazec<sup>18</sup> · William D. Travis<sup>2</sup> · Giovanni Tallin<sup>19</sup> · Jacqueline Trouillas<sup>20</sup> · J. Han van Krieken<sup>21</sup> · Ian A. Cree <sup>3</sup>

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#### Abstract

The classification of neuroendocrine neoplasms (NENs) differs between organ systems and currently causes considerable confusion. A uniform classification framework for NENs at any anatomical location may reduce inconsistencies and contradictions among the various systems currently in use. The classification suggested here is intended to allow pathologists and clinicians to manage their patients with NENs consistently, while acknowledging organ-specific differences in classification criteria, tumor biology, and prognostic factors. The classification suggested is based on a consensus conference held at the Intemational Agency for Research on Cancer (IARC) in November 2017 and subsequent discussion with additional experts. The key feature of the new classification is a distinction between differentiated neuroendocrine tumors (NETs), also designated carcinoid tumors in some systems, and poorly differentiated NECs, as they both share common expression of neuroendocrine markers. This dichotomous morphological subdivision into NETs and NECs is supported by genetic exidence at specific anatomic sites as well as clinical, epidemiologic, histologic, and prognostic differences. In many organ systems, NETs are graded as G1, G2, or G3 based on mitotic count and/or Ki-67 labeling index, and/or the presence of necrosis; NECs are considered high grade by definition. We believe this conceptual approach can form the basis for the next generation of NEN classifications and will allow more consistent taxonomy to understand how neoplasms from different organ systems inter-relate clinically and genetically.

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The current pathologic classifications of neuroendocrine neoplasms (NENs) across different organ systems use a range of site-specific terminologies and criteria, creating significant confusion among pathologists and treating clinicians. The World Health Organization (WHO) International Agency for Research on Cancer (IARC) has now started the new fifth edition of the WHO Classification of Tumors, published as the widely used WHO Blue Books (http://whobluebooks.iarc.fr). A uniform classification framework for NENs at any anatomical location would reduce inconsistencies and contradictions among the various systems currently in use, allowing unification of classification concepts, despite organ-specific differences in classification criteria, tumor biology, and prognostic factors. The classification suggested here is intended to allow pathologists and clinicians to manage their patients with NENs consistently, and to facilitate comparisons between the different entities falling into this category of neoplasms.

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☑ Ian A. Cree creei@iarc.fr

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Published online: 23 August 2018

SPRINGER NATURE

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**November 2017 Consensus** 

#### Published online: 23 August 2018

SPRINGER NATURE

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Modern Pathology https://doi.org/10.1038/s41379-018-0110-y ARTICLE

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## November 2017 Consensus

## Key Features:

Clear distinction between NET and NEC

SPRINGER NATURE

# Towards a uniform grading system for all NENs

**XUSCAP** 

Modern Pathology https://doi.org/10.1038/s41379-018-0110-y ARTICLE

A common classification framework for neuroendocrine neoplasms: an International Agency for Research on Cancer (IARC) and World Health Organization (WHO) expert consensus proposal

Guido Rindi<sup>1</sup> · David S. Klimstra<sup>2</sup> · Behnoush Abedi-Ardekani<sup>3</sup> · Sylvia L. Asa <sup>6</sup> · Frederik T. Bosman<sup>5</sup> · Elisabeth Brambilla<sup>6</sup> · Klaus J. Busam<sup>2</sup> · Ronald R. de Krijger<sup>7</sup> · Manfred Dietel<sup>8</sup> · Adel K. El·Naggar<sup>9</sup> · Lynnette Fernandez-Cuesta<sup>3</sup> · Günter Klöppel<sup>10</sup> · W. Glenn McCluggage<sup>11</sup> · Holger Moch<sup>12</sup> · Hiroko Ohgaki<sup>3</sup> · Emad A. Rakha<sup>13</sup> · Nicholas S. Reed<sup>14</sup> · Brian A. Rous<sup>15</sup> · Hironobu Sasano<sup>16</sup> · Aldo Scarpa<sup>10</sup> / Jean-Yves Scoazec<sup>18</sup> · William D. Travis<sup>2</sup> · Giovanni Tallini<sup>19</sup> · Jacqueline Trouillas<sup>20</sup> · J. Han van Krieken<sup>21</sup> · Ian A. Cree <sup>3</sup>

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#### Abstract

The classification of neuroendocrine neoplasms (NENs) differs between organ systems and currently causes considerable confusion. A uniform classification framework for NENs at any anatomical location may reduce inconsistencies and contradictions among the various systems currently in use. The classification suggested here is intended to allow pathologists and clinicians to manage their patients with NENs consistently, while acknowledging organ-specific differences in classification criteria, tumor biology, and prognostic factors. The classification suggested is based on a consensus conference held at the Intemational Agency for Research on Cancer (IARC) in November 2017 and subsequent discussion with additional experts. The key feature of the new classification is a distinction between differentiated neuroendocrine tumors (NETs), also designated carcinoid tumors in some systems, and poorly differentiated NECs, as they both share common expression of neuroendocrine markers. This dichotomous morphological subdivision into NETs and NECs is supported by genetic exidence at specific anatomic sites as well as clinical, epidemiologic, histologic, and prognostic differences. In many organ systems, NETs are graded as G1, G2, or G3 based on mitotic count and/or Ki-67 labeling index, and/or the presence of necrosis; NECs are considered high grade by definition. We believe this conceptual approach can form the basis for the next generation of NEN classifications and will allow more consistent taxonomy to understand how neoplasms from different organ systems inter-relate clinically and genetically.

Methods

#### Introduction

The current pathologic classifications of neuroendocrine neoplasms (NENs) across different organ systems use a range of site-specific terminologies and criteria, creating significant confusion among pathologists and treating clinicians. The World Health Organization (WHO) International Agency for Research on Cancer (IARC) has now started the new fifth edition of the WHO Classification of Tumors, published as the widely used WHO Blue Books (http://whobluebooks.iarc.fr). A uniform classification framework for NENs at any anatomical location would reduce inconsistencies and contradictions among the various systems currently in use, allowing unification of classification concepts, despite organ-specific differences in classification criteria, tumor biology, and prognostic factors. The classification suggested here is intended to allow pathologists and clinicians to manage their patients with NENs consistently, and to facilitate comparisons between the different entities falling into this category of neoplasms.

#### These authors contributed equally: Guido Rindi, David S. Klimstra

☑ Ian A. Cree creei@iarc.fr

Extended author information available on the last page of the article

A dedicated consensus meeting was held in Lyon on 2-3 November 2017 at IARC, under the auspices of the WHO

## November 2017 Consensus

## Key Features:

Until the new WHO system is in place for each tumour type, pathologists *'should'* use the old scheme

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## General Considerations

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Existing systems vary widely in terminology Robust data for some sites (lung, GIT, Pancreas) Poor data for other sites (breast, thyroid, parathyroid)

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Current WHO definitions (ie: site-specific tumor definitions) should be maintained until potentially revised within each WHO Blue Book

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## Novel uniform standard classification terminology for NEN (NEN-WHO 2018)

should be appended in brackets when it differs from the currently employed site-specific terminology

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Site	Category	Family	Туре	Grade	Current terminology
Lung	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Pulmonary neuroendocrine tumor (NET) <sup>a</sup>	G1 G2	Carcinoid Atypical carcinoid <sup>a</sup>
		Neuroendocrine carcinoma (NEC)	Small cell lung carcinoma (Pulmonary NEC, small cell- type) <sup>b</sup>		Small cell lung carcinoma
			Pulmonary NEC, large cell- type		Large cell NE carcinoma
Uterus (corpus and cervix)	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Uterine neuroendocrine tumor (NET)	G1 G2 G3	Carcinoid Atypical carcinoid Atypical carcinoid
		Neuroendocrine carcinoma (NEC)	Uterine NEC, small cell-type		Small cell carcinoma
			Uterine NEC, large cell-type		Large cell NE carcinoma
Pancreas	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Pancreatic neuroendocrine tumor (NET)	G1 G2 G3	PanNET G1 PanNET G2 PanNET G3
		Neuroendocrine carcinoma (NEC)	Pancreatic NEC, small cell- type		Small cell NE carcinoma
			Pancreatic NEC, large cell-type		Large cell NE carcinoma

Table 1 NEN 2018 WHO proposed classification of selected NEN by site, category, family, and tumor type

NEC are regarded as high grade, but as they represent a separate tumor family, there is no need to for formal grading.

<sup>a</sup>The category of G3 atypical carcinoid in the lung is not a validated entity and not recognized in the 2015 WHO classification. Currently such tumors are classified as small cell lung carcinoma (SCLC) or large cell neuroendocrine carcinoma (LCNEC). High-grade NET with features of atypical carcinoid similar to the G3 tumors of the pancreatic/gastrointestinal tract are rare in the lung, not well characterized and need further study. <sup>b</sup>Not recommended as small cell lung carcinoma (SCLC) is too well ingrained in clinical practice and some SCLC lack commonly used neuroendocrine markers.

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Uterus (corpus and cervix)	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Uterine neuroendocrine tumor (NET)	G1 G2 G3	Carcinoid Atypical carcinoid Atypical carcinoid
		Neuroendocrine carcinoma (NEC)	Uterine NEC, small cell-type		Small cell carcinoma
			Uterine NEC, large cell-type		Large cell NE carcinoma
Pancreas	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Pancreatic neuroendocrine tumor (NET)	G1 G2 G3	PanNET G1 PanNET G2 PanNET G3
		Neuroendocrine carcinoma (NEC)	Pancreatic NEC, small cell- type		Small cell NE carcinoma
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		Neuroendocrine carcinoma (NEC)	Uterine NEC, small cell-type		Small cell carcinoma
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Pancreas	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Pancreatic neuroendocrine tumor (NET)	G1 G2 G3	PanNET G1 PanNET G2 PanNET G3
		Neuroendocrine carcinoma (NEC)	Pancreatic NEC, small cell- type		Small cell NE carcinoma
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# Six Tiers: 1. Site

## Six Tiers:

- 1. Site
- 2. Category

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## Six Tiers:

- 1. Site
- 2. Category

## NEN – Neuroendocrine neoplasm

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		Neuroendocrine carcinoma (NEC)	Small cell lung carcinoma (Pulmonary NEC, small cell- type) <sup>b</sup>		Small cell lung carcinoma
			Pulmonary NEC, large cell- type		Large cell NE carcinoma
Uterus (corpus and cervix)	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Uterine neuroendocrine tumor (NET)	G1 G2 G3	Carcinoid Atypical carcinoid Atypical carcinoid
		Neuroendocrine carcinoma (NEC)	Uterine NEC, small cell-type		Small cell carcinoma
			Uterine NEC, large cell-type		Large cell NE carcinoma
Pancreas	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Pancreatic neuroendocrine tumor (NET)	G1 G2 G3	PanNET G1 PanNET G2 PanNET G3
		Neuroendocrine carcinoma (NEC)	Pancreatic NEC, small cell- type		Small cell NE carcinoma
			Pancreatic NEC, large cell-type		Large cell NE carcinoma

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- 1. Site
- 2. Category
- 3. Family

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A common classification framework for neuroendocrine neoplasms: an International Agency for Research on Cancer (IARC) and World Health Organization (WHO) expert consensus proposal

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		Neuroendocrine carcinoma (NEC)	Uterine NEC, small cell-type		Small cell carcinoma
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Pancreas	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Pancreatic neuroendocrine tumor (NET)	G1 G2 G3	PanNET G1 PanNET G2 PanNET G3
		Neuroendocrine carcinoma (NEC)	Pancreatic NEC, small cell- type		Small cell NE carcinoma
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## Six Tiers:

- 1. Site
- 2. Category
- 3. Family

### Either:

Or

NEC (neuroendocrine carcinoma)

NET (neuroendocrine tumour)

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		Neuroendocrine carcinoma (NEC)	Uterine NEC, small cell-type		Small cell carcinoma
			Uterine NEC, large cell-type		Large cell NE carcinoma
Pancreas	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Pancreatic neuroendocrine tumor (NET)	G1 G2 G3	PanNET G1 PanNET G2 PanNET G3
		Neuroendocrine carcinoma (NEC)	Pancreatic NEC, small cell- type		Small cell NE carcinoma
			Pancreatic NEC, large cell-type		Large cell NE carcinoma

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- 1. Site
- 2. Category
- 3. Family
- 4. Type

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#### A common classification framework for neuroendocrine neoplasms: an International Agency for Research on Cancer (IARC) and World Health Organization (WHO) expert consensus proposal

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Uterus (corpus and cervix)	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Uterine neuroendocrine tumor (NET)	G1 G2 G3	Carcinoid Atypical carcinoid Atypical carcinoid
		Neuroendocrine carcinoma (NEC)	Uterine NEC, small cell-type		Small cell carcinoma
			Uterine NEC, large cell-type		Large cell NE carcinoma
Pancreas	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Pancreatic neuroendocrine tumor (NET)	G1 G2 G3	PanNET G1 PanNET G2 PanNET G3
		Neuroendocrine carcinoma (NEC)	Pancreatic NEC, small cell- type		Small cell NE carcinoma
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Represents the diagnostic entities within the families. for some (eg: Panc NET) it is the same family for others it subclassifies –eg: small cell vs large cell NEC

- 1. Site
- 2. Category
- 3. Family
- 4. Type

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Pancreas	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Pancreatic neuroendocrine tumor (NET)	G1 G2 G3	PanNET G1 PanNET G2 PanNET G3
		Neuroendocrine carcinoma (NEC)	Pancreatic NEC, small cell- type		Small cell NE carcinoma
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## Six Tiers:

- 1. Site
- 2. Category
- 3. Family
- 4. Type
- 5. Grade

## Standard mitotic rate and Ki67 index

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Standard mitotic rate (per mm<sup>2</sup> not hpf) and Ki67 index Presence or absence of necrosis (*punctate* or *geographic*) Grade is site specific (eg: lung), but the parameters used for grading must be explicitly reported

- 1. Site
- 2. Category
- 3. Family
- 4. Type
- 5. Grade

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- 1. Site
- 2. Category
- 3. Family
- 4. Type
- 5. Grade
- 6. Current Terminology

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		Neuroendocrine carcinoma (NEC)	Pancreatic NEC, small cell- type		Small cell NE carcinoma
			Pancreatic NEC, large cell-type		Large cell NE carcinoma

NEC are regarded as high grade, but as they represent a separate tumor family, there is no need to for formal grading.

<sup>a</sup>The category of G3 atypical carcinoid in the lung is not a validated entity and not recognized in the 2015 WHO classification. Currently such tumors are classified as small cell lung carcinoma (SCLC) or large cell neuroendocrine carcinoma (LCNEC). High-grade NET with features of atypical carcinoid similar to the G3 tumors of the pancreatic/gastrointestinal tract are rare in the lung, not well characterized and need further study. <sup>b</sup>Not recommended as small cell lung carcinoma (SCLC) is too well ingrained in clinical practice and some SCLC lack commonly used neuroendocrine markers.

## Specific Notes: MiNEN

Not covered, NEN component is normally NEC

## Non-NECs following treatment

Small cell de-differentiation in lung cancer after EGFR inhibition

Paneth-like features of treated prostate adenocarcinoma

Well differentiated neuroendocrine cell nests in rectal carcinomas following neoadjuvant treatment

Paraganglioma are mentioned in passing but not the focus of this paper

Box 1 Some research needs identified by the expert group to illustrate the studies required to advance understanding of NEN

- General: Further genetic studies of NEN are required in many sites, ideally with computational pathology and phenotypic data on outcome. What are the common genetic and genomic features (and the differences) of NEN from different organs?
- General: Computational pathology studies of Ki-67 proliferation, and mitotic count per mm<sup>2</sup>, are required to assess whether grade is a continuous or categorical variable, including validation against microscope counting (including inter-laboratory and observer reproducibility studies). What thresholds should be applied in clinical practice to separate grades?
- General: What is the prevalence and clinical significance of tumor heterogeneity for mitotic counts and K-67 proliferation index in NEN?
- General: Do NET and NEC occur in all anatomical sites?
- General: What are the distinguishing genetic features of NEC and NET?
- General: What is the nature of mixed neuroendocrine:non-NETs of all organs?
- General: Coordination of NEN databases is required to allow ease of data comparison between NEN arising at different sites.
- Lung: Studies on the separation between typical carcinoid and atypical carcinoid, and between these entities, SCLC and LCNEC using molecular, histological and protein expression methods. Does a G3 category of lung NET exist comparable to that in the pancreas?
- Pituitary: Studies of the genetics of NET (adenoma/ NET, aggressive NET, and carcinoma) are required. It is as yet uncertain if NEC exist at this site.
- Metastases: What are the optimal diagnostic criteria and terminology to be used for metastatic rather than primary NEN?

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ARTICLE

#### XUSCAR

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#### A common classification framework for neuroendocrine neoplasms: an International Agency for Research on Cancer (IARC) and World Health Organization (WHO) expert consensus proposal

Guido Rindi<sup>1</sup> · David S. Klimstra<sup>2</sup> · Behnoush Abedi-Ardekani<sup>3</sup> · Sylvia L. Asa<sup>6</sup> · Frederik T. Bosman<sup>5</sup> · Elisabeth Brambilla<sup>6</sup> · Klaus J. Busam<sup>2</sup> · Ronald R. de Krijger<sup>7</sup> · Manfred Dietel<sup>8</sup> · Adel K. El-Naggar<sup>9</sup> · Lynnette Fernandez-Cuesta<sup>3</sup> · Günter Klöppel<sup>10</sup> · W. Glenn McCluggage<sup>11</sup> · Holger Moch<sup>12</sup> · Hiroko Ohgaki<sup>3</sup> · Emad A. Rakha<sup>13</sup> · Nicholas S. Reed<sup>14</sup> · Brian A. Rous<sup>15</sup> · Hironobu Sasano<sup>16</sup> · Aldo Scarpa<sup>17</sup> · Jean-Yves Scoazec<sup>18</sup> · William D. Travis<sup>2</sup> · Giovanni Tallini<sup>19</sup> · Jacqueline Trouillas<sup>20</sup> · J. Han van Krieken<sup>21</sup> · Ian A. Cree<sup>3</sup>

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Site	Category	Family	Туре	Grade	Current terminology
Lung	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Pulmonary neuroendocrine tumor (NET) <sup>a</sup>	G1 G2	Carcinoid Atypical carcinoid <sup>a</sup>
		Neuroendocrine carcinoma (NEC)	Small cell lung carcinoma (Pulmonary NEC, small cell- type) <sup>b</sup>		Small cell lung carcinoma
			Pulmonary NEC, large cell- type		Large cell NE carcinoma
Uterus (copus Neuroen and cervix) (NEN)	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Uterine neuroendocrine tumor (NET)	G1 G2 G3	Carcinoid Atypical carcinoid Atypical carcinoid
		Neuroendocrine carcinoma (NEC)	Uterine NEC, small cell-type		Small cell carcinom
			Uterine NEC, large cell-type		Large cell NE carcinoma
Pancreas	Neuroendocrine neoplasm (NEN)	Neuroendocrine tumor (NET)	Pancreatic neuroendocrine tumor (NET)	G1 G2 G3	PanNET G1 PanNET G2 PanNET G3
		Neuroendocrine carcinoma (NEC)	Pancreatic NEC, small cell- type		Small cell NE carcinoma
			Pancreatic NEC, large cell-type		Large cell NE carcinoma

NEC are regarded as high grade, but as they represent a separate tumor family, there is no need to for formal grading.

<sup>a</sup>The category of G3 atypical carcinoid in the lung is not a validated entity and not recognized in the 2015 WHO classification. Currently such tumors are classified as small cell lung carcinoma (SCLC) or large cell neuroendocrine carcinoma (LCNEC). High-grade NET with features of atypical carcinoid similar to the G3 tumors of the pancreatic/gastrointestinal tract are rare in the lung, not well characterized and need further study. <sup>b</sup>Not recommended as small cell lung carcinoma (SCLC) is too well ingrained in clinical practice and some SCLC lack commonly used neuroendocrine markers.

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		Neuroendocrine carcinoma (NEC)	Pancreatic NEC, small cell- type		Small cell NE carcinoma
			Pancreatic NEC, large cell-type		Large cell NE carcinoma

Table 1 NEN 2018 WHO proposed classification of selected NEN by site, category, family, and tumor type

NEC are regarded as high grade, but as they represent a separate tumor family, there is no need to for formal grading.

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## ??WHO 2018/19 Grading System for NETs

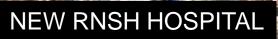
#### TABLE 1

World Health Organization Classification 2017 for Pancreatic Neuroendocrine Neoplasms

Well differentiated NENs	Ki67index*	Mitotic index
Neuroendocrine tumour (NET) G1	<3 %	<2/10 HPF
Neuroendocrine tumour (NET) G2	3-20 %	2-20/10 HPF
Neuroendocrine tumour (NET) G3	>20 %	>20/10 HPF
Poorly differentiated NENs Neuroendocrine carcinoma (NEC) Small cell type Large cell type	>20 %	>20/10 HPF

#### Mixed neuroendocrine-nonneuroendocrine neoplasm (MiNEN)

\* Ki67 index is based on at least 500 cells in areas of higher nuclear labeling ("hot spots"); mitoses in 50 high power fields (HPF, 0.2mm<sup>2</sup>) in areas of higher density and expressed per 10 HPF (2.0 mm<sup>2</sup>); the final grade based on which ever index (mitotic rate or Ki67) places the tumor in the highest grade category. For assessing Ki67, casual visual estimation ("eyeballing") is not recommended; manual counting of printed images is suggested {25412850}.



Lange

